

MLZ Lab Course WS2023

Diffractometry with Neutrons

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- **Motivation**

- **Crystallography – Structure Description**

- Concept of Unit Cell and Space Groups
- Concept of Reciprocal Space

- **Diffractometry – Structure Analysis**

- General Concept
- Instrumental Aspects
- Sample Specific Aspects
- Powder Diffraction
- Single Crystal Diffraction

- **Summary**

Macroscopic features



Microscopic structure

- Mechanical properties
- Optical properties
- Electric properties
 - polarization
 - ion conductivity
 - superconductivity
- Magnetism

- Chemical elements/isotopes
- coordination of elements
- Type of atomic bonds, e.g. ionic, covalent
- Electron/nuclear distribution
- Disorder

1932: Discovery of neutron by James Chatwick (Nobel Prize 1935)

DeBroglie wave-particle relationship:

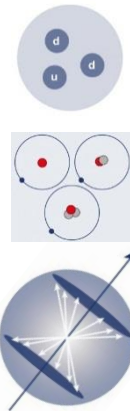
$$E = m_n v^2/2 = k_B T = (\hbar k)^2/2m_n$$

$$k = 2\pi/\lambda = m_n v/\hbar$$

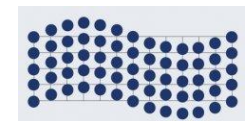
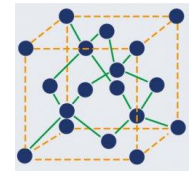
physical prop.	X-rays/ γ	neutrons
mass [kg]	0	$1.673 \cdot 10^{-27}$
energy [eV]	$10^3 - 10^6$	$10^{-3} - 10^0$ 0.025 (thermal)
magn. moment	no	yes
wave length λ [Å]	0.3 - 20 1.5 (Cu-K α)	0.3 - 20 1.8 (thermal)
typ. speed [m/s]	$3 \cdot 10^8$	2500 (thermal)
Interaction with	e ⁻ shell Z specific	nuclei/isotopes magnetic moment

Neutrons are

- Electrically neutral
- Isotopically sensitive
- Magnetically sensitive



- Sensitive to atomic and magnetic structures
- Motion sensitive



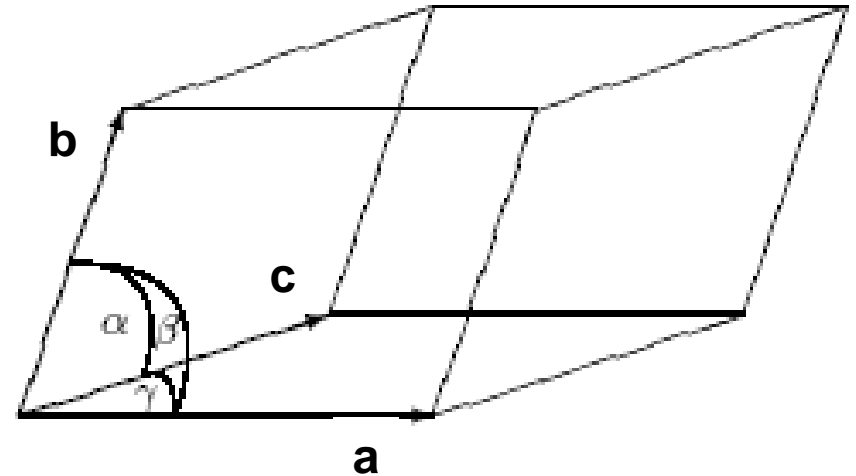
Crystallographic Definition of Structure

Three dimensional periodic arrangement of atoms/molecules

→ **unit cell**

Lattice vectors **a, b, c**

and constants $a, b, c, \alpha, \beta, \gamma$



→ **7 crystal families**

- triclinic ($a \neq b \neq c, \alpha, \beta, \gamma \neq 90^\circ$)
- monoclinic ($a \neq b \neq c, \alpha, \beta = 90^\circ, \gamma \neq 90^\circ$)
- trigonal ($a = b \neq c, \alpha, \beta = 90^\circ, \gamma = 120^\circ$)
- cubic ($a = b = c, \alpha, \beta, \gamma = 90^\circ$)

- orthorhombic ($a \neq b \neq c, \alpha, \beta, \gamma = 90^\circ$)
- tetragonal ($a = b \neq c, \alpha, \beta, \gamma = 90^\circ$)
- hexagonal ($a = b \neq c, \alpha, \beta = 90^\circ, \gamma = 120^\circ$)

Beware:

Each family includes dedicated symmetry operations apply as well, not only metrics!

Crystal families

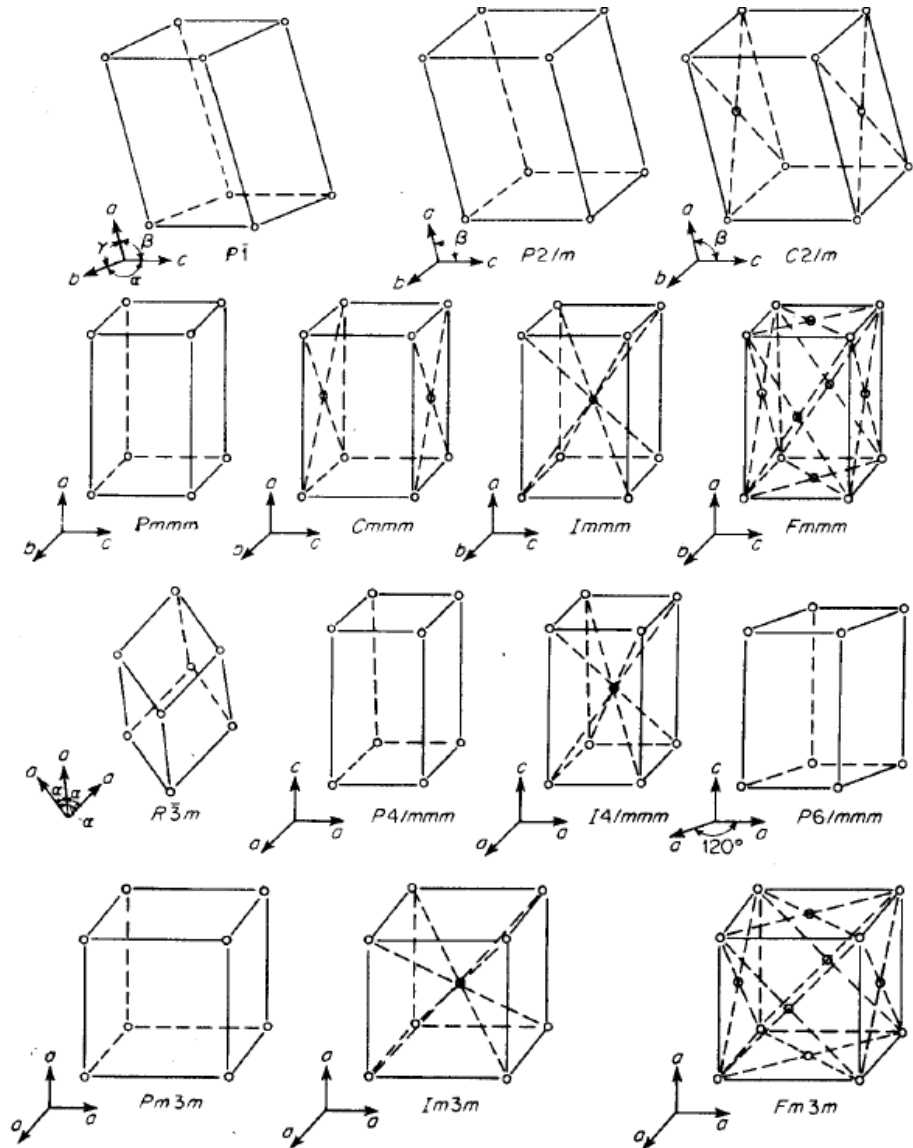
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unit **cell centering**

(P, I, A, B, C, F, R)

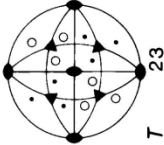
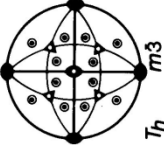
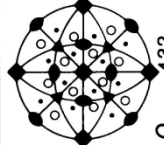
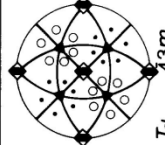
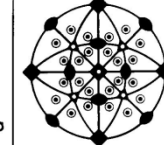
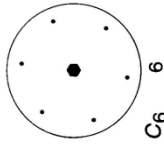
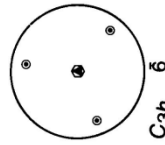
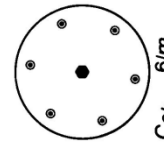
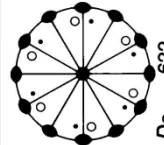
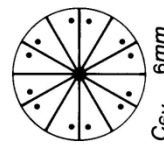
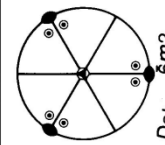
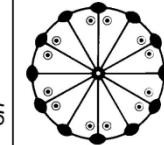
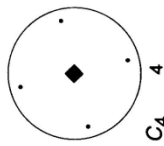
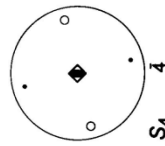
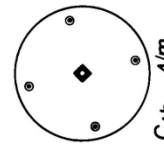
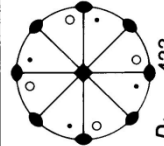
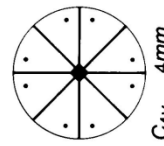
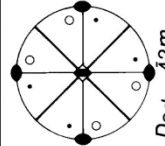
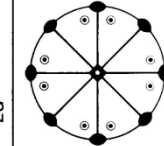
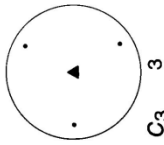
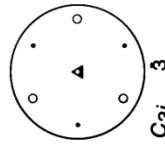
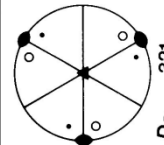
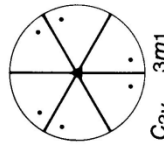
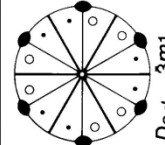
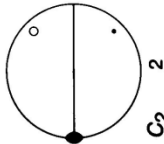
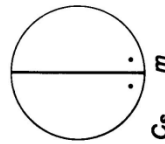
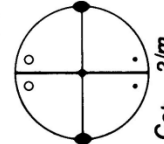
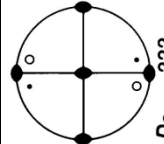
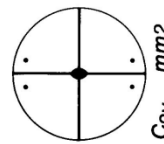
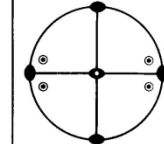
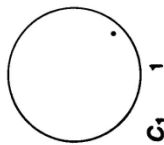
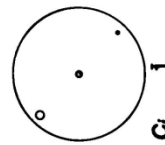
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14 Bravais lattices



32 Point groups/Crystal classes

(point groups contain symmetry operations with at least one fixed point
 ⇒ no glide planes, screw axes, translations)

Cubic	 T 23		 T_h $m\bar{3}$	 O 432		 T_d $\bar{4}3m$	 O_h $m\bar{3}m$	4 fold axes, 3 fold axes 2 fold axes, mirror planes, inv.
Hexagonal	 C_6 6	 C_{3h} $\bar{6}$	 C_{6h} $6/m$	 D_6 622	 C_{6v} $6/m\bar{m}$	 D_{3h} $6m2$	 D_{6h} $6/m\bar{m}m$	6 fold axes, 3 fold axes 2 fold axes, mirror planes, inv.
Tetragonal	 C_4 4	 S_4 4	 C_{4h} $4/m$	 D_4 422	 C_{4v} $4/m\bar{m}$	 D_{2d} $42m$	 D_{4h} $4/m\bar{m}m$	4 fold axes, 2 fold axes, mirror planes, inversion
Trigonal	 C_3 3	 C_{3i} $\bar{3}$		 D_3 321	 C_{3v} $3m1$	 D_{3d} $\bar{3}m1$		3 fold axes 2 fold axes, mirror planes, inversion
Monoclinic / Orthorhombic	 C_2 2	 C_s m	 C_{2h} $2/m$	 D_2 222	 C_{2v} $mm2$		 D_{2h} mmm	2 fold axes, mirror planes, inversion
Triclinic	 C_1 1	 C_i 1						inversion I: -x -y -z

Crystal families + centring + symmetry elements



230 crystallographic space groups

(Description by Hermann-Mauguin- or Schönflies-Symbols)

Extensions

- **Magnetic space groups**
(1651 groups accounting possible spin orientations of magnetic elements)
- **Quasicrystals**
(n-dim. Crystallographic space groups, $n > 3$)

Concept of Reciprocal Space

Direct (=real) space: Unit Cell \leftrightarrow lattice vectors **a**, **b**, **c**

$\mathbf{t} = u^*\mathbf{a} + v^*\mathbf{b} + w^*\mathbf{c}$ translation vector, $u, v, w \in \mathbf{Z}$

$\mathbf{x} = x^*\mathbf{a} + y^*\mathbf{b} + z^*\mathbf{c}$ atomic coordinates, $x, y, z \in [0, 1)$

$V = \mathbf{a}(\mathbf{b} \times \mathbf{c})$ volume of unit cell

Reciprocal (=Q) space: reciprocal lattice vectors

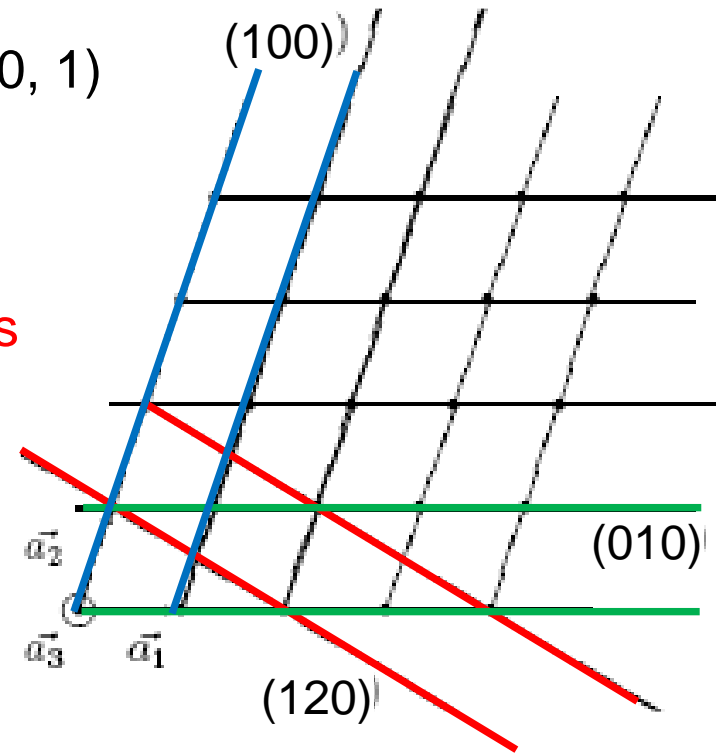
$\mathbf{a}^* = (\mathbf{b} \times \mathbf{c})/V$, $\mathbf{b}^* = (\mathbf{c} \times \mathbf{a})/V$, $\mathbf{c}^* = (\mathbf{a} \times \mathbf{b})$

Lattice plane with **Miller indices** $h, k, l \in \mathbf{Z}$ and

$\mathbf{Q} = 2\pi(h^*\mathbf{a}^* + k^*\mathbf{b}^* + l^*\mathbf{c}^*) = 2\pi \mathbf{H}$

H: normal vector of hkl plane

with $1/|\mathbf{H}| = d_{hkl}$ distance of $(h k l)$ lattice plane, e.g. **cubic cell:** $d_{hkl} = a/\sqrt{(h^2+k^2+l^2)}$



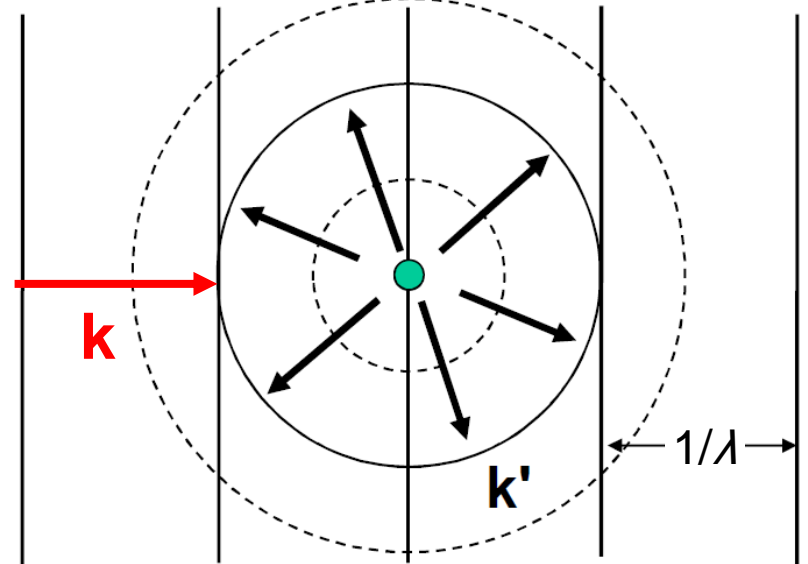
Scattering of Plane Wave

Radiation with wave vector k
 $|k| = 2\pi/\lambda$ (or $1/\lambda$ for crystallographers)

Radiation: el.-magn. waves, n° , e^-

radiation interacts with „scatterer“

**in principle anything that makes
 the specific radiation to be scattered**



scattered radiation with k' :
 spherical wave outgoing from scatterer's position (point source)

assume energy conservation $E = E' \Leftrightarrow |k'| = |k|$ **elastic scattering**

\Rightarrow Diffractometry

Scattering of Plane Waves

more than one scatterer:

Interference with $\mathbf{k}' = \mathbf{k} + \mathbf{Q}$

\mathbf{Q} : scattering vector

phase shift between spherical waves

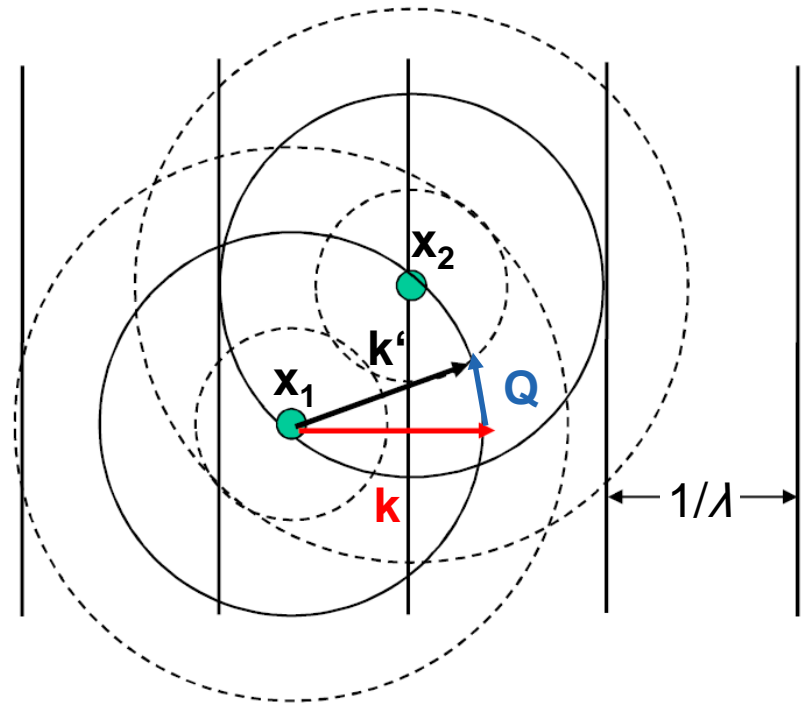
$\mathbf{Q}(\mathbf{x}_2 - \mathbf{x}_1)$

Scattering Amplitude $s(\mathbf{Q})$

$$s(\mathbf{Q}) = s_1 \exp(i\mathbf{Q}\mathbf{x}_1) + s_2 \exp(i\mathbf{Q}\mathbf{x}_2)$$

$$n \text{ scatterers in given volume: } s(\mathbf{Q}) = \sum_{j=1}^n s_j \exp(i\mathbf{Q}\mathbf{x}_j)$$

\Rightarrow Structure factor $F(\mathbf{Q})$



Derivation of Bragg's Law from Scattering Vector

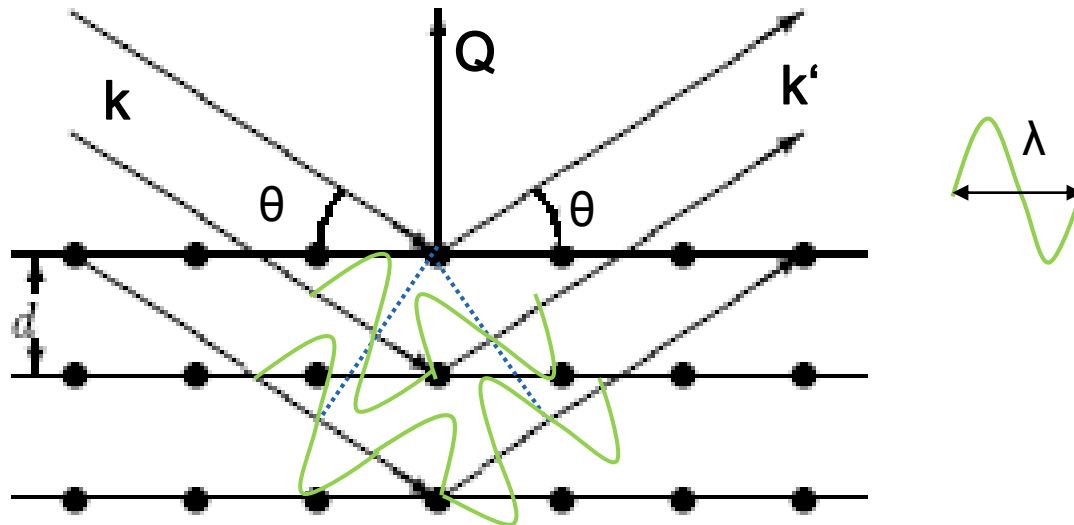
assume scattering vector $\mathbf{Q} = \mathbf{k}' - \mathbf{k} = 2\pi(h^*\mathbf{a}^* + k^*\mathbf{b}^* + l^*\mathbf{c}^*) = 2\pi \mathbf{H}$

distance of lattice plane $2\pi/|\mathbf{Q}| = d_{hkl}$; $|\mathbf{k}| = 2\pi/\lambda$, $\angle(\mathbf{k}', \mathbf{k}) = 2\theta$

$$\mathbf{Q}^2 = 4\pi^2/d_{hkl}^2$$

$$\Leftrightarrow (2d_{hkl} \sin \theta)^2 = \lambda^2 \quad \text{quadratic version of Bragg's Law}$$

constructive interference in crystal for integer h, k, l with phase shift of $n\lambda$ between neighbored lattice planes of same H



Effect of Centering for Structure factor

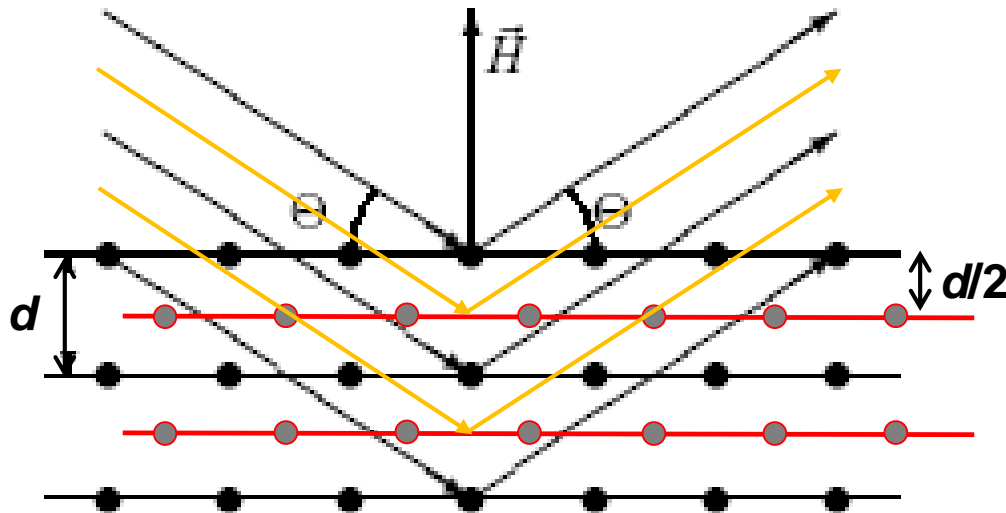
$\mathbf{x} = x^*\mathbf{a} + y^*\mathbf{b} + z^*\mathbf{c}$, $x, y, z \in [0, 1)$, rel. atomic coordinates

$\mathbf{Q} = 2\pi(h^*\mathbf{a}^* + k^*\mathbf{b}^* + l^*\mathbf{c}^*) = 2\pi \mathbf{H}$, scattering vector

$$F(\mathbf{Q}) = \sum_{j=1}^n s_j \exp(i \mathbf{Q} \mathbf{x}_j) = \sum_{j=1}^n s_j \exp(2\pi i (hx_j + ky_j + lz_j)) =: F_{hkl}$$

Constructive interference = phase shift of $n \cdot \lambda$ between layers of same orientation

Geometric approach



Insertion of additional identical layers of same $\mathbf{H}/|\mathbf{H}|$ in distance $\frac{1}{2}d_{hkl}$:

phase shift for neighbouring planes $\frac{1}{2}n \rightarrow$ destructive interference for odd n

Effect of Centering for Structure factor

$\mathbf{x} = x^*\mathbf{a} + y^*\mathbf{b} + z^*\mathbf{c}$, $x, y, z \in [0, 1)$, rel. atomic coordinates

$\mathbf{Q} = 2\pi(h^*\mathbf{a}^* + k^*\mathbf{b}^* + l^*\mathbf{c}^*) = 2\pi \mathbf{H}$, scattering vector

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Constructive interference = phase shift of $n^*\lambda$ between layers of same orientation

Mathematical derivation, e.g. for bcc structure:

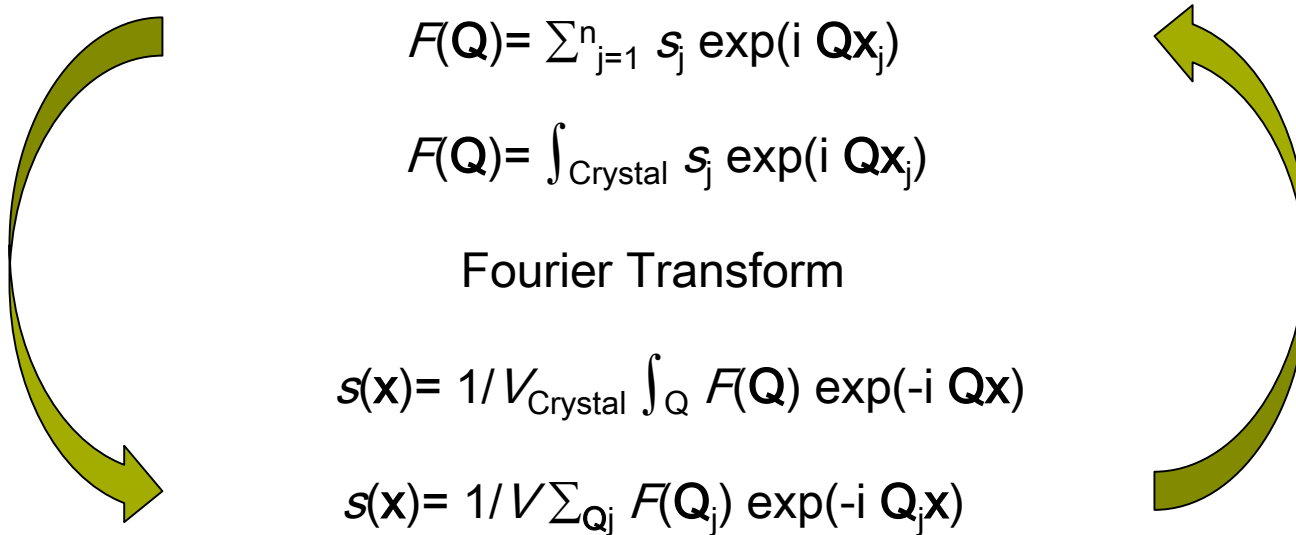
pattern in unit cell observed from (0 0 0) repeats at ($\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$)

$$\begin{aligned} F(\mathbf{Q}) &= \sum_{j=1}^n s_j \{ \exp(i \mathbf{Q} \mathbf{x}_j) \\ &= \frac{1}{2} \sum_{j=1}^{n/2} s_j \{ \exp(i \mathbf{Q} \mathbf{x}_j) + \exp(i \mathbf{Q}(\mathbf{x}_j + (\frac{1}{2} \frac{1}{2} \frac{1}{2}))) \} \\ &= \frac{1}{2} \{ 1 + \exp(\pi i (h+k+l)) \} \sum_{j=1}^{n/2} s_j \exp(i \mathbf{Q} \mathbf{x}_j) \\ &= \begin{cases} \sum_{j=1}^{n/2} s_j \exp(i \mathbf{Q} \mathbf{x}_j) & \text{for } h + k + l = 2n \\ 0 & \text{for } h + k + l \neq 2n \end{cases} \end{aligned}$$

centered structures \Leftrightarrow extinction rules

Relationship between real space and reciprocal space

distribution of scattered wave amplitudes in Q space = Fourier transform of distribution of scattering objects in x (=real) space and vice versa!



more general: $s(\mathbf{x})$ = scattering density, e.g.

X-rays: form factor $f(\mathbf{Q})$ with $f(0)=Z$

Neutrons: nuclear and magnetic scattering lengths b

Phase problem of Crystallography

direct relationship between $F(\mathbf{Q})$ and $s(\mathbf{x})$, but:

only intensity $|F(\mathbf{Q})|^2$ measurable, **no phase information**

(except for special cases, e.g. resonant scattering)

$$I(\mathbf{Q}) = |F(\mathbf{Q})|^2 = F(\mathbf{Q})^* F(\mathbf{Q}) = F(\mathbf{Q})^* F(-\mathbf{Q}) = I(-\mathbf{Q}) \text{ Friedel pair}$$

Phase problem of Crystallography

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Patterson function

$$\begin{aligned} I(\mathbf{Q}) &= \int s(\mathbf{x}) \exp(i\mathbf{Q}\mathbf{x}) d\mathbf{x} \int s(\mathbf{x}') \exp(-i\mathbf{Q}\mathbf{x}') d\mathbf{x}' \\ &= \iint s(\mathbf{x}) s(\mathbf{x}') \exp[i\mathbf{Q}(\mathbf{x}' - \mathbf{x})] d\mathbf{x} d\mathbf{x}' \text{ with } \mathbf{x}' - \mathbf{x} = \mathbf{r} \end{aligned}$$

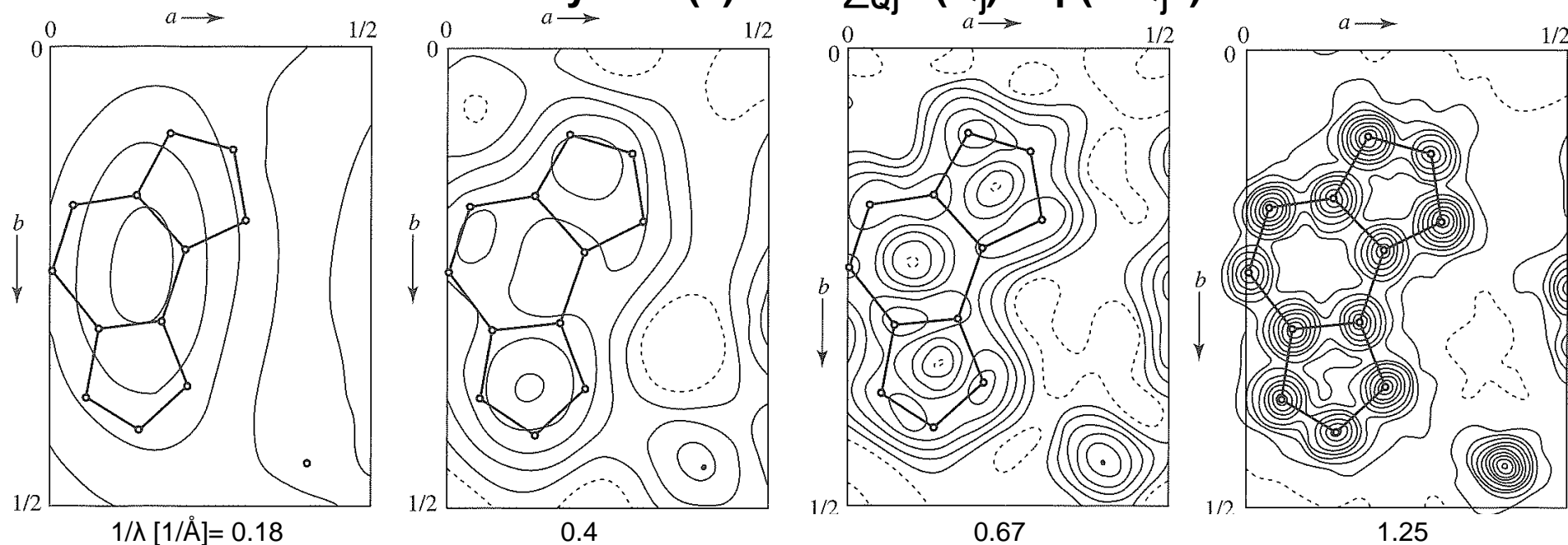
yields as Fourier transform

$$P(\mathbf{r}) = 1/N \sum I(\mathbf{Q}) \exp(i\mathbf{Q}\mathbf{r})$$

relative distances between electronic/nuclear densities

Limitations of Diffractometry

- **averaging over V_{sample} and t**
- **only $I(Q)=|F(Q)|^2$ visible** → „phase problem of crystallography“
- **λ dependent resolution:** $2\pi/|Q_{\text{max}}|=d_{\text{hkl,min}} = \lambda/2\sin\theta_{\text{max}} > \lambda/2$
- **limited amount of $F(Q_j)$**
 → limited accuracy for $s(x)=1/V \sum_{Q_j} F(Q_j) \exp(-i Q_j x)$!



Electron density maps with different $d_{\text{min}} = \lambda/2\sin\theta_{\text{max}}$ for Mo- K_α radiation

from „Crystal Structure Analysis“; A. Blake, W. Clegg, et al.; IUCr Book Series, Oxford University Press (2009)

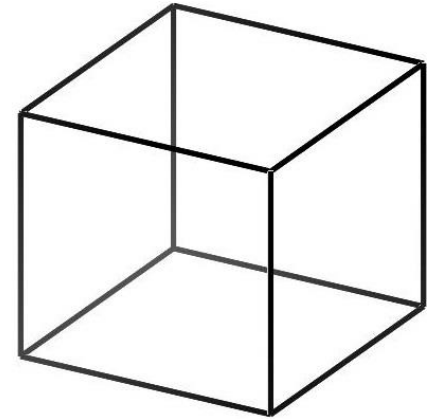
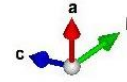
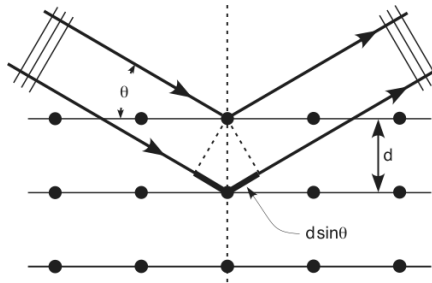
What can be extracted?

I. Collect Bragg angles Θ_{hkl} using

Bragg's Law $2d_{hkl} \sin(\Theta_{hkl}) = \lambda$



unit cell parameters



II. Collect Reflection intensities $I_{hkl} \sim |F_{hkl}|^2$

$$F_{hkl} = \sum_j T_j(\mathbf{Q}) * occ_j * s_j(\theta_{hkl}) * \exp[2\pi i(hx_j + ky_j + lz_j)]$$

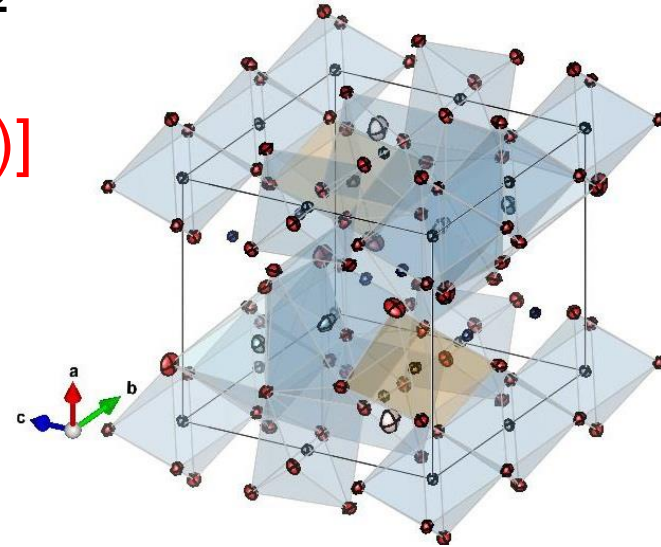


atomic positions

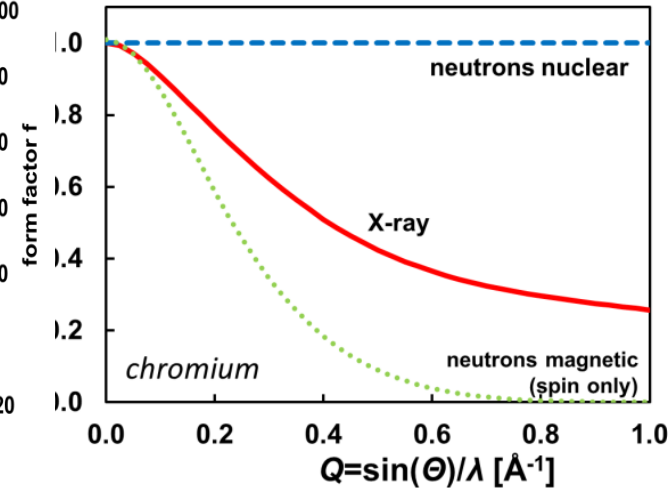
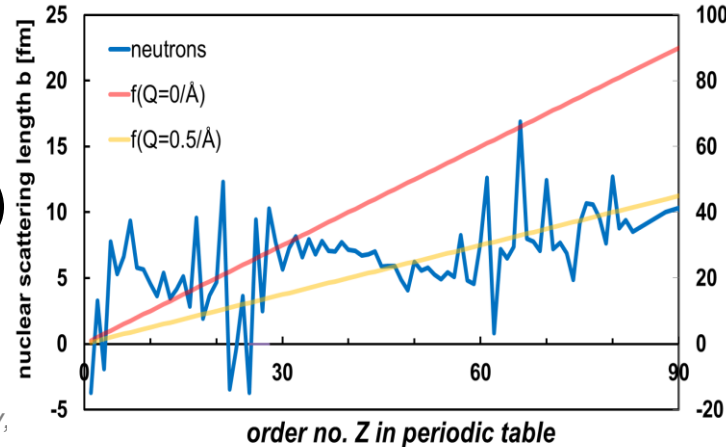
More accurate:

X-rays: electron densities

Neutrons: nuclear densities



Consideration of the interaction character of $s_j(\theta_{hkl})$



M. Meven, G. Roth; *Neutron Diffraction*;
 ed. R. Dronskovski, S. Kikkawa, and A.
 Stein;; *Handbook of Solid State Chemistry*,
 Wiley Vol 3 (2017)

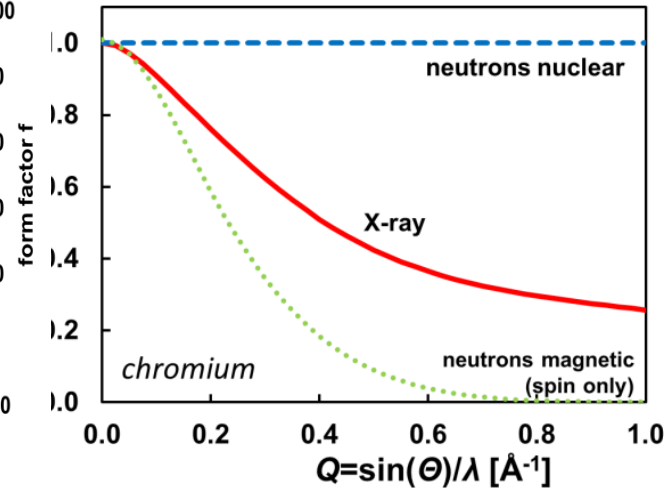
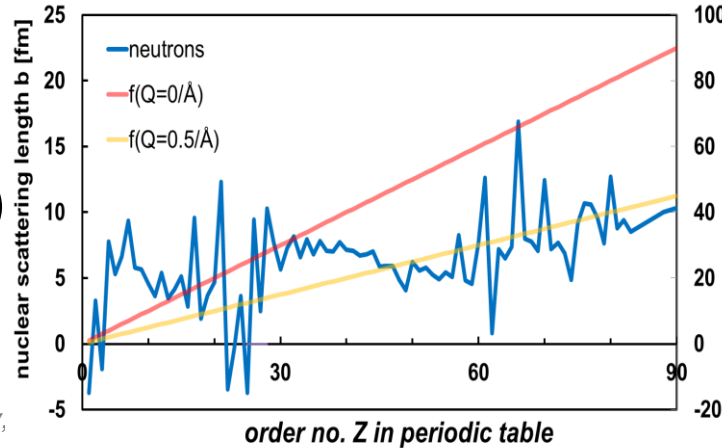
II. Collect Reflection intensities $I_{hkl} \sim |F_{hkl}|^2$

$$F_{hkl} = \sum_j T_j(\mathbf{Q}) * occ_j * s_j(\theta_{hkl}) * \exp[2\pi i(hx_j + ky_j + lz_j)]$$

↓ static or non static in $\sin(\Theta)/\lambda$!

- electron density distributions comparable to wavelength → Q dependence
- nuclei 10^5 times smaller than atoms = point scatterer → no Q dependence
- strong contrast variation between F_{hkl} from X-ray and neutron diffraction!

Consideration of the interaction character of $s_j(\theta_{hkl})$



M. Meven, G. Roth; *Neutron Diffraction*; ed. R. Dronskovski, S. Kikkawa, and A. Stein;; *Handbook of Solid State Chemistry*, Wiley Vol 3 (2017)

II. Collect Reflection intensities $I_{hkl} \sim |F_{hkl}|^2$

$$F_{hkl} = \sum_j T_j(Q) * \text{occ}_j * s_j(\theta_{hkl}) * \exp[2\pi i(hx_j + ky_j + lz_j)]$$

static in $\sin(\theta)/\lambda$! ↓

- **vacancies**, probability for atom on site i: $p_i < 1$
- **occ. disorder**, $\text{occ}_j * s_j = p_1 * s_{A1} + p_2 * s_{A2} + p_3 * s_{A3} + \dots$, $\sum p_{Ai} = 1$
- **Q independent effect**
- **tunable with isotope mixture (neutrons)**

T. Brückel, *A Neutron Primer in Neutron Scattering, Lectures of the JCNLS Laboratory course*, ed. T. Brückel, G. Heger, D. Richter, G. Roth and R. Zorn, *Schriften des Forschungszentrums Jülich, Reihe Schlüsseltechnologien Bd. 15*, pp 2:1-32 (2010).

$\times 10$	σ_{coh} [barn]	element	σ_{coh} [barn]	neutrons		
0.66	1.76	H ₁	1.76	●	●	●
24	5.55	C ₆	5.55	●		
416	1.75	Mn ₂₅	1.75	●		
450	11.22	Fe ₂₆	11.22	●		
522	13.30	Ni ₂₈	13.30	●	●	●
1408	4.39	Pd ₄₆	4.39	●		
2986	8.06	Ho ₆₇	8.06	●		
5631	8.90	U ₉₂	8.90	●		

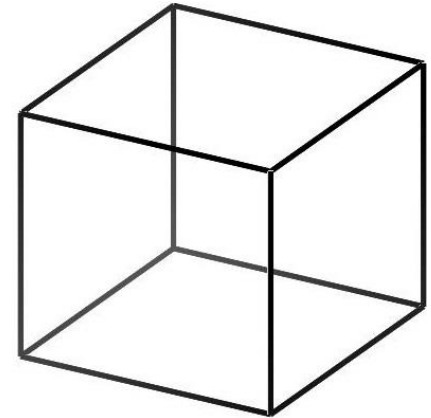
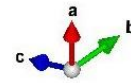
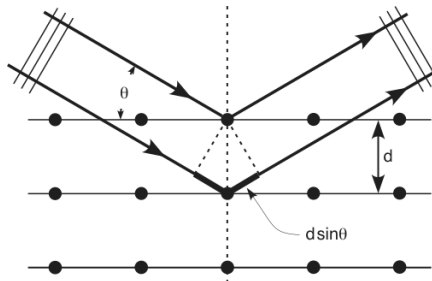
What can be extracted?

I. Collect Bragg angles Θ_{hkl} using

Bragg's Law $2d_{hkl} \sin(\Theta_{hkl}) = \lambda$



unit cell parameters



II. Collect Reflection intensities $I_{hkl} \sim |F_{hkl}|^2$

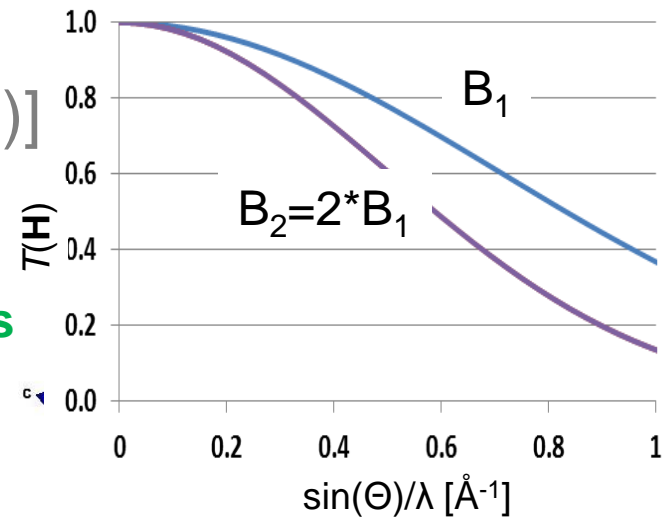
$$F_{hkl} = \sum_j T_j(\mathbf{Q}) \cdot occ_j \cdot s_j(\theta_{hkl}) \cdot \exp[2\pi i(hx_j + ky_j + lz_j)]$$

↓ \mathbf{Q} dependent, decreases with $\sin(\Theta)/\lambda$!

Debye Waller factors aka mean square displacements

caused by statistical oscillations around mean positions

- isotropic: $T(\mathbf{H}) = \exp\{-B(\sin\Theta_{hkl}/\lambda)^2\}$ with B_1
- anisotropic: 6 displacement parameters U_{ij}
- static and dynamic disorder or anharmonicities



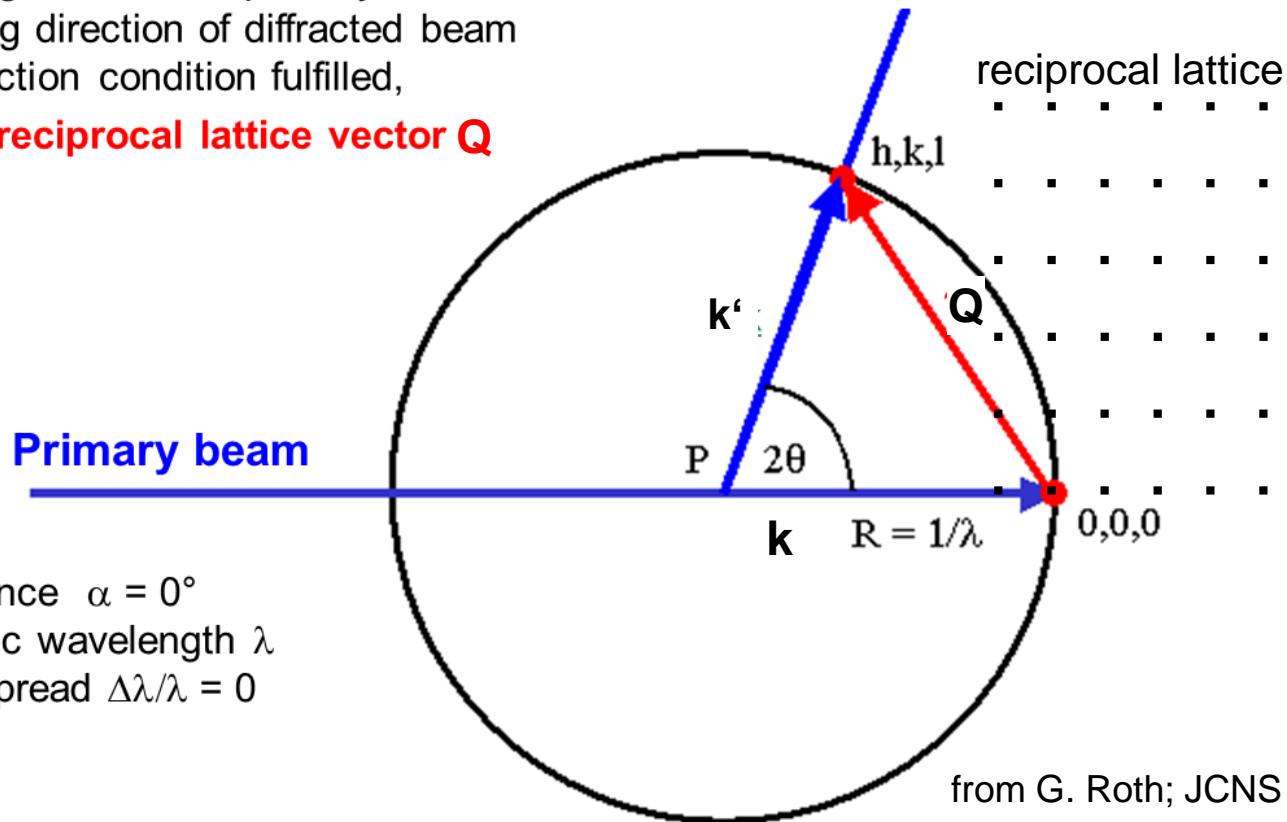
Instrumental Aspects

introduce Ewald sphere for discussion

Direction of diffracted beam

for reflection (hkl)

- \mathbf{k} : Vector along direction of primary beam
 - \mathbf{k}' : Vector along direction of diffracted beam
- Bragg-reflection condition fulfilled,
if $\mathbf{k} - \mathbf{k}_0$ is a **reciprocal lattice vector \mathbf{Q}**



from G. Roth; JCMS spring school

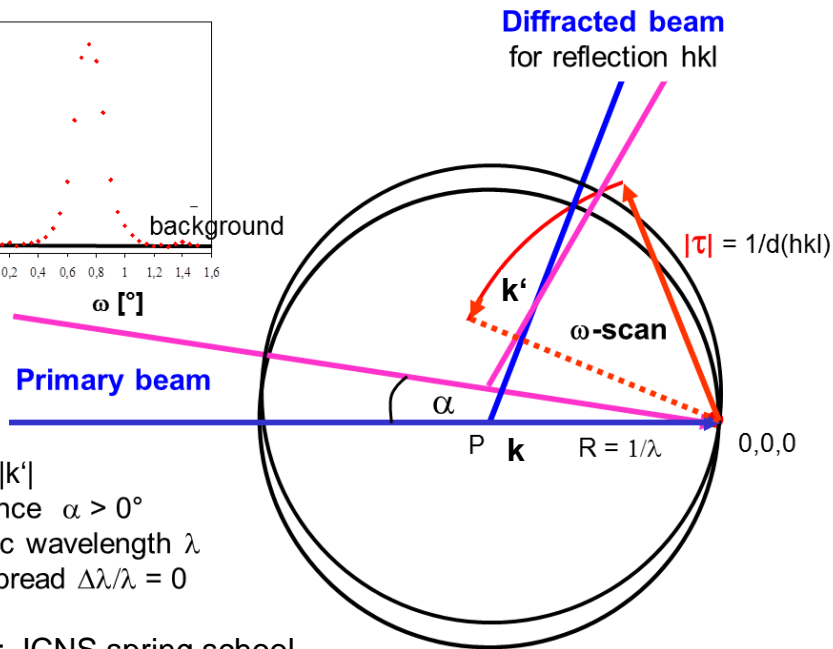
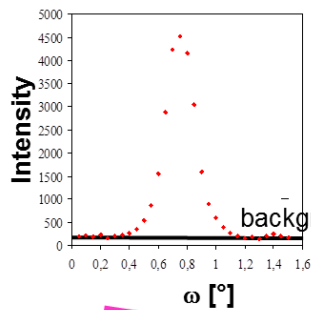
- Assumed:
- Beam divergence $\alpha = 0^\circ$
 - Monochromatic wavelength λ
 - Wavelength spread $\Delta\lambda/\lambda = 0$

/(hkl) measurable: Q touches Ewald sphere & detector is at same position

Instrumental Aspects

- Beam divergence

- spacial divergence monochromator quality & size, collimation



⇒ Ewald sphere broadens

⇒ Bragg' Law fulfilled in $(\theta_{hkl}, \theta_{hkl} + \alpha)$

Assumed: $|\mathbf{k}| = |\mathbf{k}'|$
 Beam divergence $\alpha > 0^\circ$
 Monochromatic wavelength λ
 Wavelength spread $\Delta\lambda/\lambda = 0$

from G. Roth; JCNS spring school

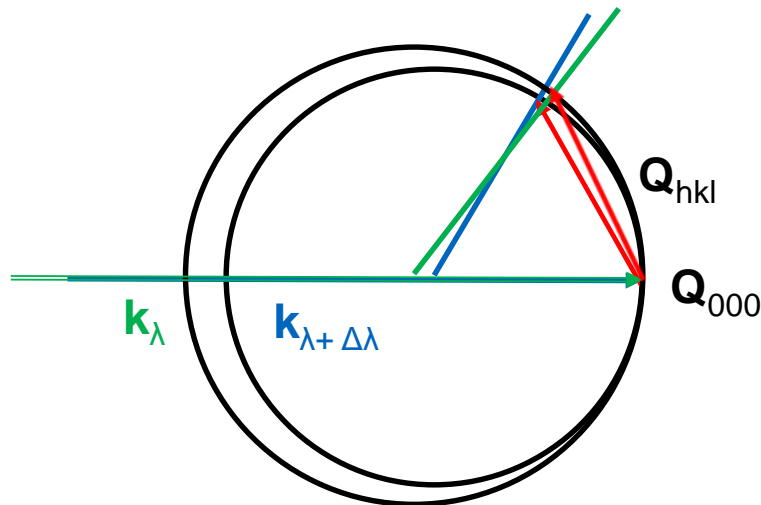
Instrumental Aspects

- **Beam divergence**

- **Energy resolution $\Delta\lambda/\lambda$**

⇒ broadens thickness of Ewald sphere in dependence of θ
 (typ. 10^{-3} - 10^{-2} for neutron diffractometers)

⇒ Bragg' Law fulfilled in $(\lambda, \lambda + \Delta\lambda) \rightarrow$ additional $\Delta\theta_E$



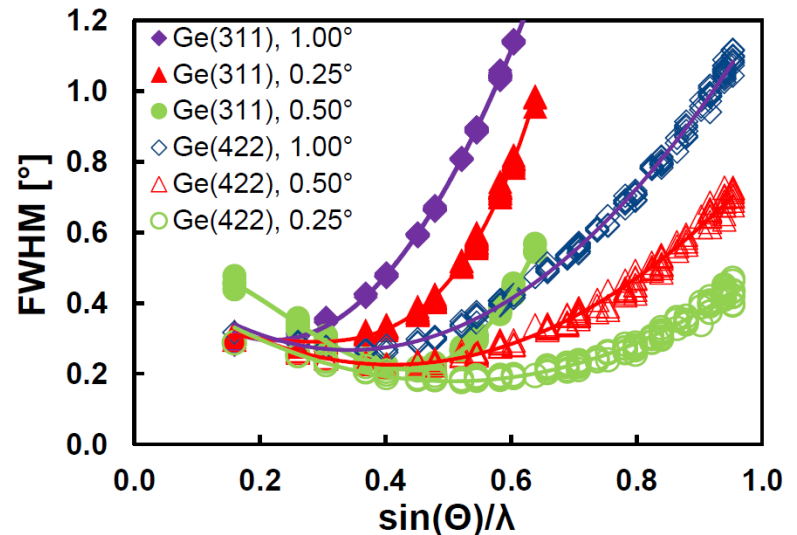
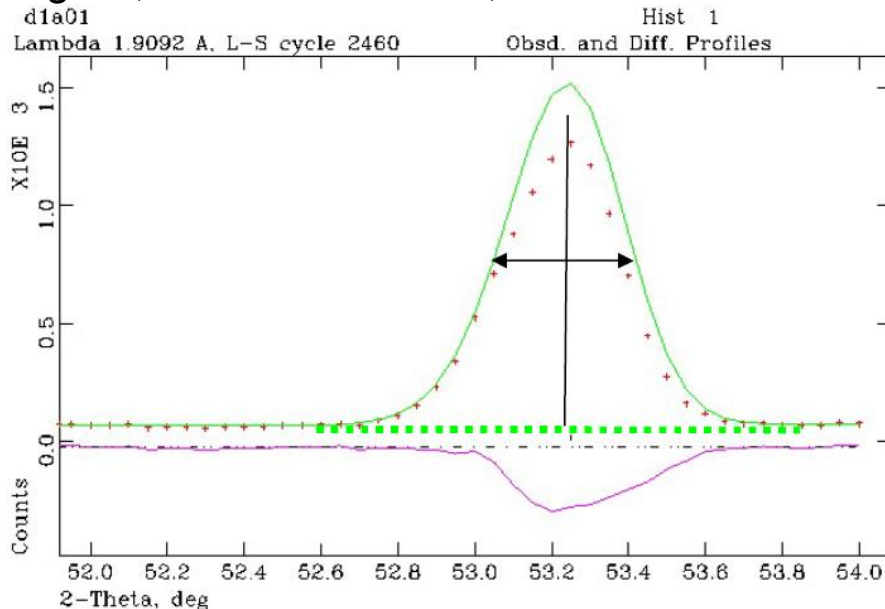
Instrumental Aspects

- **Beam divergence usually dependent on 2θ**
 $K_{\alpha 1}/K_{\alpha 2}$ split for high 2θ (X-ray tube) or instrument with monochromator

⇒ determine instrumental resolution empirically, e.g.

Caglioti formula:
$$FWHM_{\text{instrument}}^2 = w + v \tan(\theta) + u \tan^2(\theta)$$

Caglioti, Paelotti and Ricci, Nucl. Instrum. Methods, 35,223 (1958)



FWHM definition (full width at half maximum)

Resolution NSCD HEIDI

Instrumental Aspects

- **Beam divergence $\Delta\theta$**
usually Q dependent!

Lorenz factor $L = 1/\sin(2\Theta)$

relative time the reflection spot stays in Ewald sphere depends on θ

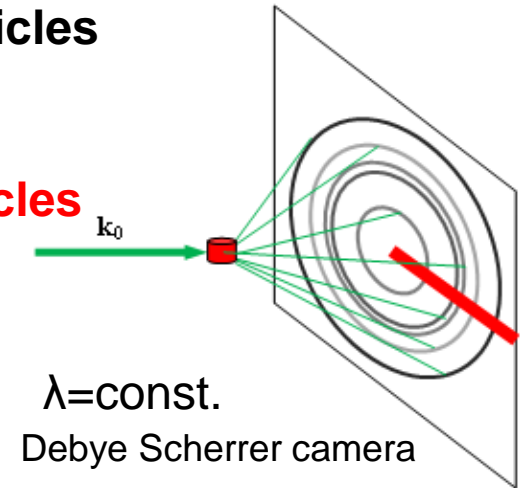
- **Polarisation factor $P = \frac{1}{2} (1 + \cos^2(2\Theta))$** (X-ray lab. beam tube)
Diffracted X-rays become polarized, (unpolarised) neutrons not!
- **LP factor** merged factor of L and P

Powder sample: many randomly oriented crystalline particles

Example: angular dispersive design ($\lambda = \text{const.}$)

\Rightarrow many Bragg peaks at same time on Debye Scherrer circles

parallel measurement of I_{hkl}

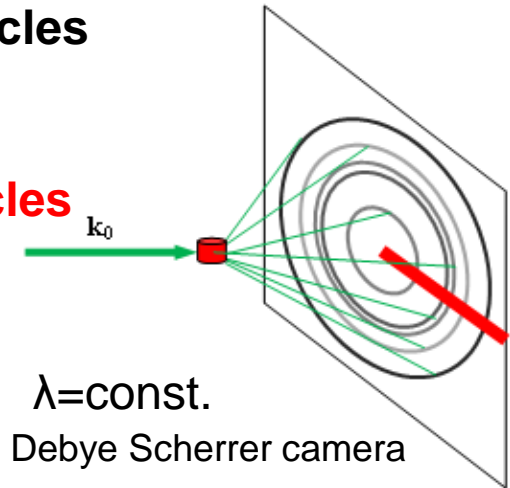


Powder sample: many randomly oriented crystalline particles

Example: angular dispersive design ($\lambda = \text{const.}$)

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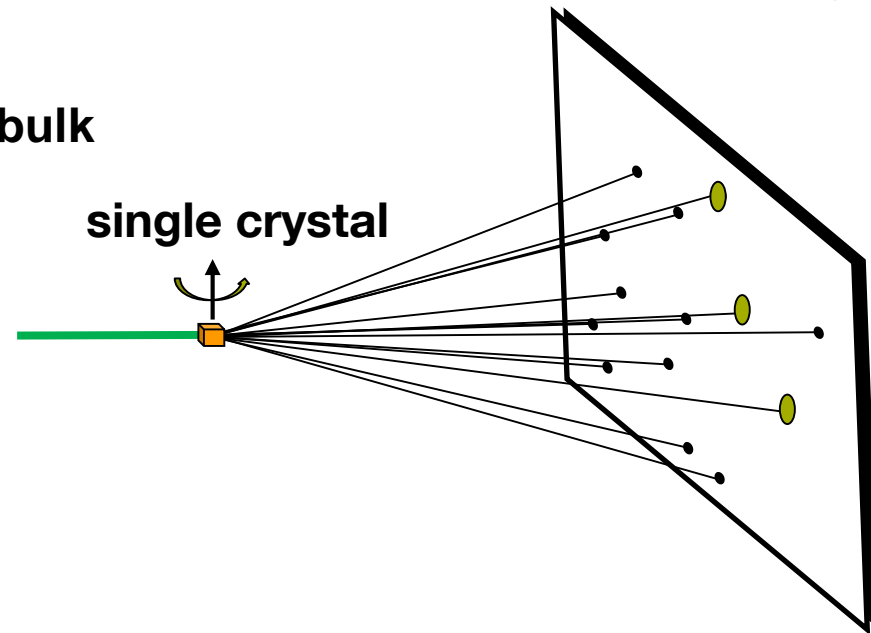
Single Crystal: One large perfectly oriented bulk

- angular dispersive design

$$(\lambda = \text{const.}, 2d_{hkl} \sin(\Theta) = \lambda)$$

⇒ only one set of planes per time

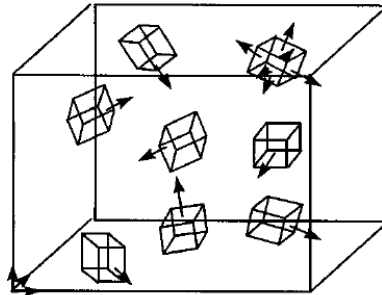
sequential measurement of I_{hkl}



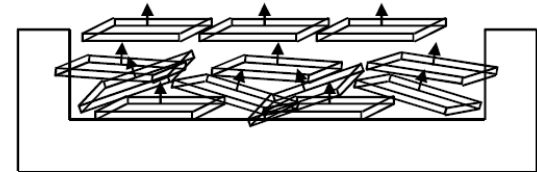
Sample specific Aspects

Powder Microstructure

- **Texture**
ideally total random distribution of crystallites not always possible



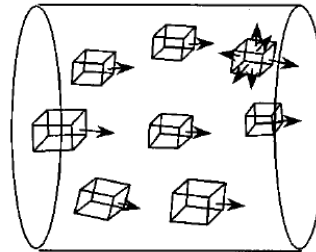
Loose powder



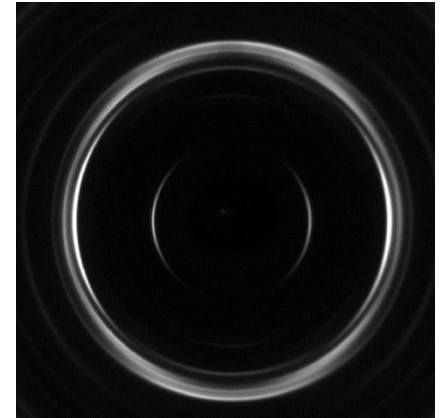
Platelike particles pressed in a recess

⇒

$I(Q)$ varies on Debye-Scherrer circle!



Metal wire



- **Crystallite size D**
limited volume of coherent scattering:
 $\text{FWHM}(2\theta) \sim \lambda/D / \cos(\theta)$ Scherrer Equation (Lorentian)
- **Micro strain ϵ**
fluctuations in lattice constants: $\text{FWHM}(2\theta) \sim \langle \epsilon \rangle \tan(\theta)$ (Gaussian)

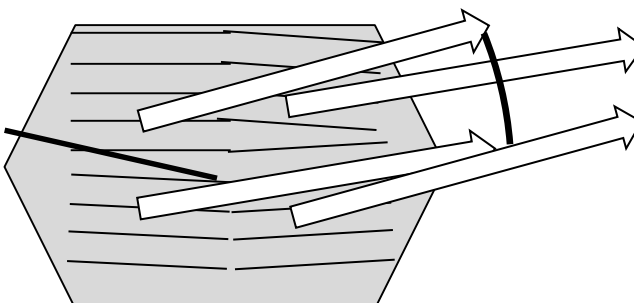
Sample specific Aspects

Single Crystals

- Mosaicity**

thanks to entropy there is no crystal free of any faults impurities, dislocations, stacking faults generate grains

k with $\alpha=0, \Delta\lambda/\lambda=0$



variation of grain orientation
 $\Delta\omega > 0$

Mosaic spread

Mosaic spread $\Delta\omega > 0 \Rightarrow$ **peak broadening**

\rightarrow **rotate sample in diffraction plane to get full volumetric intensity (ω scan)**

$$\text{FWHM}_{\text{total}}^2 = \alpha_{\text{instrument}}^2 + \Delta\omega_{\text{sample}}^2$$

(Special case: twinning)

Effect can be strongly anisotropic, graphite as extreme example!

- Twinning (Arrangement of grains follows specific crystallographic grain boundaries)**

Sample specific Aspects

Radiation & direction dependent interaction weakens scattered intensities

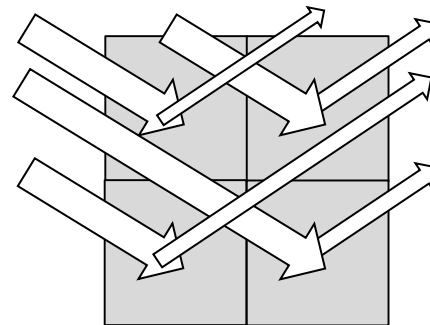
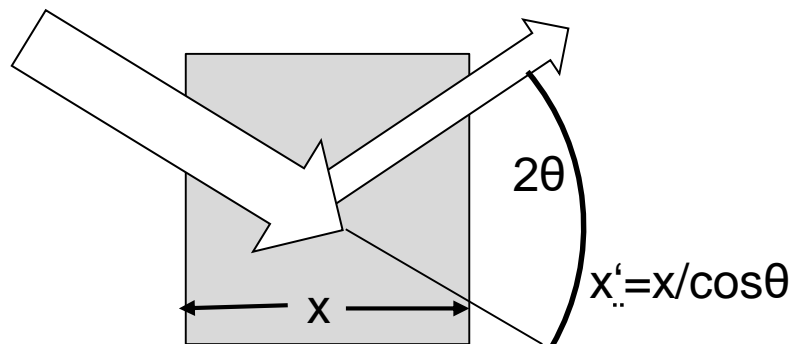
X-rays: electron densities, Neutrons: core, phonons

Absorption $I(Q) = I_0(Q)\exp(-\mu x)$; $\mu = \sum_{j, \text{unit cell}} \sigma_j^{\text{abs}} * n_j$ linear absorption coefficient

σ_j^{abs} abs. cross section (E and element/isotope specific), n_j particle density

Numerical solution

calculate mean path length x^t through sample for each $I(Q)$



for each segment:

$$I_k(Q) = I_0/k \exp(-\mu x_k)$$

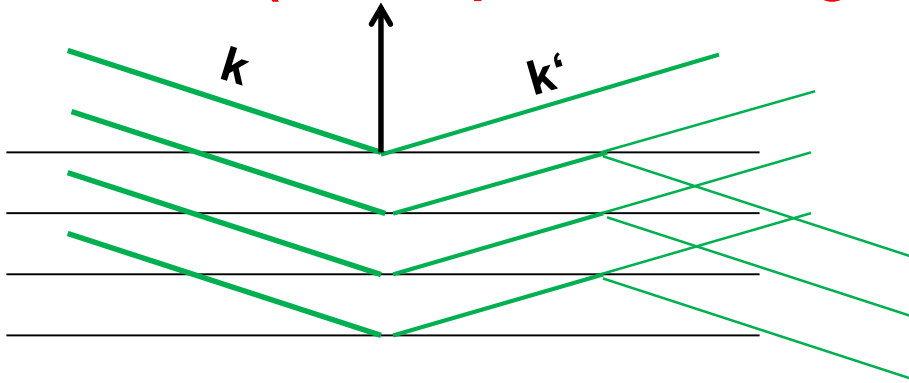
$$I(Q) = \sum_k I_k = I_0 \exp(-\mu x^t)$$

Empiric solution

„absorption map“ measuring same $I(Q)$ for various ψ angles and Q

Sample specific Aspects

Extinction (= multiple scattering on identical planes)



unwanted backscattering of diffracted beam towards \mathbf{k}

⇒ intensity loss in $I(Q)$
up to 50% or more

Effect depends on crystal quality and crystal size

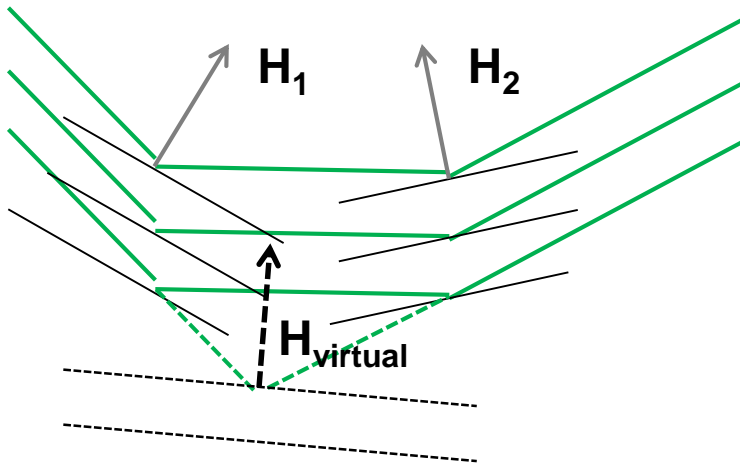
⇒ ψ scans for empirical correction or mathematical modelling

Numerical correction by extinction parameter
 isotropic E_{iso} / anisotropic E_{ij}

high risk alternative: increase by shock freezing

Sample specific Aspects

„Renninger“-Effect (= multiple scattering on different planes)



$$H_{\text{virtual}} = (H_1 + H_2)$$

unwanted intensity gain in $I(Q)$

can bias space group determination for extinct but not vanishing reflections

Effect is influenced by crystal quality and crystal size

⇒ ψ scans for test or mathematical modelling („Umweg“, Rosmanith)

Note: Except mosaicity/texture all effects also depend on wavelength/brilliance

Consideration of Statistics

- **Measure full peak profiles** → integral I_{hkl}
well defined/full volumetric information
- **Choose enough time t to minimize error of I_{netto} :**

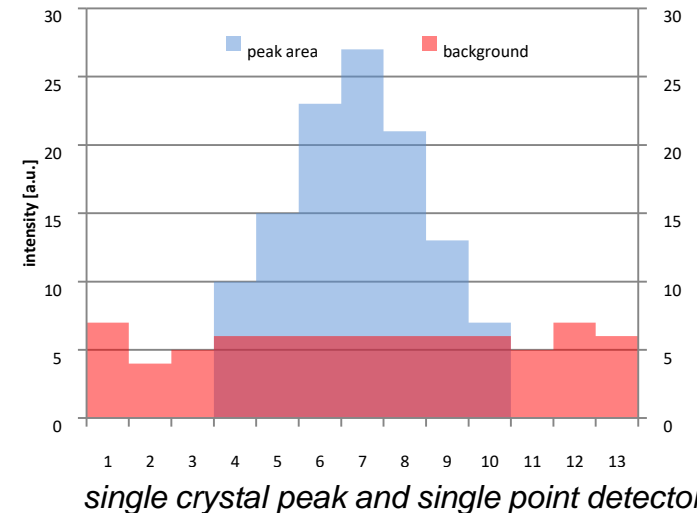
⇒ profile split in peak $P = \sum I_p$ & background $B = \sum I_B$

$$I_{netto} = P - (t_p/t_B)B$$

$$\sigma^2 = P + (t_p/t_B)^2 B; t = t_p + t_B$$

⇒ set t to achieve $I_{netto}/\sigma > 20$ (e.g.) for most reflections (= 5% error)
(or other reasonable value and combine pre-scan + final scan)

⇒ **Error of I_{netto} depends strongly on background (H/D problem!)**



- **Consider instrumental error : McCandlish factor mc**

$$\sigma^2 \rightarrow \sigma^2 + (mc I_{netto})^2$$

- **Diffraction experiment:**
Compromise between max. Q space/no. of reflections and max. accuracy

Structure Determination → Structure Refinement Principle

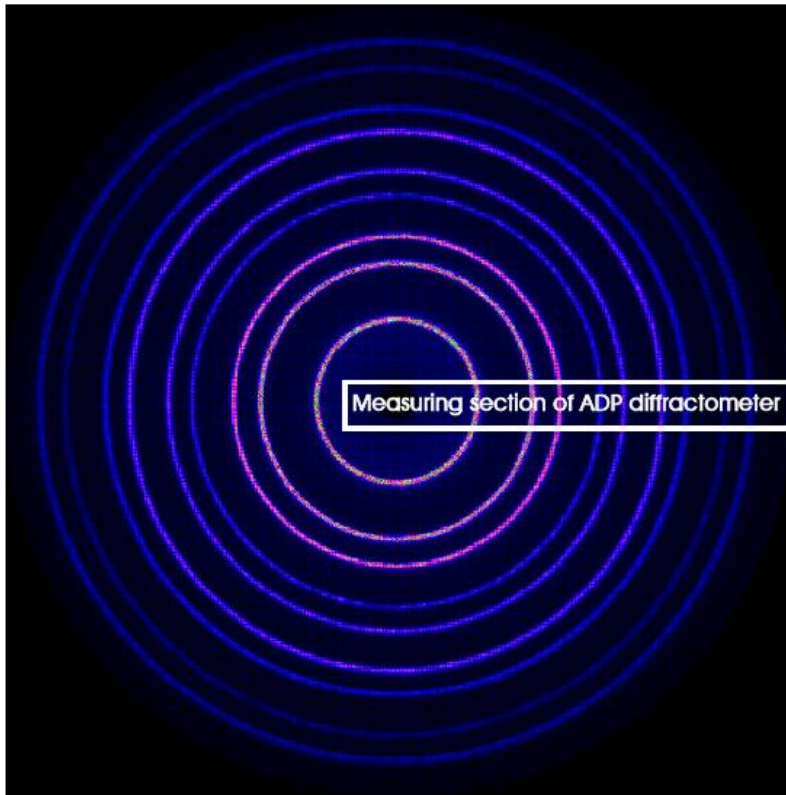
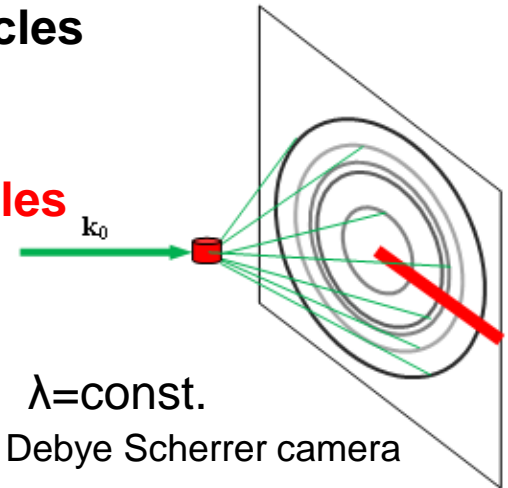
- Collection of a diffractogram (powder) or Bragg data set (single crystal)
- Correction of raw data (remove instrumental and sample effects, if possible)
- Comparison & Refinement
 - I. **Compare** diffractogram / set of measured intensities I_{exp} with expected/calculated diffractogram / set of $|F_{\text{calc}}|^2 = I_{\text{calc}}$ for both given parameters from **structure model** and **instrument** and
 - II. **Calculate** $R(I) = \frac{\sum |I_{\text{calc}} - I_{\text{exp}}|^2}{\sum I_{\text{exp}}^2}$ to estimate quality of agreement other sophisticated „agreement factors“ might also apply
 - III. **Estimate shift of free parameters for improvement** of structure model and recalculate I_{calc} and all other related parameters
 - IV. **Repeat steps until R and other statistical parameters reach minimum**

Attention! Wrong starting parameters/insufficient information (e.g. low no. of reflections, large errors) can yield misleading /wrong results

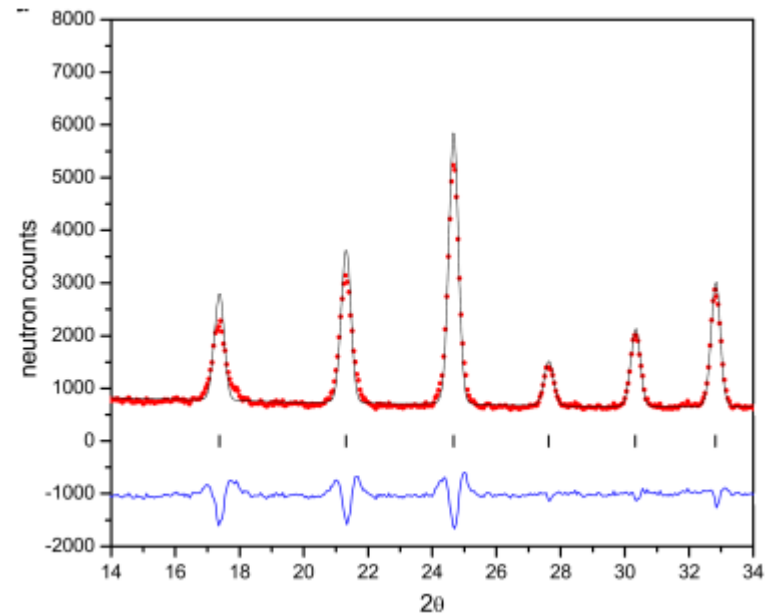
Powder sample: many randomly oriented crystalline particles

Example: angular dispersive design ($\lambda = \text{const.}$)

\Rightarrow many Bragg peaks at same time on Debye Scherrer circles

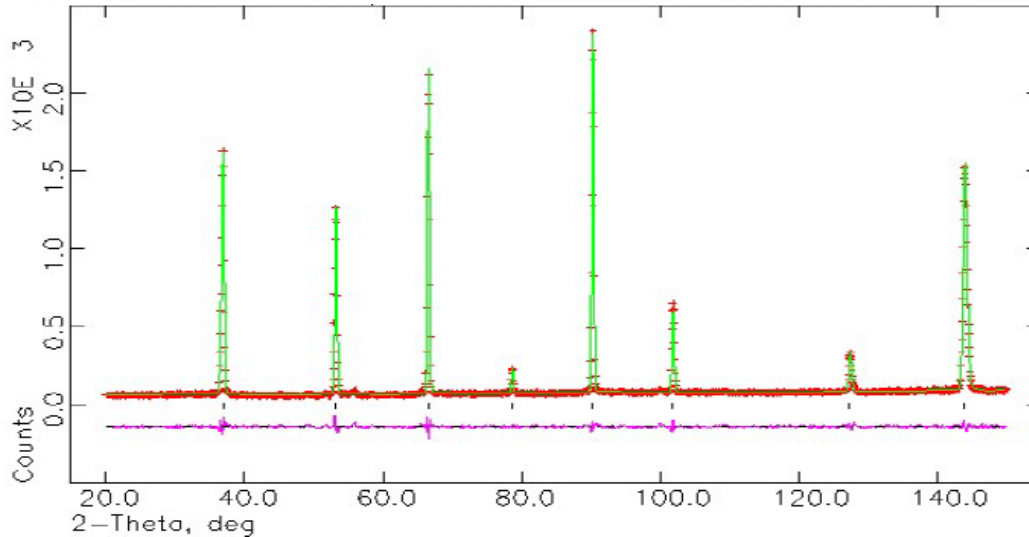


Debye Scherrer circles from powder diffraction



Profile analysis with Rietfeld procedure

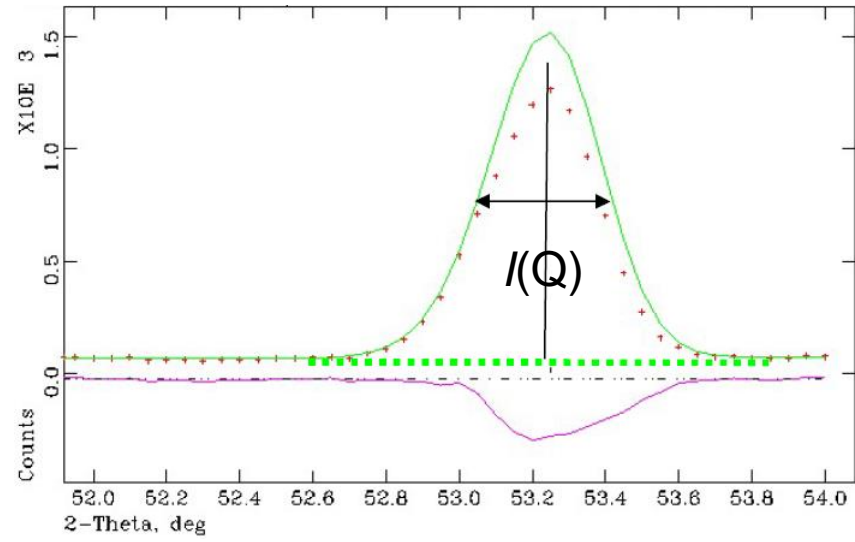
Powder Structure Refinement → Rietveld



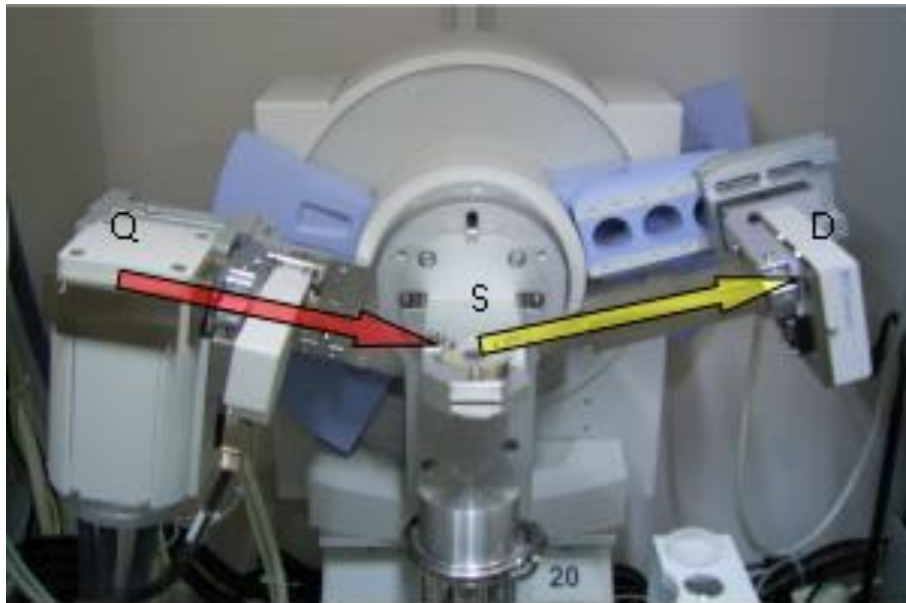
Diffractogram: *collection of background and $I(Q)$ profile intensities in 2θ region*

$I(Q) = m LP A O E S |F(Q)|^2$ with
 m: Multiplicity
 LP: LP factor
 A: absorption factor
 E: extinction factor
 Q: Bragg vector $|Q|$

$I(Q)$ profile convolutions of instrumental and crystal specific parameters



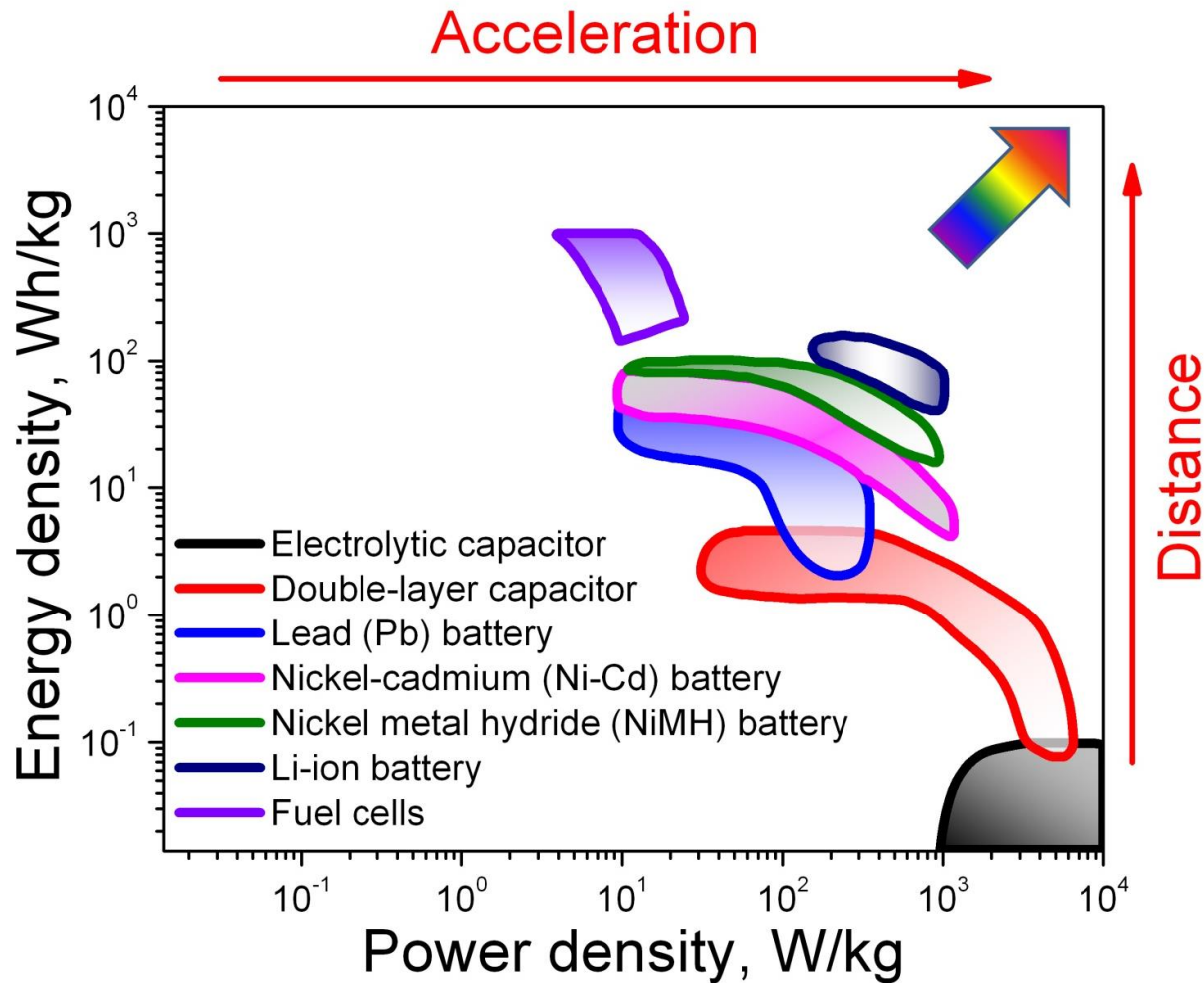
Instrumentation



left: laboratory X-ray diffractometer with $\theta/2\theta$ geometry (Bragg-Brentano),
 right: Neutron diffractometer SPODI

(Q: source, S: sample, D: detector, M: monochromator).

Energy: Li-ion Battery Technology – Why Lithium?



A. Senyshyn et al. (FRM II & KIT), *Journal of power sources*, 245, 678 – 683 (2014)

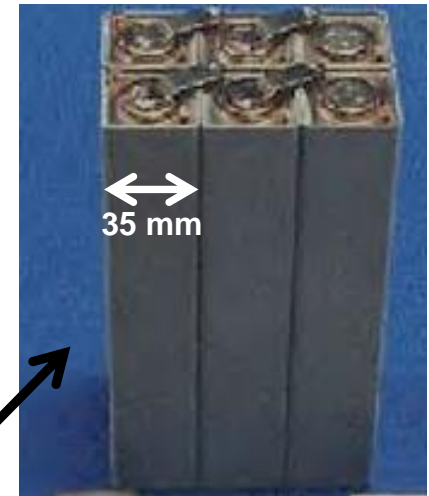
ZEBRA Battery – (Zero Emission Battery Research Activities) Na-(Ni/FeCl) Battery

Properties:

- high energy density (100-120 Wh/kg, lead accu. 30 Wh/kg)
- high reliability > 1000 cycles
- low cost of material (Ni, Fe)
- operating temperature: 270 °C – 350 °C



hybrid railway locomotives



ZEBRA Battery – Charge cycle

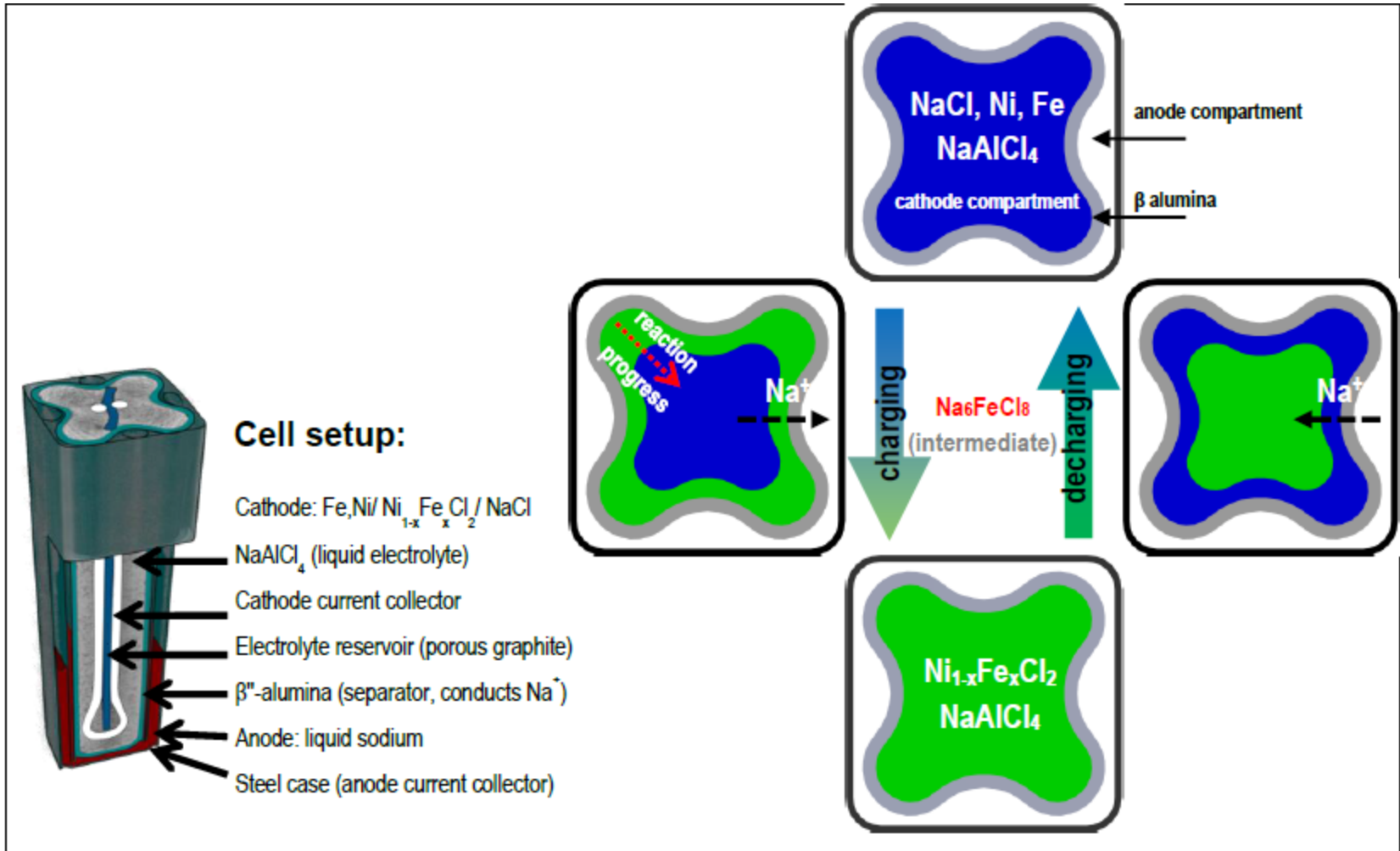





Fig. 25: ZEBRA[®] power cell; left: cell design (35*35*240 mm³) [HOF12]; right: reaction process

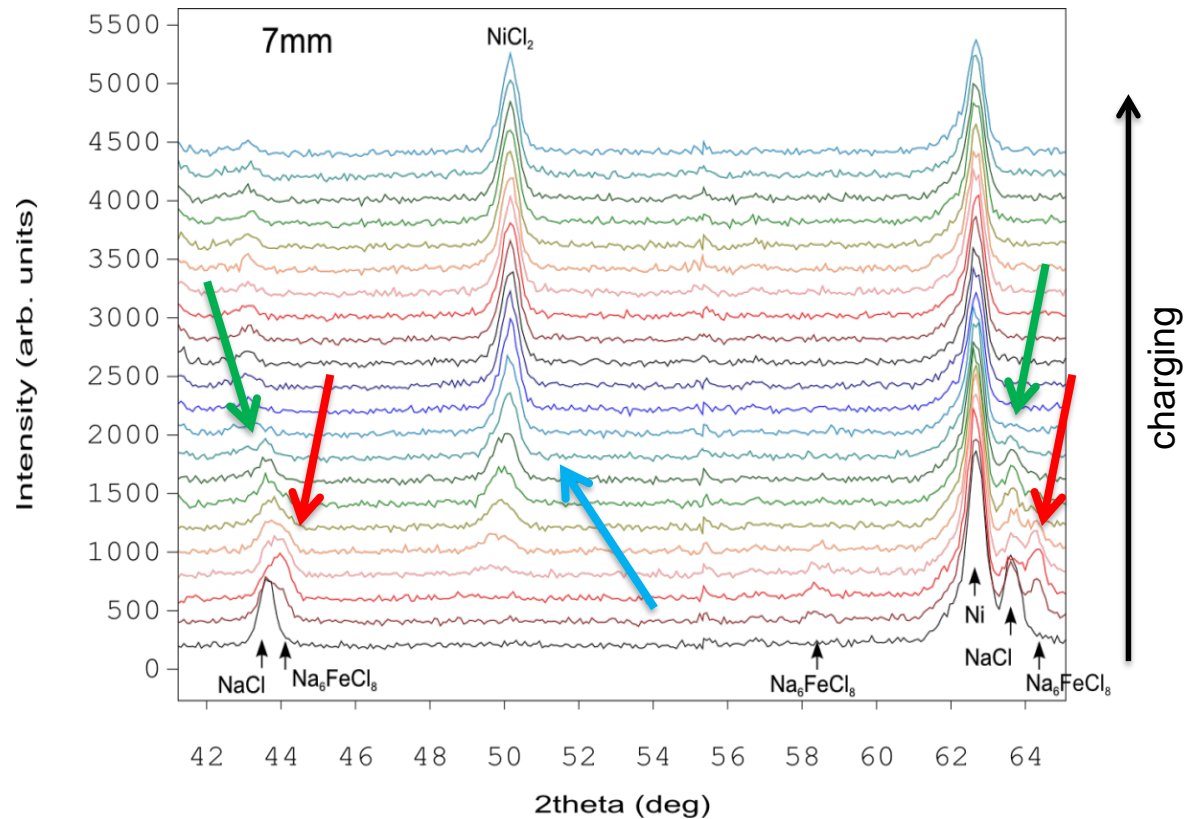
In Situ Spatially Resolved Neutron Diffraction of a Sodium Metal Halide Battery

Measurement at 7 mm (Position 1)

- Limited 2θ range
- 6 min for every diffractogram repeated every 26 min

Observations:

- NaCl reacts to Na_6FeCl_8 
- NaCl and Na_6FeCl_8 disappear 
- Formation of $\text{Ni}_{1-x}\text{Fe}_x\text{Cl}_2$ 

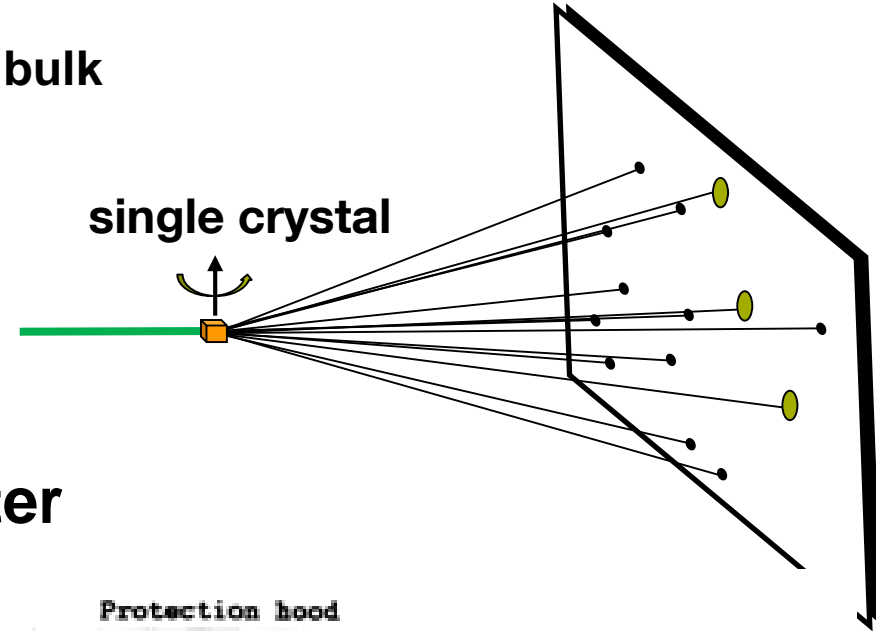


Veronika Zinth et al., *Journal of the Electrochemical Society* 162 (3), A 384 – A391 (2015)

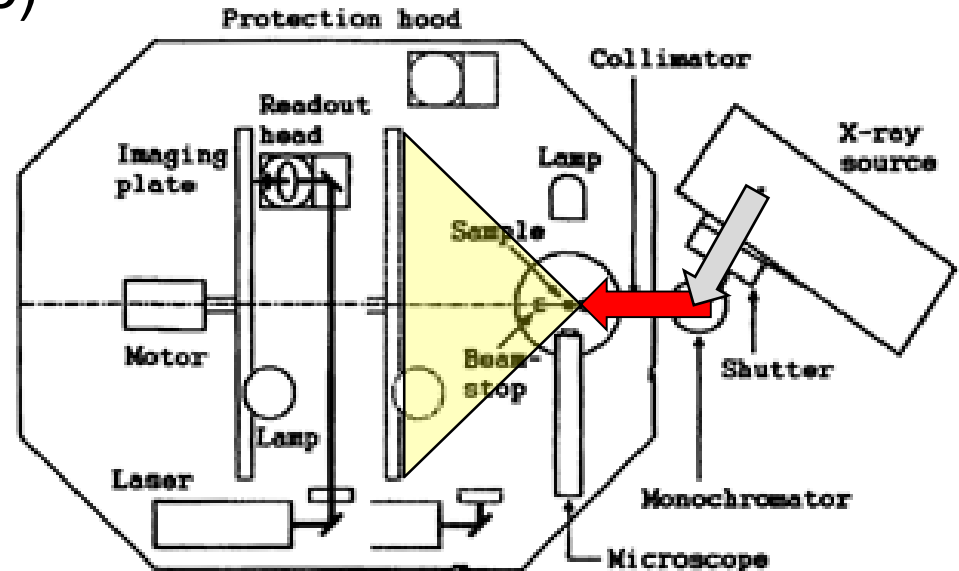
Single Crystal: One large perfectly oriented bulk

- angular dispersive design
 $(\lambda = \text{const.}, 2d_{hkl} \sin(\Theta) = \lambda)$

⇒ only one set of planes per time



X-ray Single Crystal Diffractometer Image plate system (IPDS/Stoe)



+ fast, no reflections can be missed

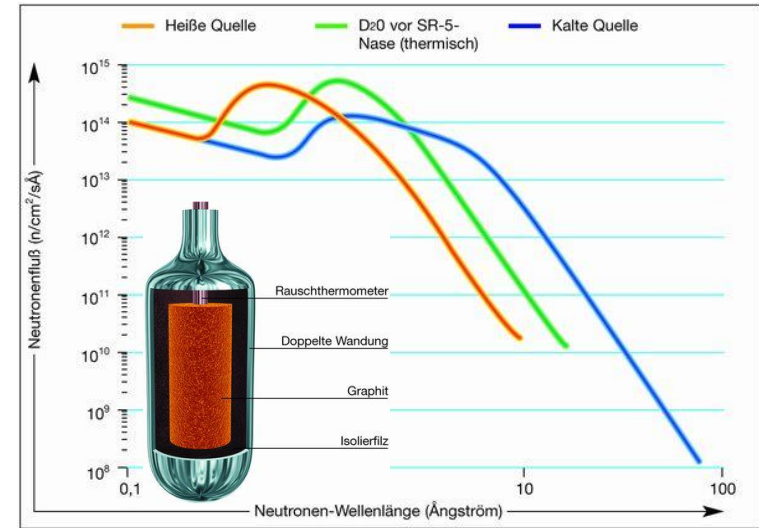
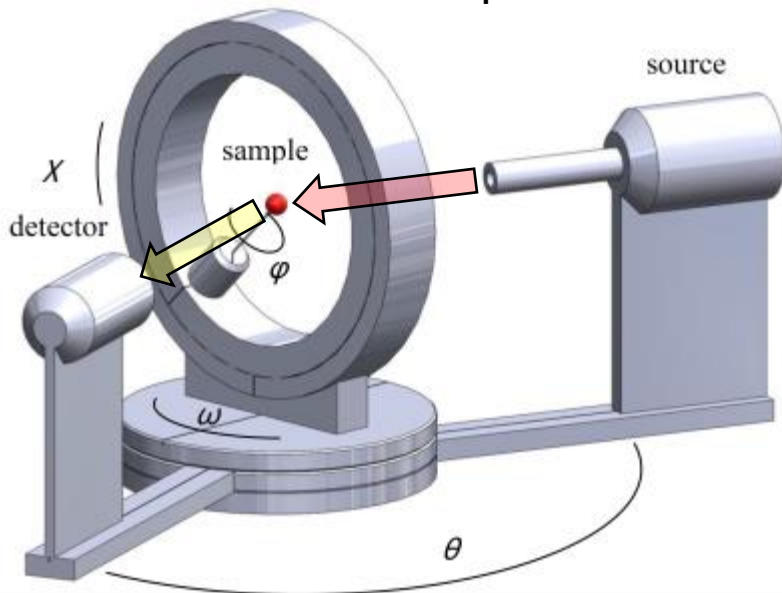
- limited profile analysis

Neutron Single Crystal Diffractometer

- Single point detector system (HEiDi@FRM II)
- Use hot source to increase flux for *short* λ , e.g.
 - $\lambda = 1.17 \text{ \AA}$, flux = $1.4 \cdot 10^7 \text{ n}^\circ/\text{cm}^2/\text{s}$ → **loss 20%**
 - $\lambda = 0.55 \text{ \AA}$, flux = $3.0 \cdot 10^6 \text{ n}^\circ/\text{cm}^2/\text{s}$ → **gain 900%**
- Temperature range: $2 \text{ K} < T < 1300 \text{ K}$

Traditional 4 circle setup

- Single detector on 2Θ circle
- Eulerian cradle for sample orientation (ω , χ , φ)



© W. Schürmann/TUM

Time of Flight (TOF) Diffractometer

Bragg

$$2d_{hkl} \sin\theta = \lambda$$

+

de Broglie

+

$$h/\lambda = m_n v = m_n L/t \quad ; \quad \lambda = h/m_n v = t \cdot h / (m_n L)$$

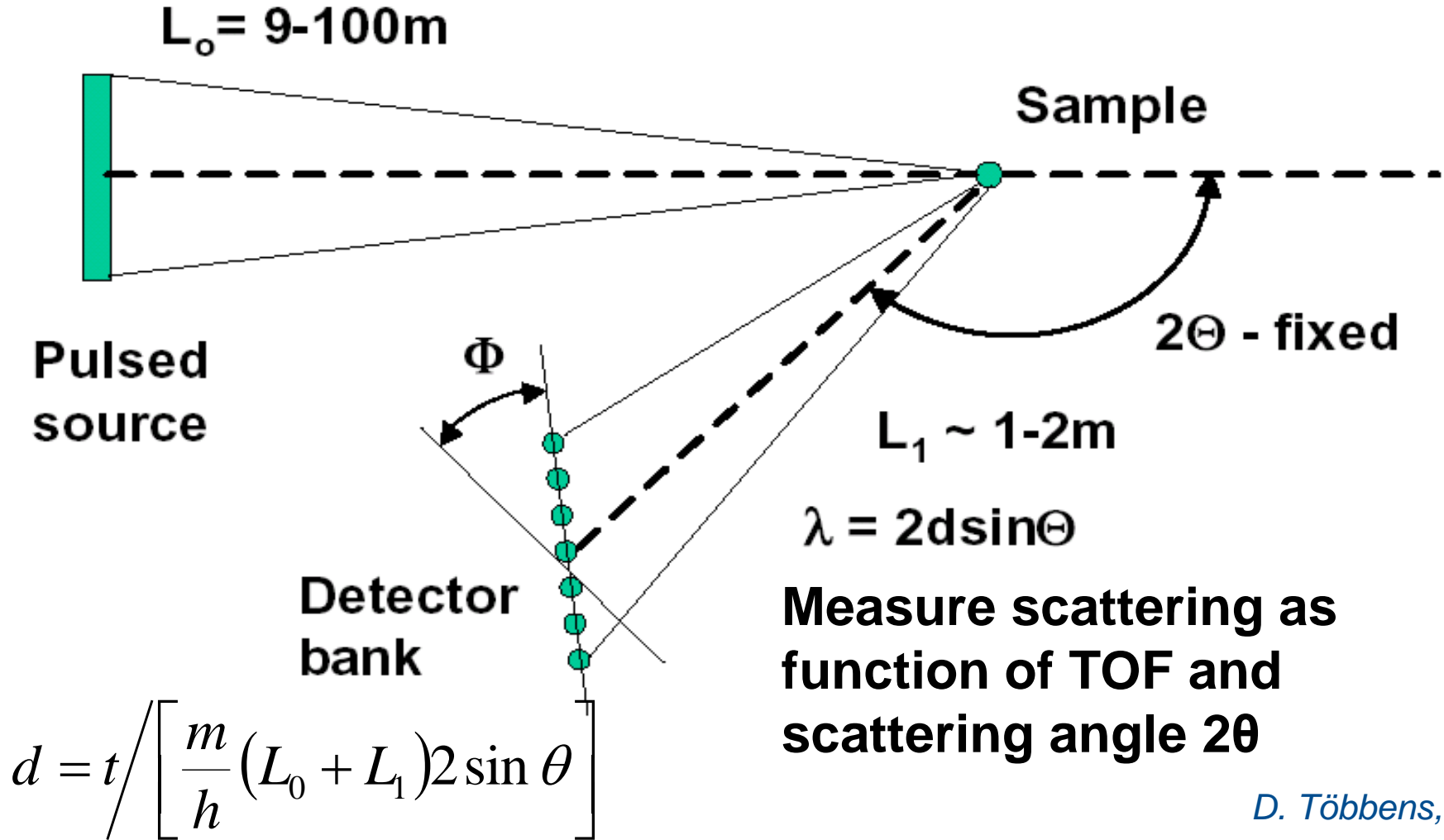


$$t = \frac{2m_n}{h} L d_{hkl} \sin \theta$$

→ **ideal for limited movability of sample (sample environment)**

- use a pulsed beam with a broad spectrum of neutron energies/wavelengths
- separate different energies (velocities) by time of flight

Time of Flight (TOF) Diffractometer

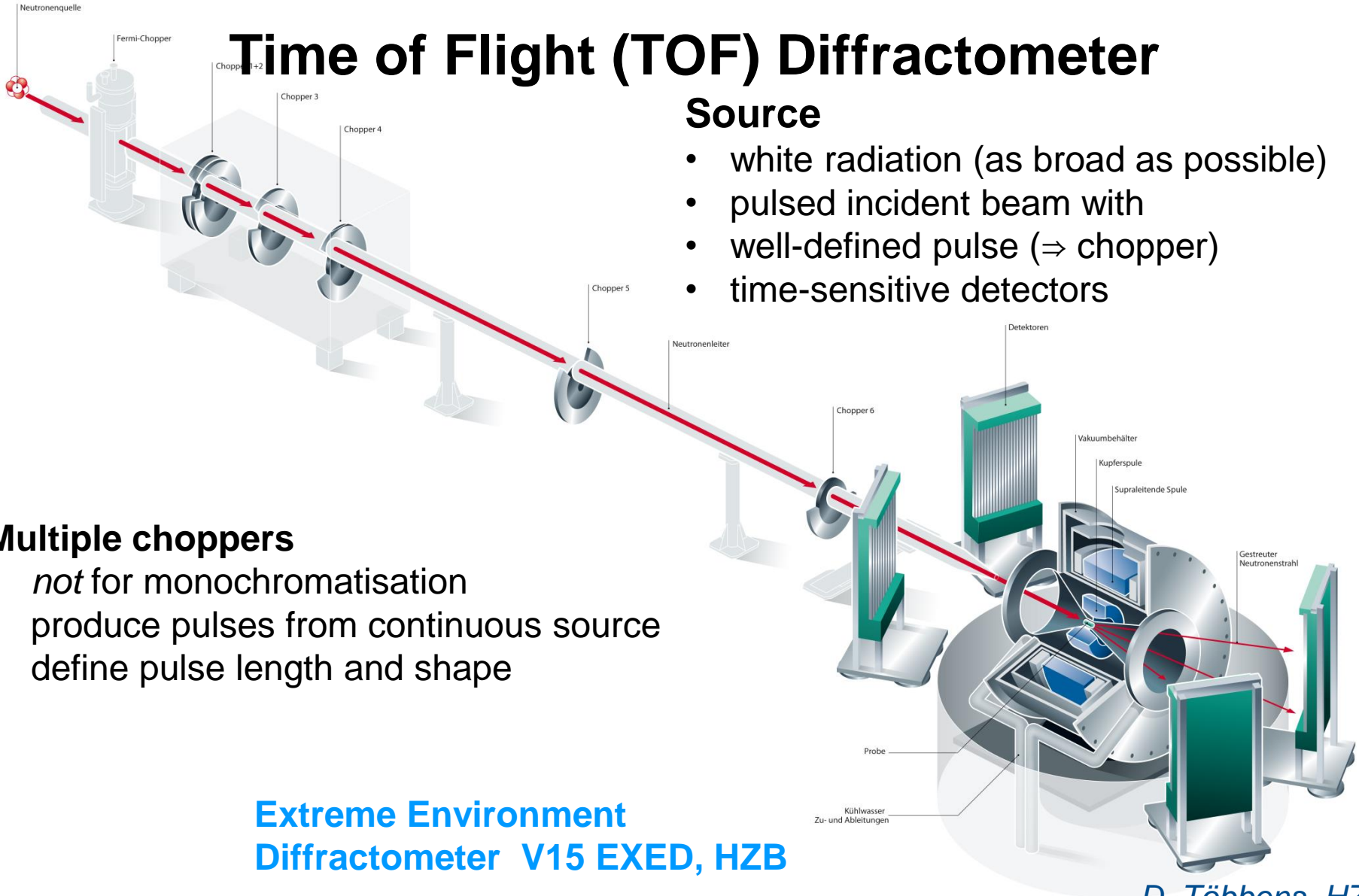


D. Többens, HZB

Time of Flight (TOF) Diffractometer

Source

- white radiation (as broad as possible)
- pulsed incident beam with
- well-defined pulse (\Rightarrow chopper)
- time-sensitive detectors



Multiple choppers

- *not* for monochromatisation
- produce pulses from continuous source
- define pulse length and shape

**Extreme Environment
 Diffractometer V15 EXED, HZB**

D. Többers, HZB

Complex 3d framework structure in Phosphates - Brazilianite

- Monoclinic yellowish crystals preferably found in Brazil, SG P21/n
- Ideal composition $\text{NaAl}_3(\text{PO}_4)_2(\text{OH})_4$
- only few structure studies based on x-ray diffraction available:
 - Chains of edge sharing $\text{AlO}_4(\text{OH})_2$ - and $\text{AlO}_3(\text{OH})_3$ -octahedra
 - Chains connected via corner sharing PO_4 -tetrahedra
 - Strongly distorted Na polyhedron with coordination number: 9
 - energetically unfavorable H bond assumed (X-ray diffraction): $\text{O}(2)\text{-H}(2)\dots\text{O}(9)$ with angle 113°



Brazilianite sample on aluminum pin (1mm) for measurement on HEiDi/MLZ

Location of H bonds in Brazilianite?

► Neutron single crystal diffraction

Complex 3d framework structure in Phosphates - Brazilianite

	Prismatic	Prismatic
Crystal shape	Prismatic	Prismatic
Crystal size (mm ³)	4.2 × 3.6 × 2.8	0.35 × 0.32 × 0.20
Crystal color	Translucent pink	Translucent pink
Unit-cell constants	$a = 11.243(2) \text{ \AA}$ $b = 10.154(2) \text{ \AA}$ $c = 7.115(1) \text{ \AA}$ $\beta = 97.32(2)^\circ$	$a = 11.2448(5) \text{ \AA}$ $b = 10.1539(6) \text{ \AA}$ $c = 7.1031(3) \text{ \AA}$ $\beta = 97.351(4)^\circ$
Max. 2 θ (°)	80.07	72.67
	-18 ≤ h ≤ 18	-18 ≤ h ≤ 18
	-16 ≤ k ≤ 16	-13 ≤ k ≤ 13
	-11 ≤ l ≤ 11	-11 ≤ l ≤ 11
No. measured reflections	6321	20604*
No. unique reflections	3461	2968
No. unique refl. with $F_o > 4\sigma(F_o)$	2844	2430
No. refined parameters	211	169
R_{int}	0.0261	0.0392
$R_1 (F)$ with $F_o > 4\sigma(F_o)$	0.0290	0.0325
$R_1 (F)$ for all the unique refl.	0.0462	0.0548
$wR_2 (F^2)$	0.0474	0.0487
S	1.343	1.422
Weighting scheme: a, b	0.01, 0	0.01, 0
Residuals (fm/Å ³)	+0.9/-0.9	+0.4/-0.4

Site	R1	R2	R3	R1/R3
Na	20.4(1)	13.0(1)	11.6(2)	1.76
Al(1)	8.2(1)	8.1(1)	6.5(2)	1.26
Al(2)	8.1(1)	7.5(1)	7.1(1)	1.14
Al(3)	8.1(1)	7.7(1)	6.3(2)	1.27
P(1)	7.8(1)	7.0(1)	6.48(8)	1.21
P(2)	7.4(1)	6.9(1)	6.40(8)	1.16
O(1)	9.6(3)	7.8(3)	6.9(4)	1.38
O(2)	9.2(3)	8.5(3)	8.0(3)	1.15
O(3)	9.9(2)	8.0(4)	7.4(3)	1.34
O(4)	9.5(3)	8.5(3)	7.5(3)	1.26
O(5)	10.9(3)	9.4(3)	7.5(3)	1.45
O(6)	8.9(3)	8.3(3)	8.0(3)	1.12
O(7)	9.4(3)	8.4(3)	7.0(4)	1.34
O(8)	10.5(2)	8.7(3)	7.4(3)	1.42
O(9)	10.4(2)	8.5(3)	7.1(4)	1.48
O(10)	10.6(2)	8.4(3)	6.9(4)	1.53
O(11)	10.5(2)	9.6(3)	7.8(4)	1.34
O(12)	9.6(3)	8.5(2)	7.8(3)	1.23

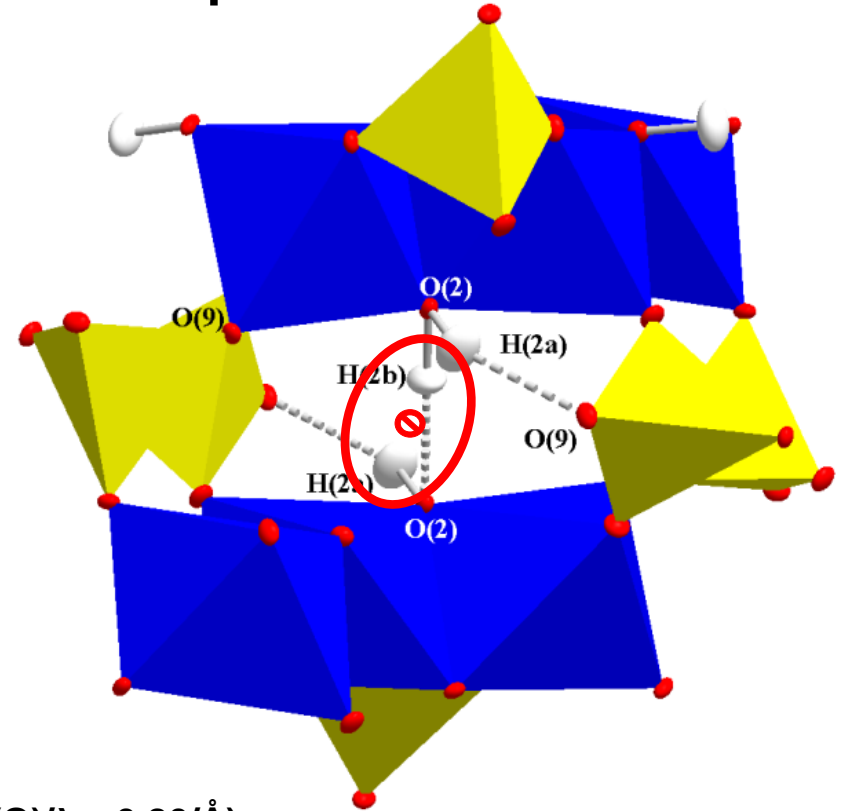
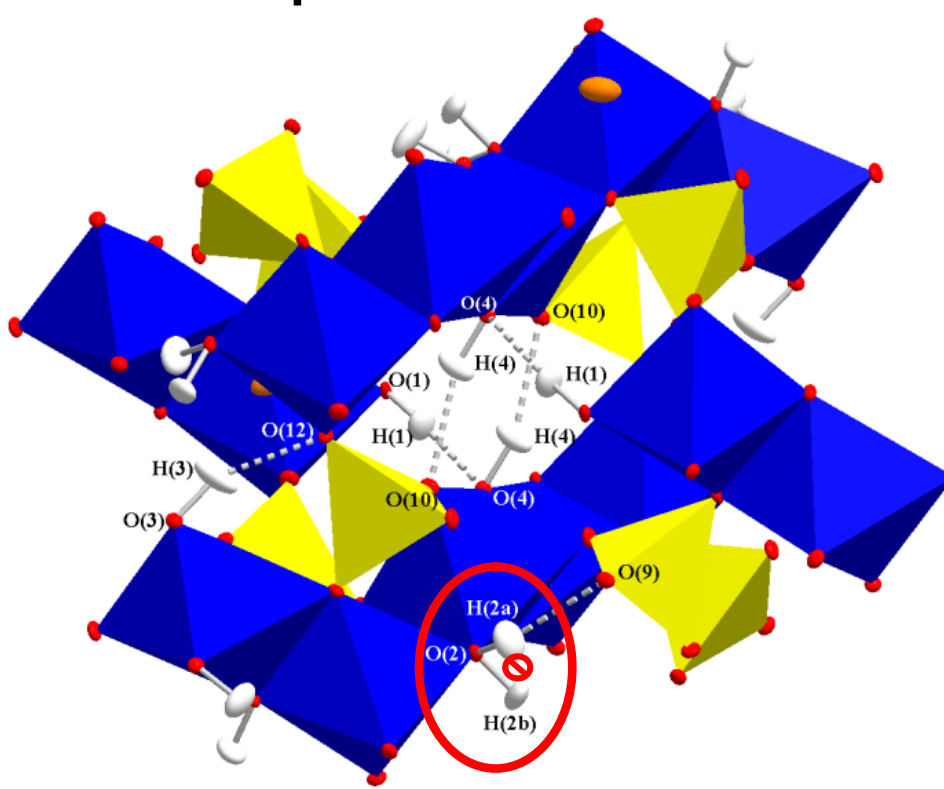
Site	R1	R2	R3	R1/R3
Na	19.9(1)	12.8(2)	11.3(2)	1.76
Al(1)	7.7(1)	6.9(1)	5.8(3)	1.32
Al(2)	7.2(2)	6.6(2)	5.3(2)	1.36
Al(3)	7.0(1)	6.4(2)	5.2(3)	1.35
P(1)	6.9(1)	5.8(2)	5.1(1)	1.34
P(2)	6.6(2)	5.5(2)	5.1(2)	1.29
O(1)	9.7(1)	6.2(2)	5.66(9)	1.71
O(2)	9.1(1)	6.93(7)	6.3(2)	1.44
O(3)	8.54(6)	7.5(1)	6.6(2)	1.30
O(4)	8.0(1)	7.8(1)	6.3(2)	1.26
O(5)	10.3(1)	8.2(1)	5.48(9)	1.89
O(6)	8.1(1)	7.5(1)	5.29(9)	1.52
O(7)	8.37(6)	7.1(1)	5.57(9)	1.50
O(8)	10.0(1)	8.4(1)	5.39(9)	1.87
O(9)	9.9(1)	7.6(1)	5.9(2)	1.67
O(10)	9.8(1)	7.7(1)	5.9(2)	1.66
O(11)	9.5(1)	9.4(1)	5.8(2)	1.64
O(12)	9.4(1)	7.42(7)	6.0(2)	1.56
H(1)	18.0(1)	14.8(1)	9.9(2)	1.82
H(2a)	19.9(3)	15.5(3)	10.5(4)	1.90
H(2b)	21.8(4)	13.6(3)	9.5(5)	2.30
H(3)	23.4(1)	15.7(2)	10.2(2)	2.28
H(4)	19.1(1)	14.9(2)	10.6(2)	1.79

Principal root-mean-square components (R1, R2, R2 x 10² Å) at RT;
 upper: X-ray diffraction, lower: Neutron diffraction

Comparison **X-ray** vs. **neutron** diffraction

- similar range of reciprocal space
- **with neutrons:**
 - more insight into light element behaviour (H, O)
 - H positions and anisotropic displacements

Complex 3d framework structure in Phosphates - Brazilianite



Single Crystal Neutron Diffraction reveals (Q_{\max} : $\sin(\Theta)/\lambda = 0.80/\text{\AA}$)

- Single H(2) position generates “unphysical” displacement parameter
- Split position of H(2), 2 energetically favorable mutually exclusive H bonds
 $O(2)-H(2a)\dots O(9)$ with angle 151° and $O(2)-H(2b)\dots O(2)$ with angle 171° .
- No lower symmetry found \rightarrow locally disordered structure

G. Diego Gatta et al., *American Mineralogist* **98**, 1624-1630 (2013)

HT Superconductor - $(\text{La/Sr})_2\text{CuO}_4$

- Simplest HT superconductor with layered perovskite structure

- only 4 elements

- Sr content changes

HTT-LTO transition temp.

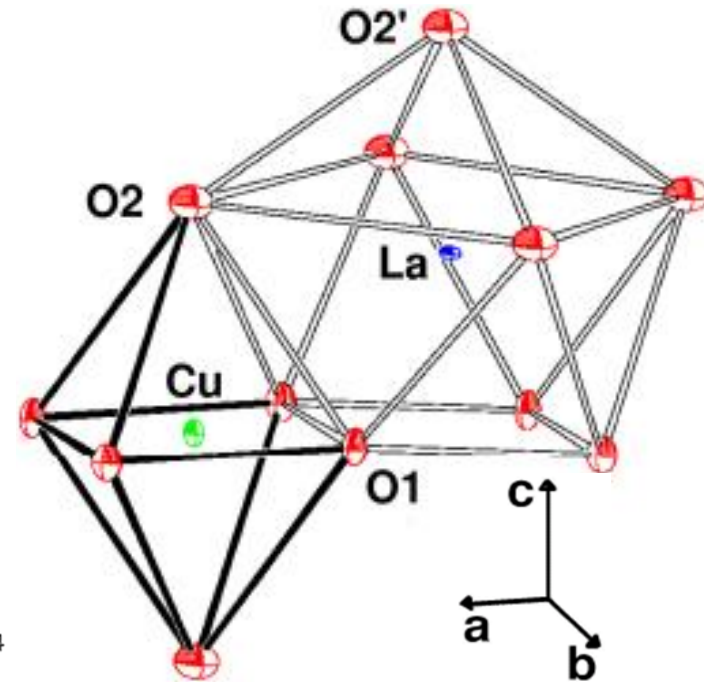
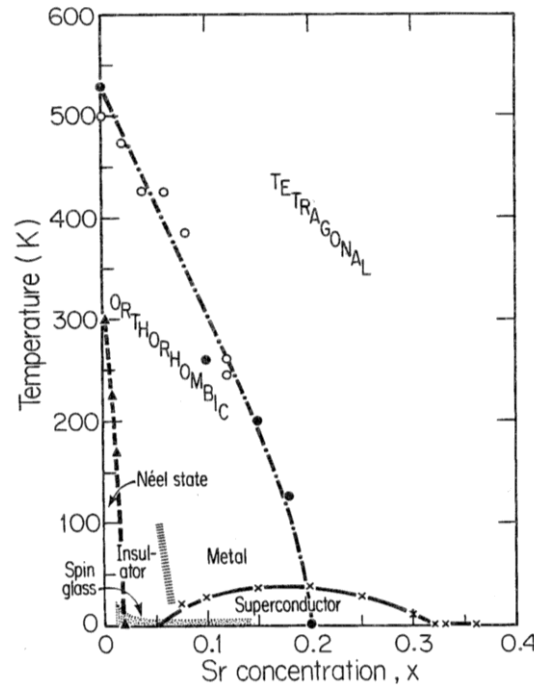
Comparison scatt. factors

Atoms $f(2\Theta=0)=Z$ $b[\text{fm}]$

La 57 8.24

Cu 29 7.718

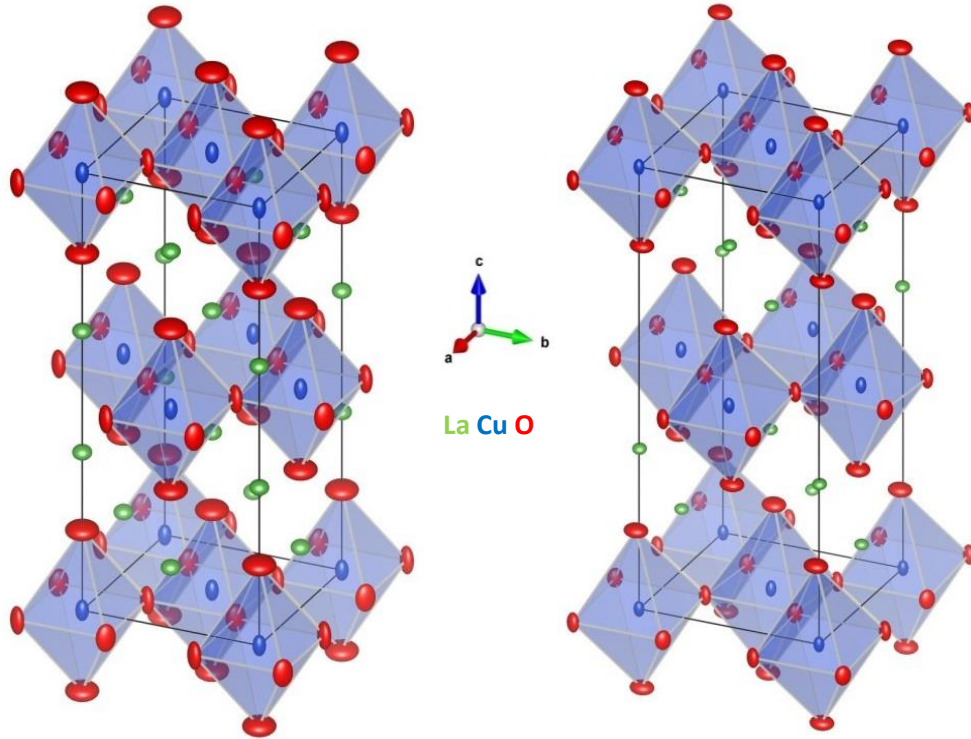
O 8 5.803



Oxygen contribution to total intensity about 50 times smaller for X-ray than for neutron diffraction!

→ Accurate determination of super structure reflections with X-ray diffraction very difficult!

HT Superconductor - $(\text{La/Sr})_2\text{CuO}_4$



Phase transition at $T_{\text{HTT-LTO}} = 540 \text{ K}$

Above: tetragonal $a = b \neq c$

$F4/mmm$ (HTT)

Extinction rules: (uuu) and (ggg)

$$h+k, k+l, h+l = 2n$$

Below: orthorhombic $a \neq b \neq c$

Attention $a > b$ or $a < b$

$Abma/Bmab$ (LTO)

Extinction rules: (uuu) and (ggg)

and for $l \neq 0$:

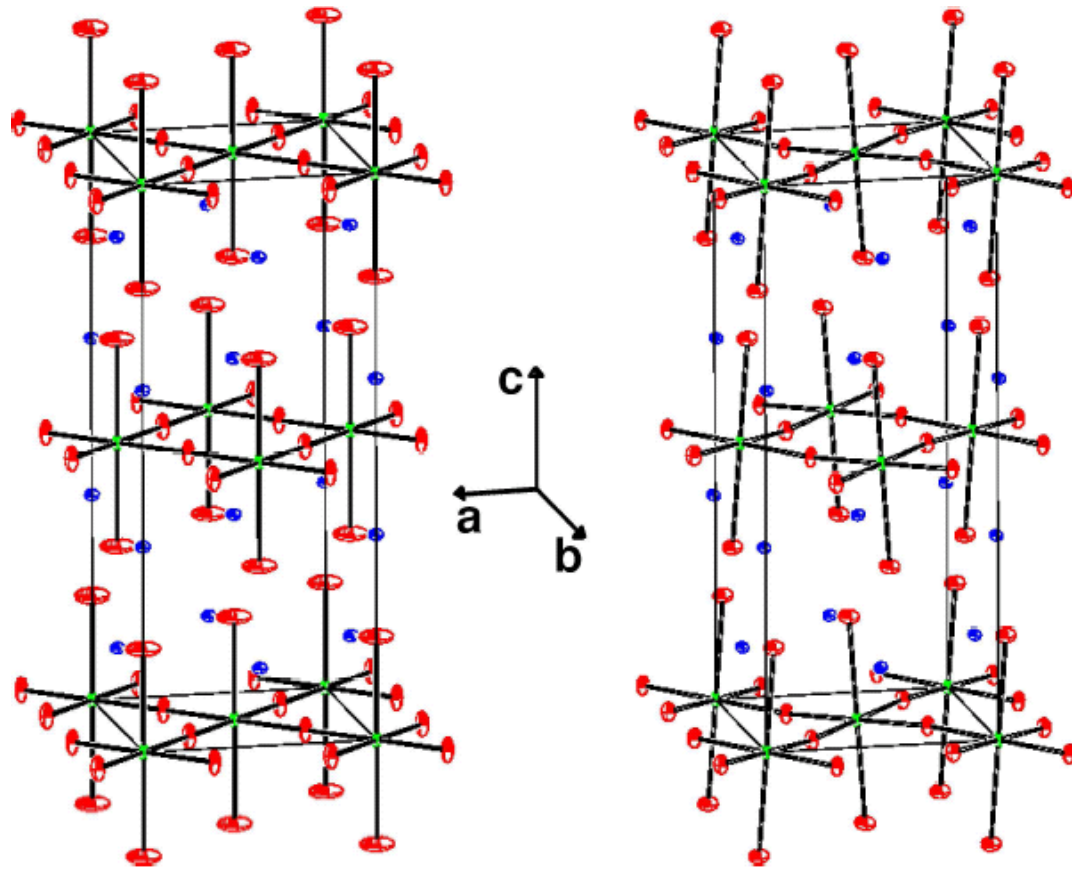
$Abma$: (ugg) and (guu) or

$Bmab$: (gug) and (ggu)

New reflections \Rightarrow superstructure

$F4/mmm \leftarrow t2 \rightarrow Fmmm \leftarrow k2 \rightarrow Abma/Bmab$ twin

HT Superconductor - $(\text{La/Sr})_2\text{CuO}_4$



Phase transition at $T_{\text{HTT-LTO}} = 540 \text{ K}$

Above: tetragonal $a = b \neq c$

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Attention $a > b$ or $a < b$

$Abma/Bmab$ (LTO)

Extinction rules: (uuu) and (ggg)

and for $l \neq 0$:

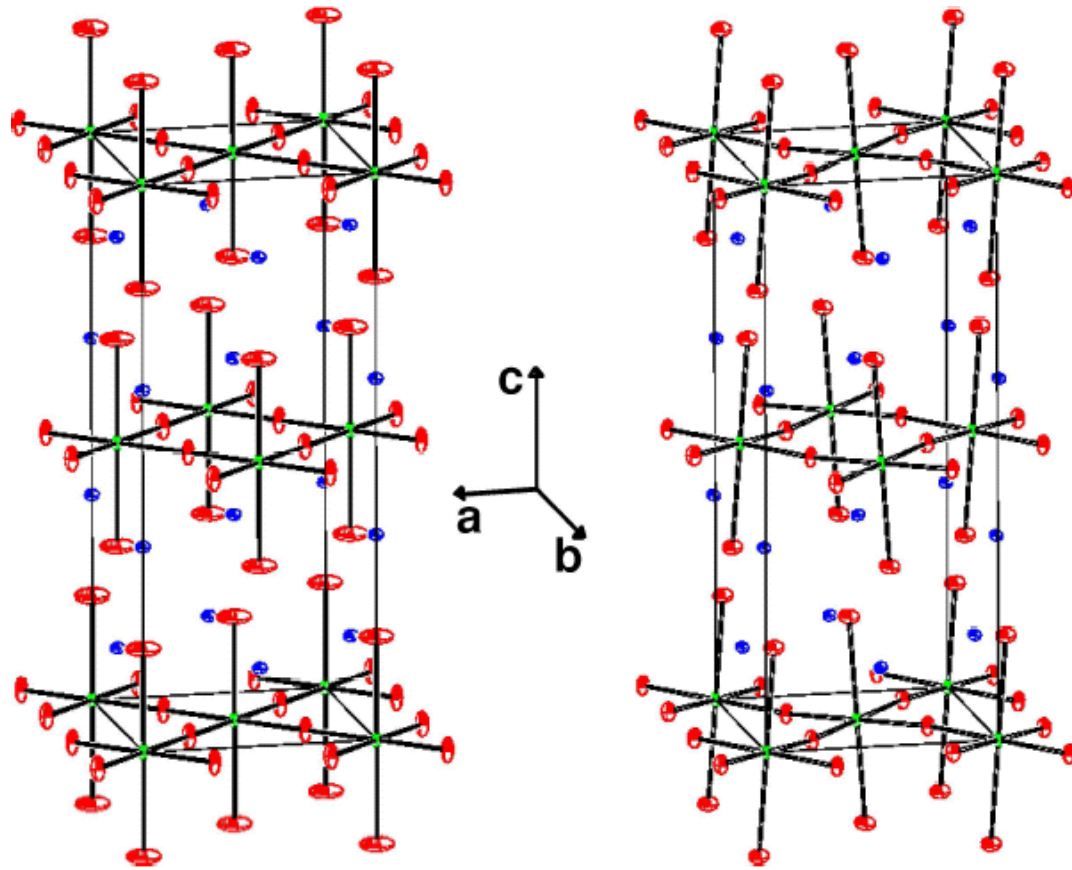
$Abma$: (ugg) and (guu) or

$Bmab$: (gug) and (ggu)

New reflections \Rightarrow superstructure

$F4/mmm \leftarrow t2 \rightarrow Fmmm \leftarrow k2 \rightarrow Abma/Bmab$ twin

HT Superconductor - $(\text{La/Sr})_2\text{CuO}_4$



Phase transition at $T_{\text{HTT-LTO}}=540 \text{ K}$

Above: tetragonal $a = b \neq c$

$F4/mmm$ (HTT)

Extinction rules: (uuu) and (ggg)

$$h+k, k+l, h+l = 2n$$

Below: orthorhombic $a \neq b \neq c$

Attention $a > b$ or $a < b$

$Abma/Bmab$ (LTO)

Extinction rules: (uuu) and (ggg)

and for $l \neq 0$:

$Abma$: (ugg) and (guu) or

$Bmab$: (gug) and (ggu)

New reflections \Rightarrow superstructure

$F4/mmm \leftarrow t2 \rightarrow Fmmm \leftarrow k2 \rightarrow Abma/Bmab$ twin

Intensity of superstructure reflections: $F_{hkl} = F_{hkl}(\text{La, Cu}) + F_{hkl}(\text{O1}) + F_{hkl}(\text{O2})$

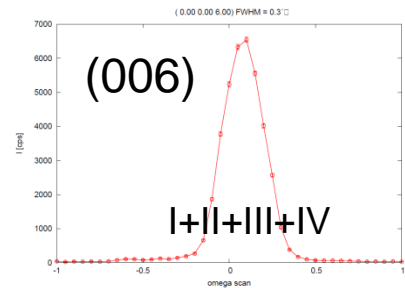
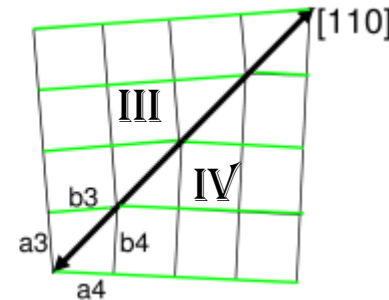
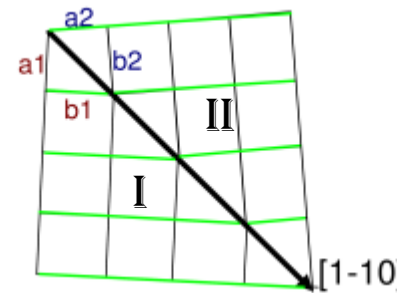
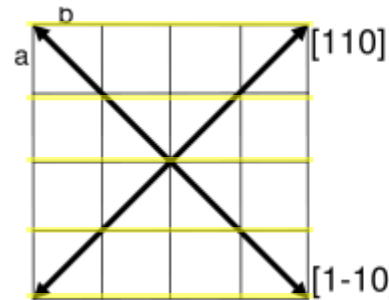
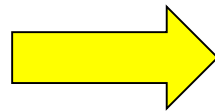
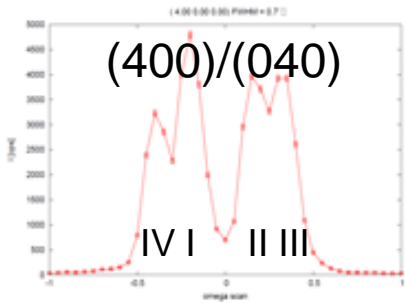
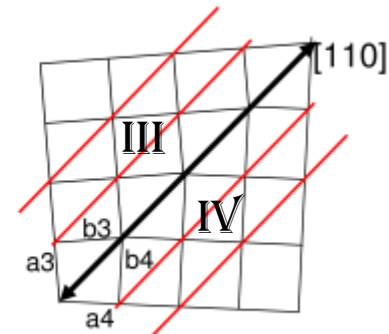
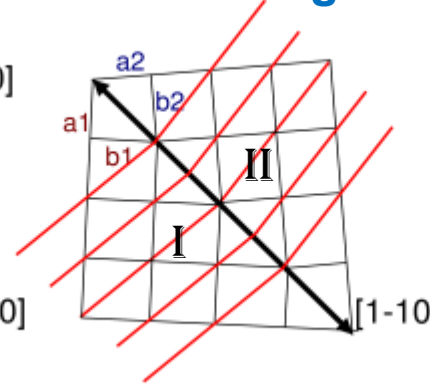
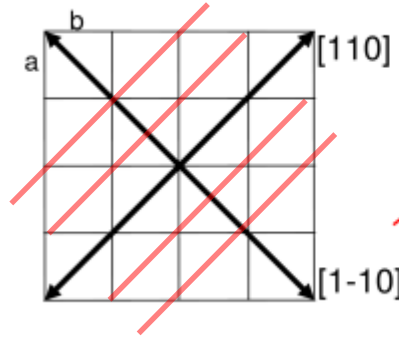
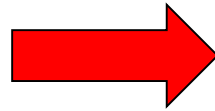
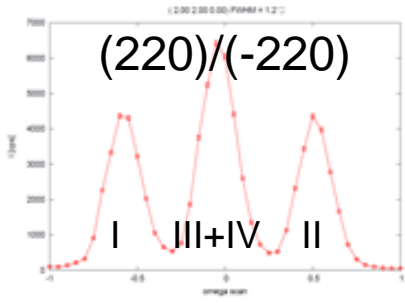
h uneven: $F_{hkl} = F_{hkl}(\text{O1}) + F_{hkl}(\text{O2})$; $F_{hkl}(\text{O2}) \sim s(\text{O}) \sin(2\pi hx_{\text{O2}}) \cos(2\pi lz_{\text{O2}}) \approx s(\text{O}) \sin(2\pi hx_{\text{O2}})$

HT Superconductor - $(\text{La/Sr})_2\text{CuO}_4$

Crystal Growth in HTT phase



Twinning in LTO Phase



- Reflection Splitting corresponds to Δab
- no d difference for $(220)/(-220) \rightarrow$ **no splitting for powder**
- d difference for $(400)/(040) \rightarrow$ **only two peaks for powder**

Only single crystal diffraction tells the whole truth!

Magnetic compounds - EuFe_2As_2

New Group of HTSL

- 1111- and 122-FeAs compounds
- Layered structure with FeAs planes
- Structural phase transition T-O

$I4/mmm$ (tetragonal)



$Fmmm$ (orthorhombic)

- magnetic order of undoped compounds
- strong similarities to HTSL cuprates

EuFe_2As_2

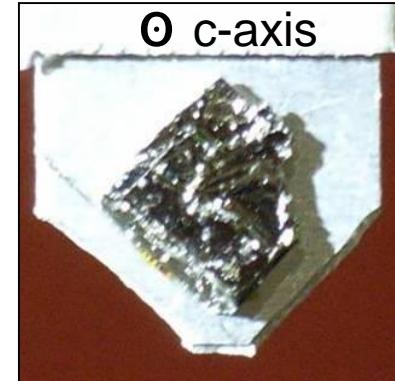
- AF order of Fe^{2+} below $T_{T-O} \approx 190$ K, $\mathbf{k}=(1\ 0\ 1)$
- AF order of Eu^{2+} below 19 K, $\mathbf{k}=(0\ 0\ 1)$
- $\text{Eu}_{0.5}\text{K}_{0.5}\text{Fe}_2\text{As}_2$: $T_c=31$ K,
- $\text{EuFe}_2\text{As}_{1.4}\text{P}_{0.6}$ $T_c=26$ K + AF order of Eu^{2+}

Coexistence of SC and magnetism?

Check nuclear and magnetic structure with NSCD

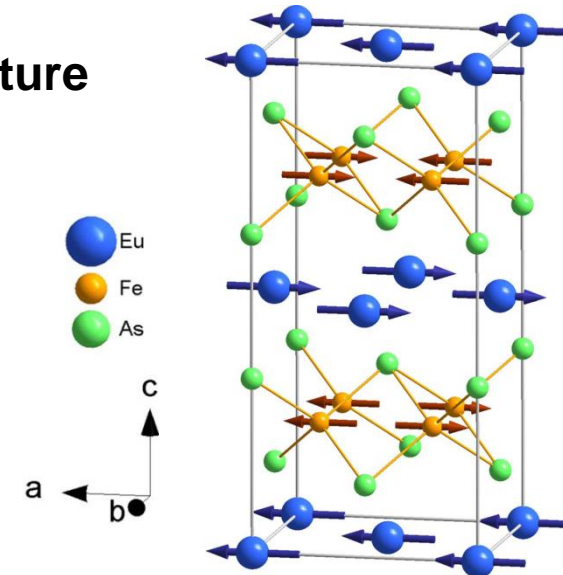
Growth of large single crystals

- Sn melt at about 1050°C
- plate like
- "Auffächerung"
- tensionless mount on Al sample holder using grease
- **Eu strong absorber**
- $\lambda = 0.87 \text{ \AA}$



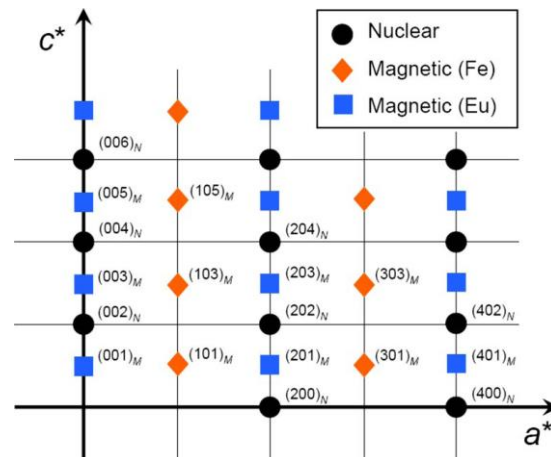
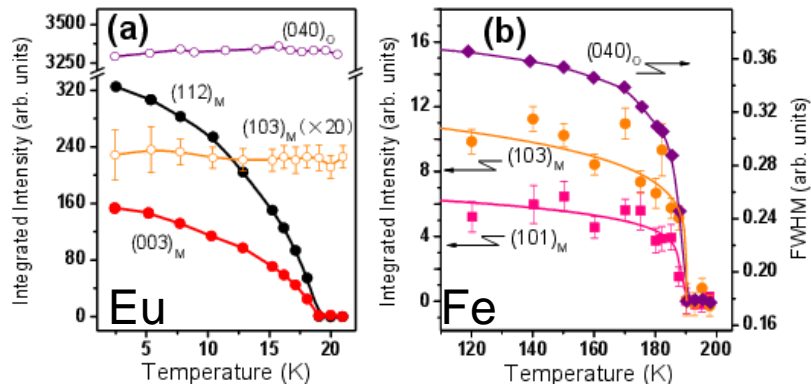
Fmmm structure

at $T=2.5$ K

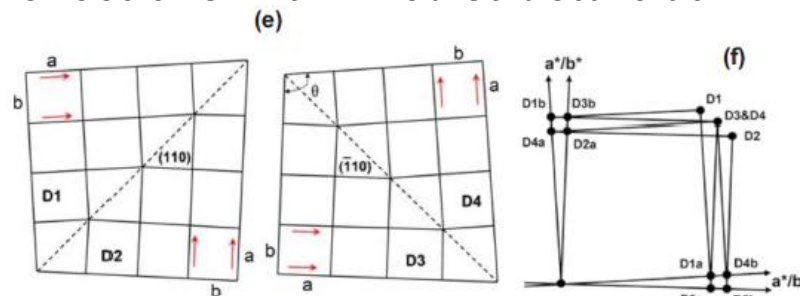
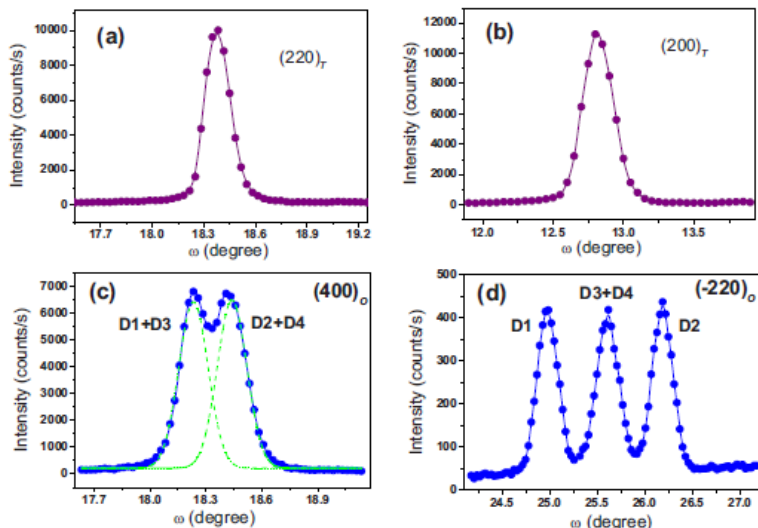


Magnetic compounds - EuFe_2As_2

1. Step: Determination of magnetic order temperature



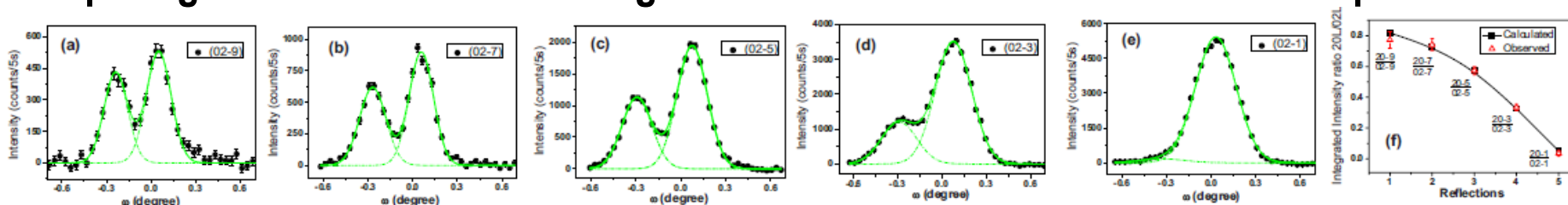
2. Step: Collection of all nuclear & magnetic reflections in twinned structure at T=2.5K



Use twin law to determine the volume contributions of all 4 domain types to all reflections!

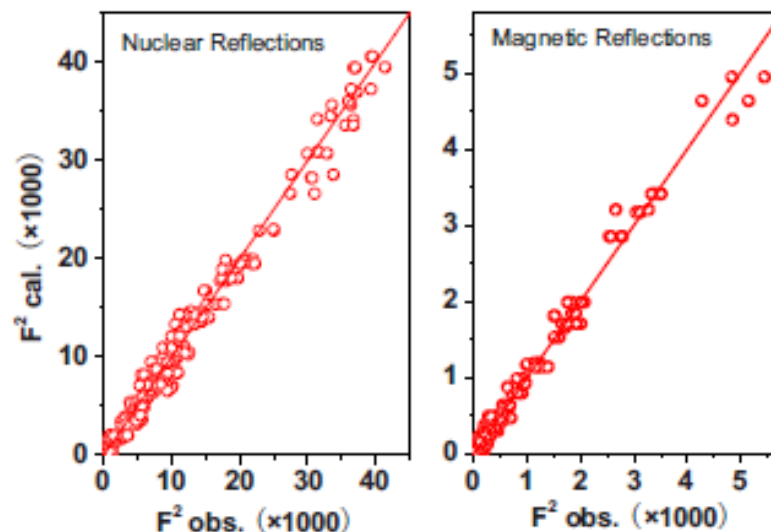
Magnetic compounds - EuFe_2As_2

3. Step: Alignment of direction of magn. moments with domain structure/sample orientation



4. Step: Check structure model

Atom/site	x	y	z	B (\AA^2)
Eu (4a)	0	0	0	0.81(3)
$k, M_a(\mu_B)$	(0,0,1), 6.8(3)			
Fe (8f)	0.25	0.25	0.25	0.26(3)
$k, M_a(\mu_B)$	(1,0,1), 0.98(8)			
As (8i)	0	0	0.363(5)	0.25(3)
a, b, c (\AA): 5.537(2), 5.505(2), 12.057(2)				
Number of reflections (Nuclear): 280				
$RF^2, RF^{2W}, RF(\%), \chi^2$ Nuclear: 9.34, 9.67, 6.22, 7.1				
Number of reflections (Magnetic): 228				
$RF^2, RF^{2W}, RF(\%), \chi^2$ Magnetic: 9.42, 7.68, 6.53, 5.7				



Y. Xiao et al., *Phys. Rev. B* **80**, 174424 (2009).

Phase Diagram of Eu Magnetic Ordering in Sn Flux Grown $\text{Eu}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$ Single Crystals

Member of Iron Pnictide Family

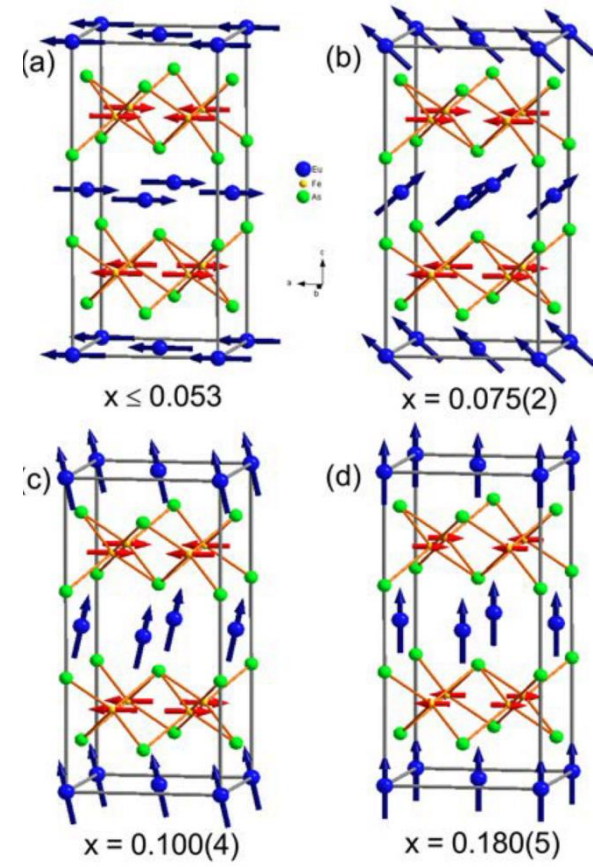
- 2 magnetic sublattices (Eu, Fe/Co)
- strong Co dependence of
 - structural phase transition T_s
 $14/mmm \rightarrow Fmmm$
 - suppression of Fe-SDW transition T_{SDW}
 - ground state magnetic Eu^{2+} order
 - indirect Eu^{2+} - Eu^{2+} RKKY interaction
 - direct Eu^{2+} - Fe^{2+} interaction
 - EuCo_2As_2 : $T_{\text{FM}}(\text{Eu}^{2+}) = 39 \text{ K}$ (Ballinger et al.)
 - **superconductivity by chemical substitution**
 $\text{Eu}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$: $0.10 \leq x \leq 0.18$ (SC + AFM/FM)

Detailed Study of Magnetic Order vs. Co Content with Detailed Single Crystal Neutron Diffraction (HEIDI@MLZ, DNS@MLZ, TRiCS@PSI, D23@ILL)

- short wavelengths to overcome Eu absorption
- **Eu: AF \Rightarrow FM transition at $x=0.180(5)$**
- **Fe: AFM not affected**

W. T. Jin et al.; *Phys. Rev. B* 94, 184513 (2016)

AF \Rightarrow FM Evolution with Co Content



determined by single crystal neutron diffraction

	Powder	Single Crystal
X-Rays	sample mass μg	sample size μm
	very fast, in situ experiments	fast (1/2 - 2 days)
	(intrinsic & resolution dep.) reflection overlapp	very high angular resolution, anisotropic effects
	intensity damping by temperature factor <i>and</i> structure factor!	
	weak interaction with light elements (H, N, O, etc.)	
	no discrimination of neighbouring elements (e.g. Co, Fe, Cu)	
	large absorption effects, polarization effects	
	limited sample environment (T, H)	
	e- density maps - characterization of chemical bonds	
Neutrons	sample mass mg	sample size mm
	fast, in situ experiments	slow (3 days -7 days)
	reflection overlap	good angular resolution/anisotropic effects
	Q dependent intensity damping <i>only</i> by temperature factor	
	strong interaction with light elements, isotope specific!	
	discrimination of neighbouring elements and isotopes (H/D)	
	weak absorption effects, no polarization effects (unless pol. neutrons)	
core and spin density maps, magnetic ordering!		

Structure Description → Crystallography

- Successful concept of space groups for description of structural properties, e.g. phase transitions, symmetry restrictions of solid matter on atomic scale
- developed about 100 years ago and still new features, e.g. quasi crystals

Structure Determination → Diffractometry

- Contribution to almost any compound of scientific interest
- Different radiations for different questions (X-ray, XFEL, neutron, electron)
- Accessible at any laboratory/sophisticated sources for special applications
- Powder diffraction: Very fast ↔ Single crystal diffraction: Very detailed
- different techniques (angular dispersive, energy dispersive, e.g. TOF) available
- Limitation: averaging technique

Thank you for your attention!