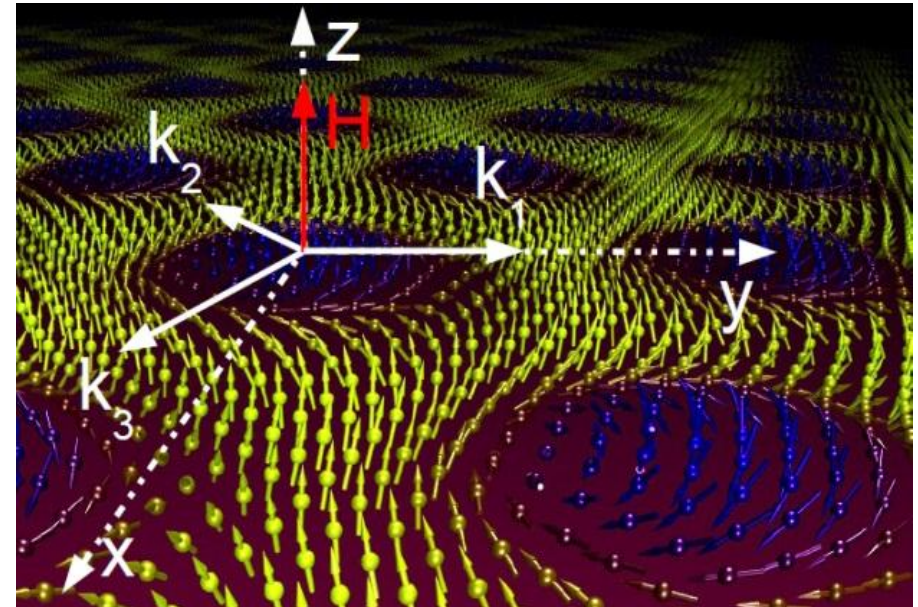
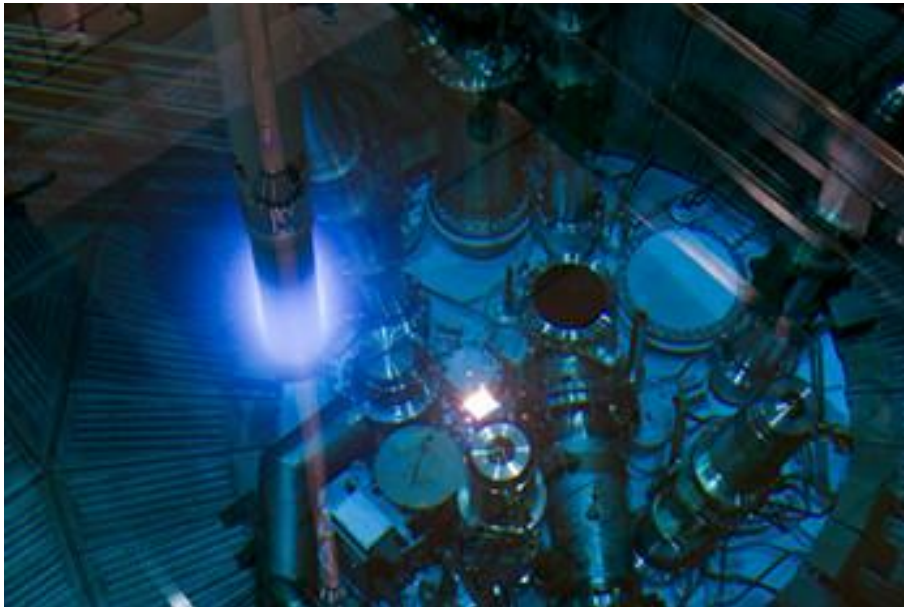




# Physics with Neutrons I, WS 2015/2016



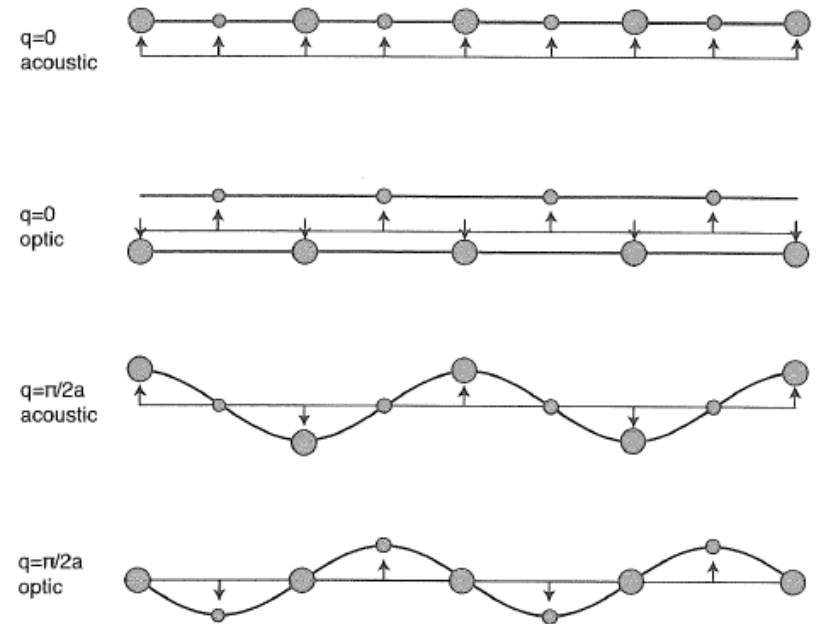
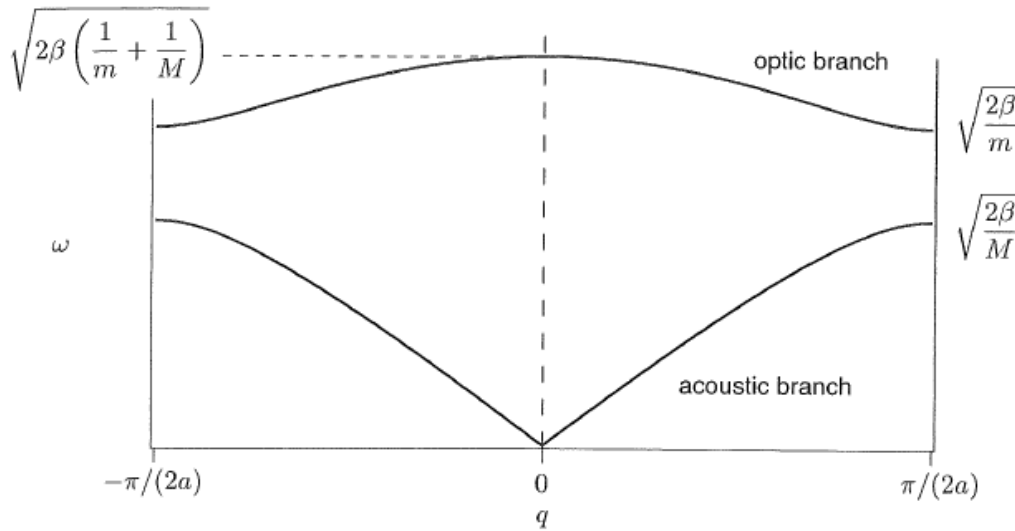
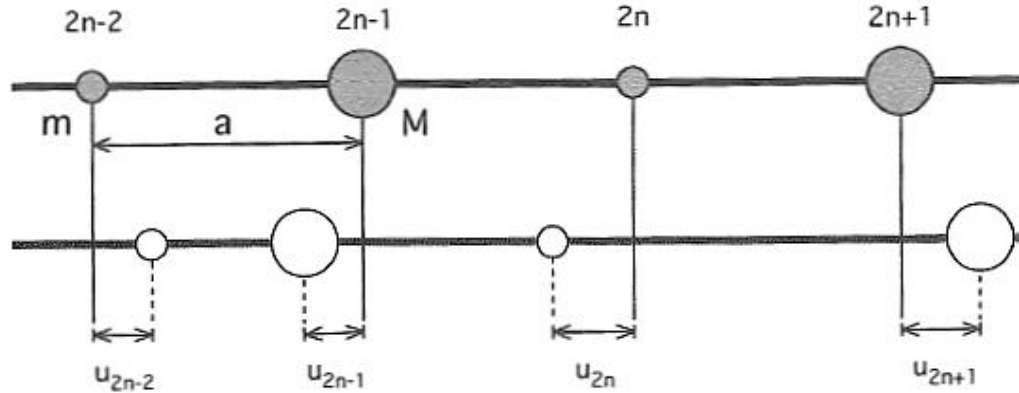
Lecture 10, 21.12.2015

MLZ is a cooperation between:

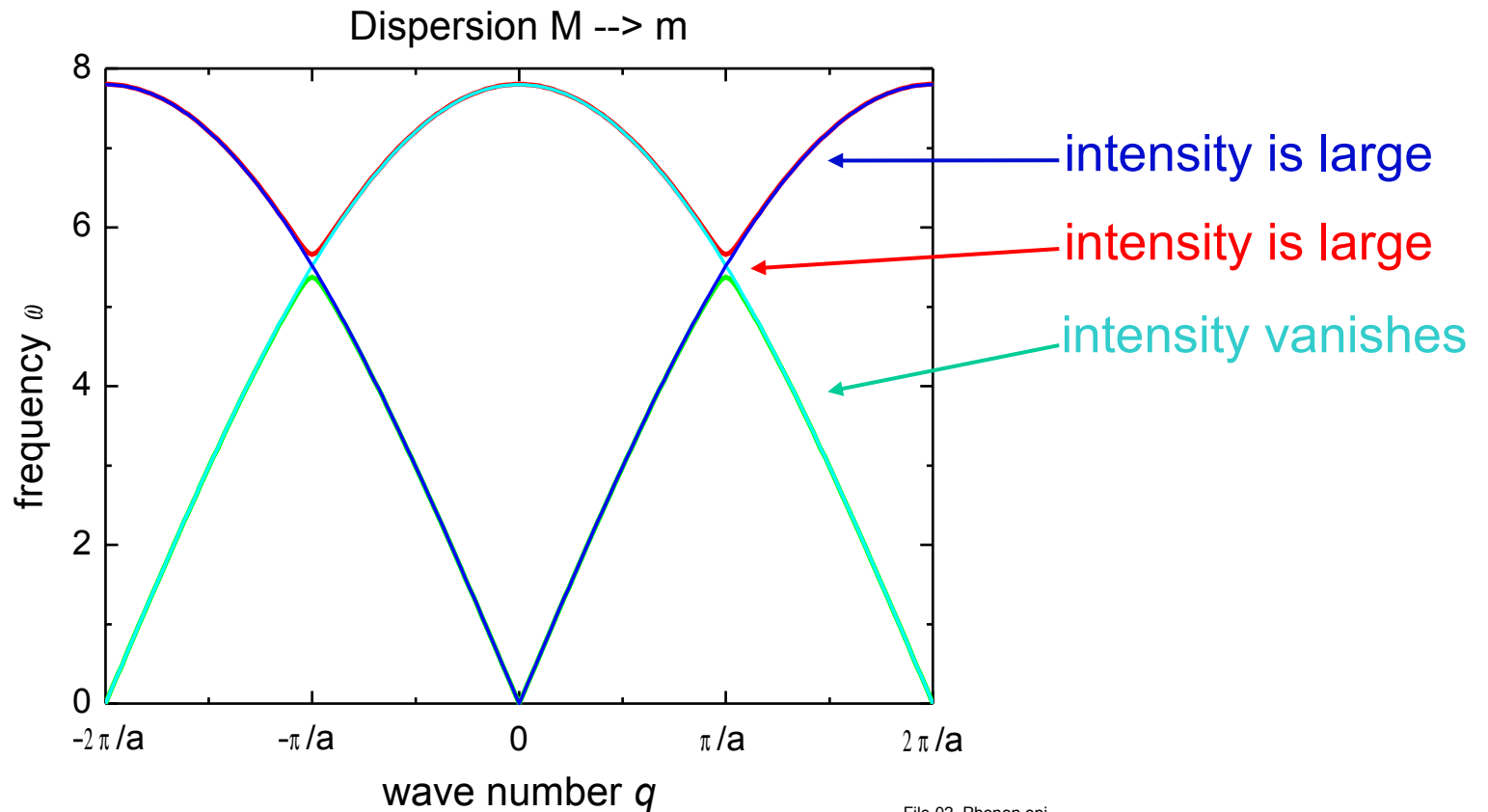
## Exam (after winter term)

- ➔ Registration: via TUM-Online between 16.11.2015 – 15.1.2015
- ➔ Email: [sebastian.muehlbauer@frm2.tum.de](mailto:sebastian.muehlbauer@frm2.tum.de) for date arrangement
- ➔ 30min oral exam

## 5 Reminder: Phonons, linear chain

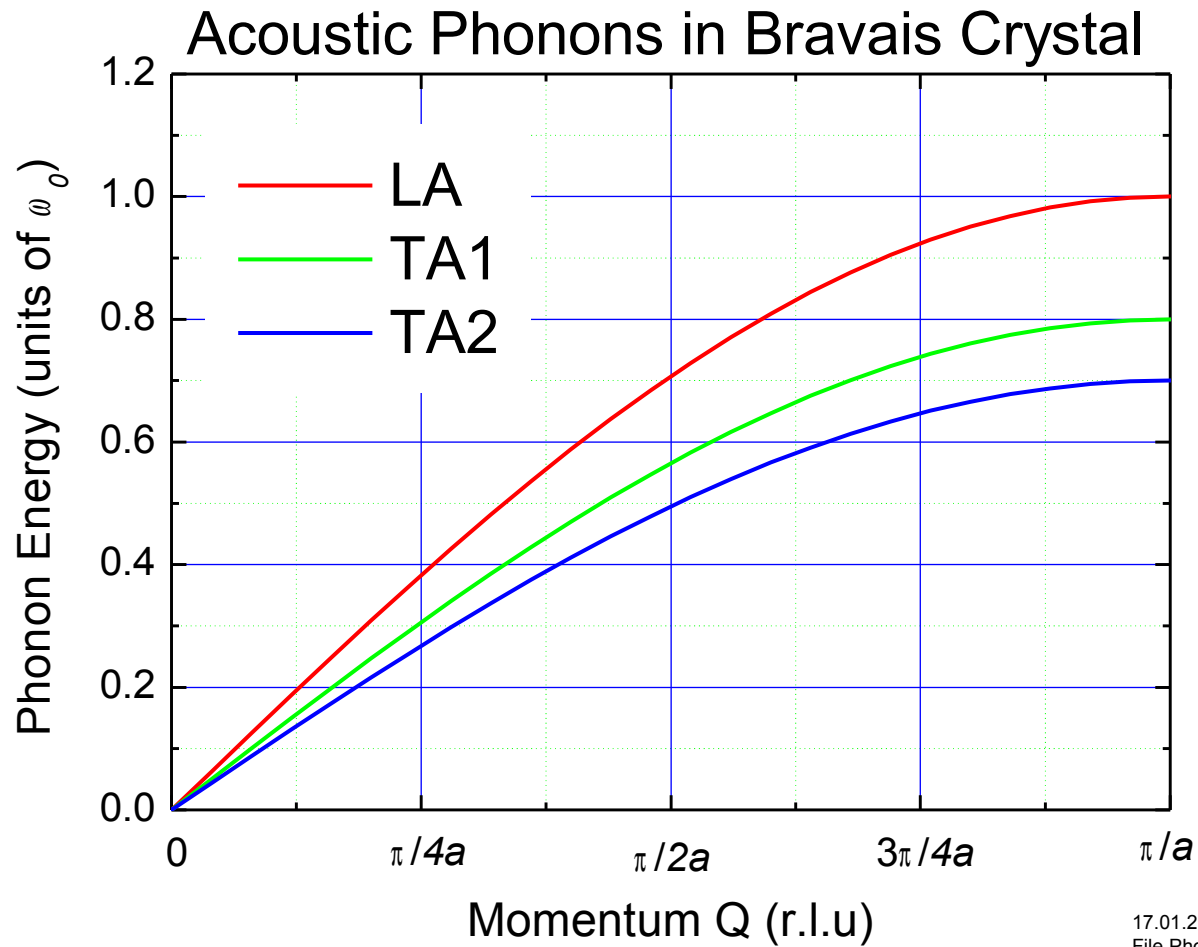


## 5 Reminder: Phonon dispersion for $m \rightarrow M$



- lattice constant for crystal with  $m \neq M$ :  $a$
- lattice constant for crystal with  $m = M$ :  $\frac{1}{2}a$  (gap vanishes)

## 5 Reminder: Longitudinal and transverse phonons

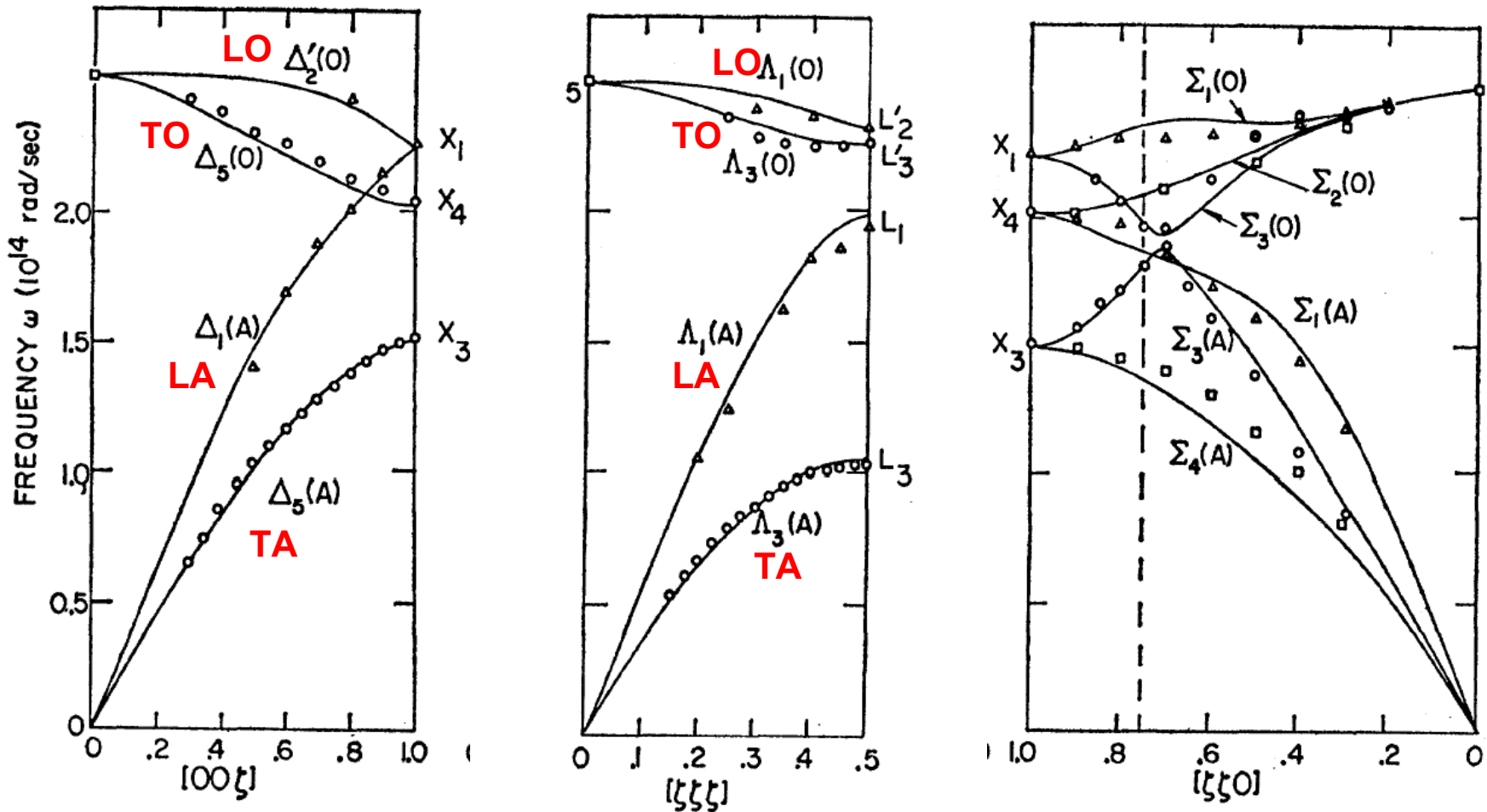


17.01.2011  
File Phonons.opj

## 5 Inelastic scattering: Phonon dispersion diamond

**diamond:** fcc, basis: (000), ( $\frac{1}{4} \frac{1}{4} \frac{1}{4}$ ),  $T = 296$  K, shell model fits

$2.0 \cdot 10^{14}$  rad/s = 131.7 meV

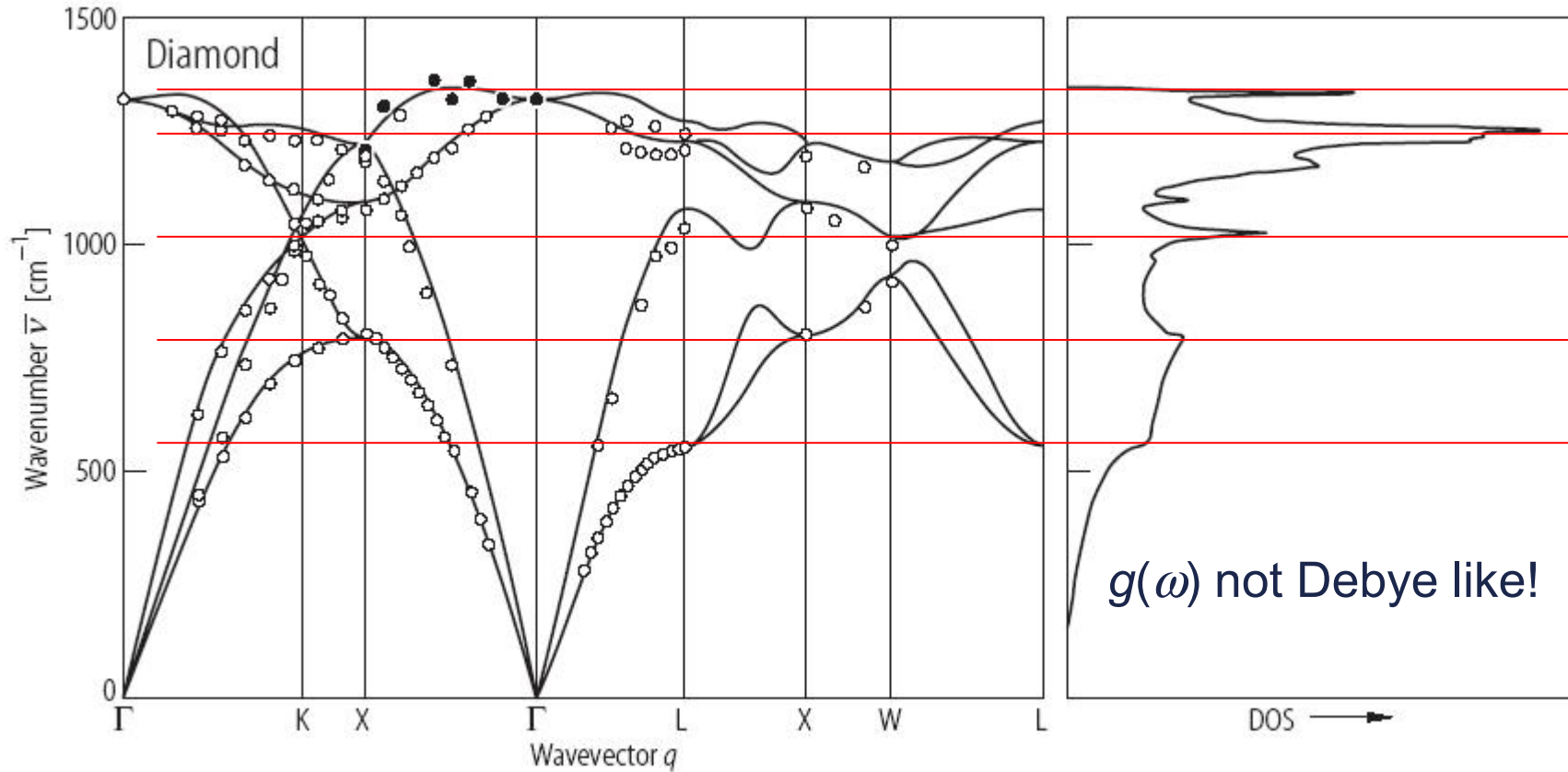


After: J. L. Warren et al., Phys. Rev. **158**, 805 (1967).

For X-ray data see: E. Burkel, Inelastic Scattering of X-Rays with Very High Energy Resolution, Springer Berlin (1991)

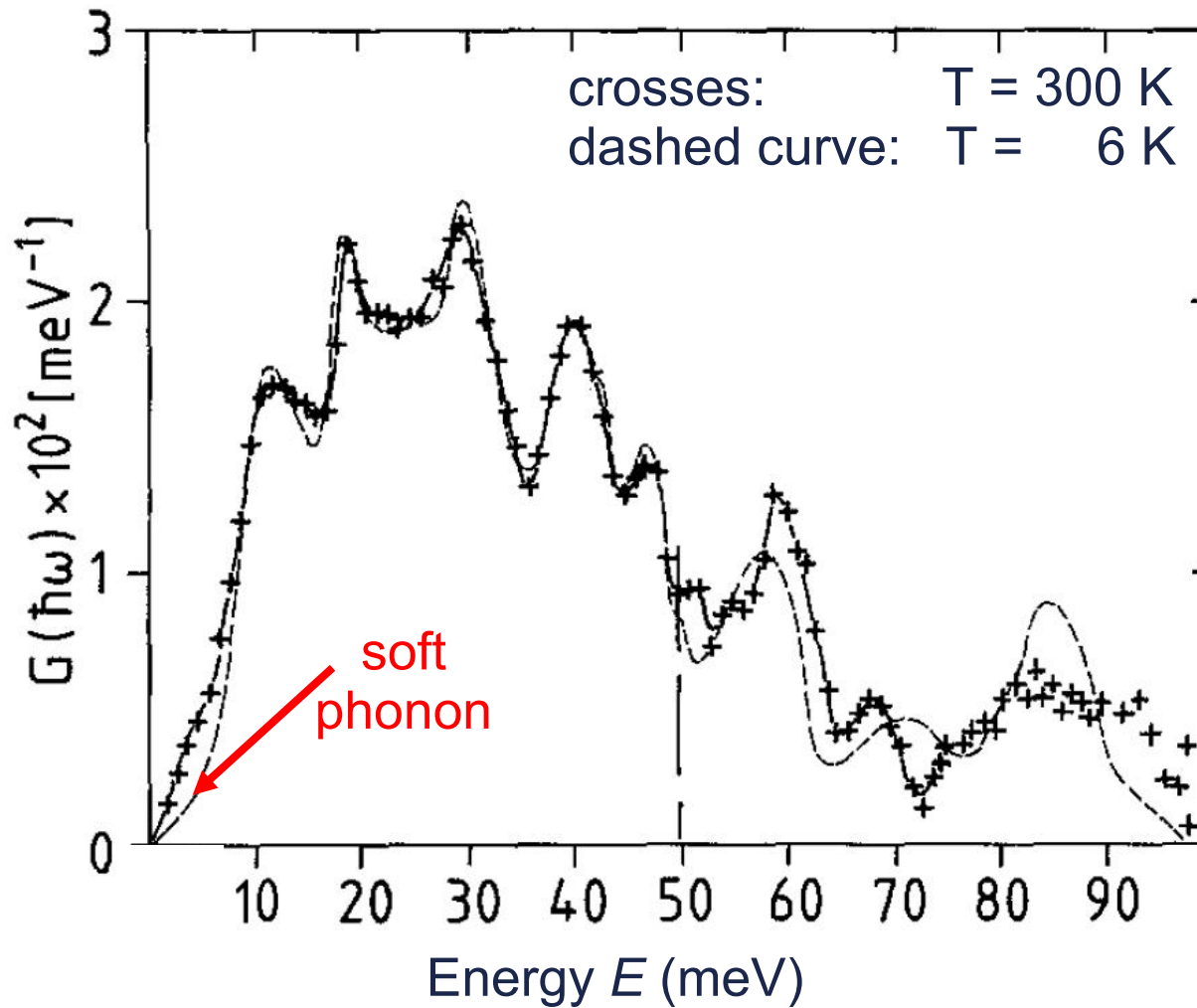


## 5 Reminder: Phonon DOS, specific specific heat, Debye approximation



Van Hove singularities are kinks or discontinuities in the density of states due to flat portions of the dispersion curves.

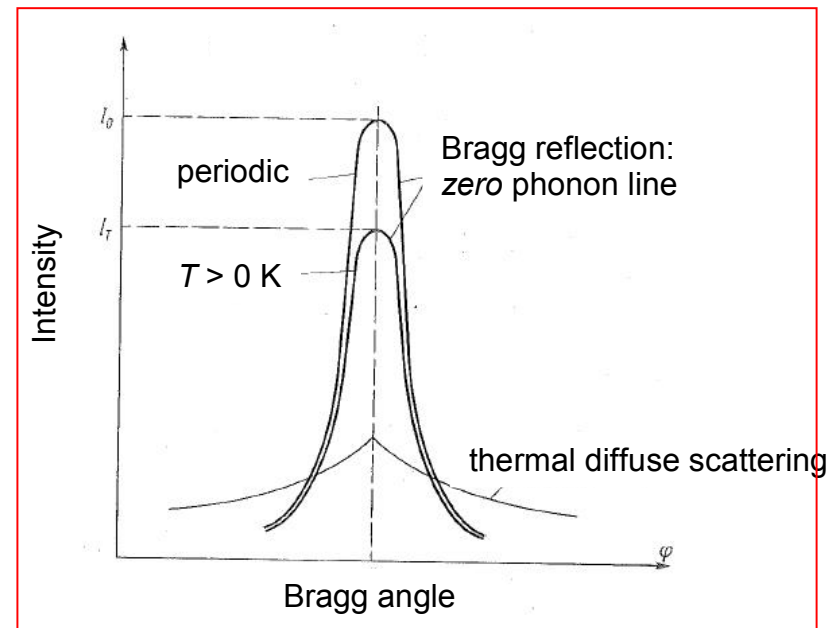
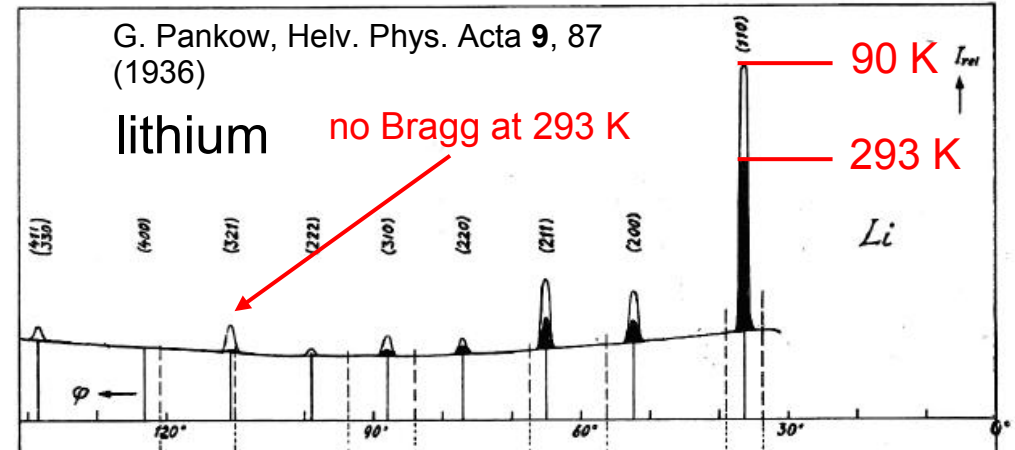
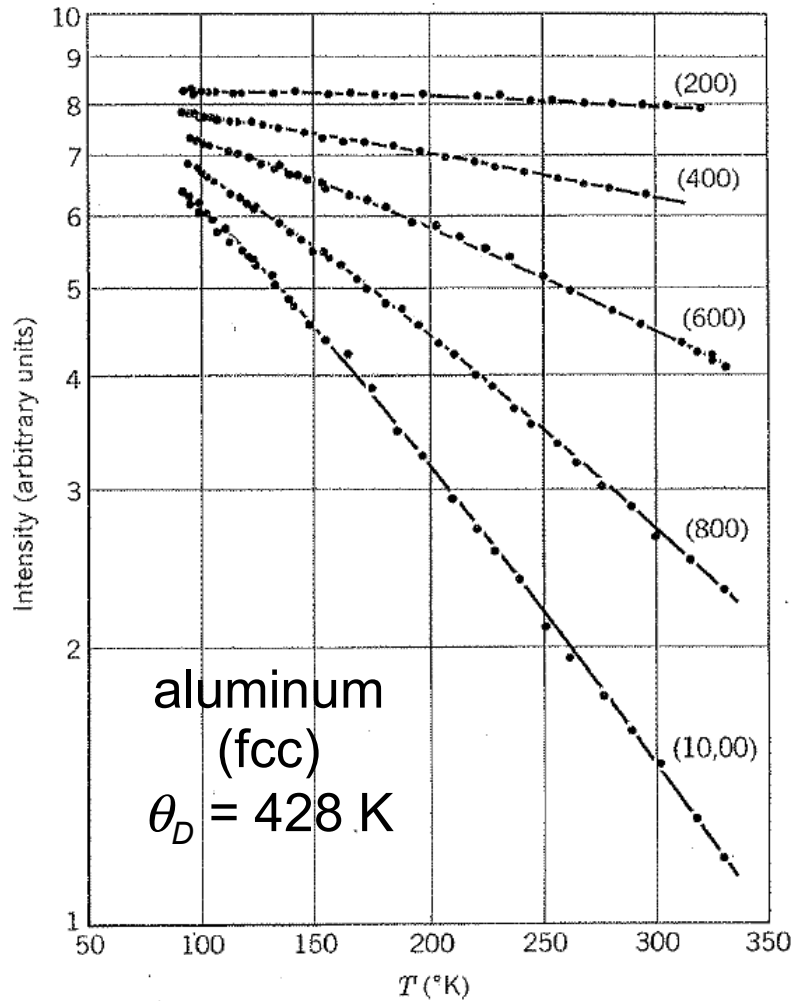
## 5 Reminder: Phonon DOS, specific specific heat, Debye approximation



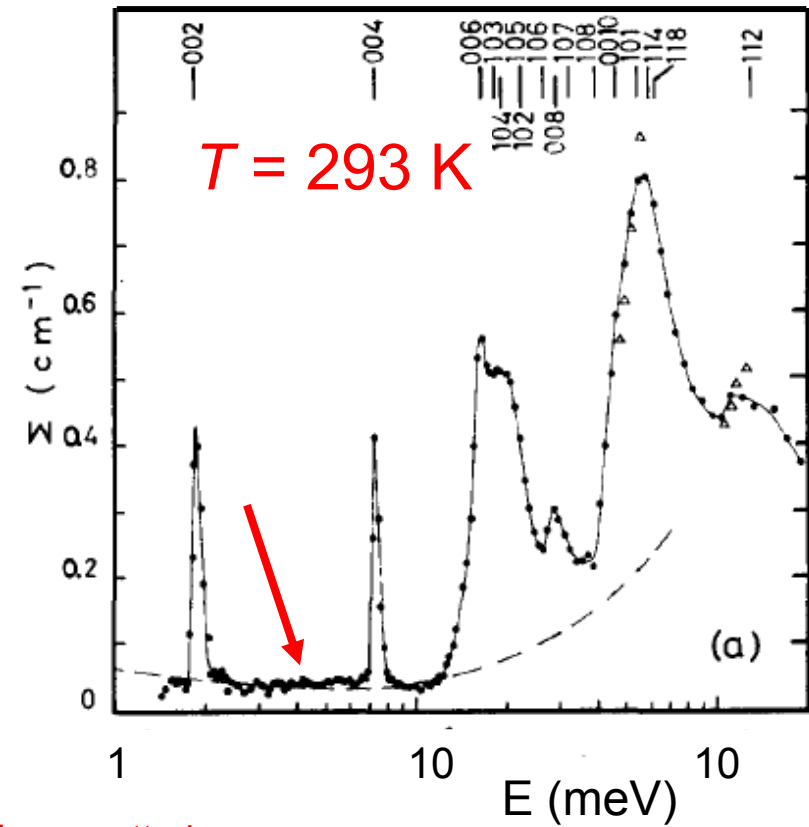
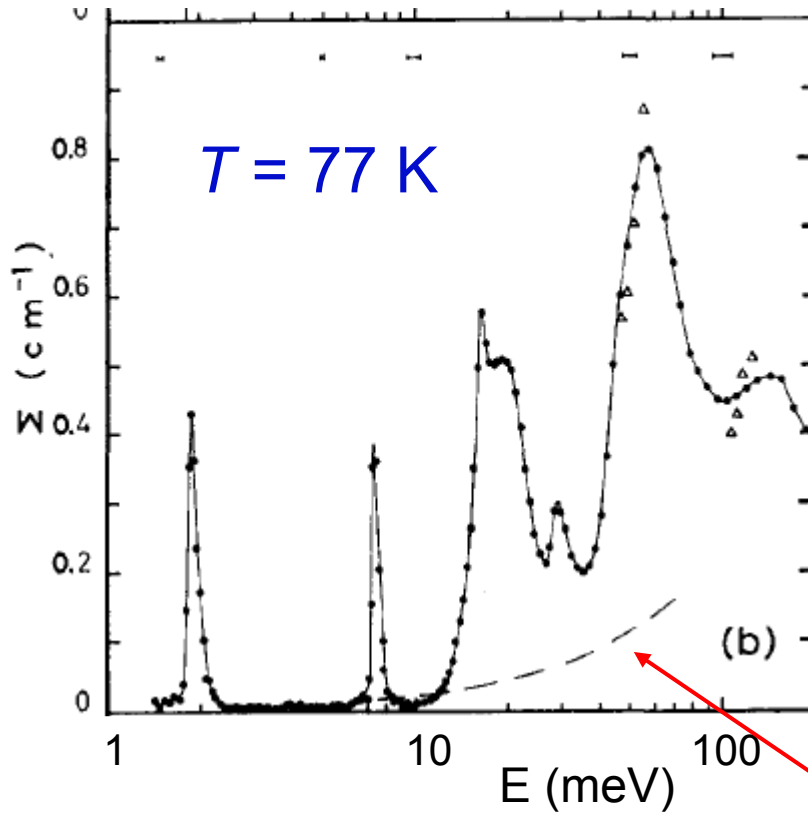
after: B. Renker et al., Z. Phys. B – Condensed Matter **67**, 15 (1987).



## 5 Inelastic scattering: Debye Waller factor: Aluminium/Lithium



## 5 Inelastic scattering: Thermal diffuse scattering of pyrolytic graphite



thermal diffuse scattering

J. Bergsma and C. Van Dijk, Nucl. Instrum. Methods **51**, 121 (1967).

### 5 Inelastic scattering: Cross-section for phonon emission/absorption

Time dependent position operator  $\hat{\mathbf{R}}_j(t) = \mathbf{l}_j + \hat{\mathbf{u}}_j(t)$

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} b_j b_{j'} e^{i\mathbf{Q}\cdot(\mathbf{l}_j - \mathbf{l}_{j'})} \int_{-\infty}^{\infty} \langle e^{-i\mathbf{Q}\cdot\hat{\mathbf{u}}_{j'}(0)} e^{i\mathbf{Q}\cdot\hat{\mathbf{u}}_j(t)} \rangle e^{-i\omega t} dt.$$

Displacement expressed in terms of normal mode (QM harmonic oscillator)

$$\hat{\mathbf{u}}_j(t) = \sqrt{\frac{\hbar}{2MN}} \sum_{s,\mathbf{q}} \frac{\mathbf{e}_s(\mathbf{q})}{\sqrt{\omega_s(\mathbf{q})}} \left( \hat{a}_s(\mathbf{q}) e^{i[\mathbf{q}\cdot\mathbf{l}_j - \omega_s(\mathbf{q})t]} + \hat{a}_s^\dagger(\mathbf{q}) e^{-i[\mathbf{q}\cdot\mathbf{l}_j - \omega_s(\mathbf{q})t]} \right)$$

Ladder operators of QM oscillator

Bose-Einstein statistics of phonons

$$\hat{a}_s^\dagger(\mathbf{q})|\lambda_n\rangle = \sqrt{n+1}|\lambda_{n+1}\rangle,$$

$$\hat{a}_s(\mathbf{q})|\lambda_n\rangle = \sqrt{n}|\lambda_{n-1}\rangle,$$

$$n_s(\mathbf{q}) = \left( \exp\left(\frac{\hbar\omega_s(\mathbf{q})}{k_B T}\right) - 1 \right)^{-1}$$

$$\langle \lambda_n | \hat{a}_s(\mathbf{q}) \hat{a}_s^\dagger(\mathbf{q}) | \lambda_n \rangle = n_s(\mathbf{q}) + 1$$

$$\langle \lambda_n | \hat{a}_s^\dagger(\mathbf{q}) \hat{a}_s(\mathbf{q}) | \lambda_n \rangle = n_s(\mathbf{q}),$$

### 5 Inelastic scattering: Cross-section for phonon emission/absorption

Abbreviation of the exponents

$$\hat{A} = -i\mathbf{Q} \cdot \hat{\mathbf{u}}_{j'}(0) = -i \sum_{s,\mathbf{q}} (\alpha_s(\mathbf{q})\hat{a}_s(\mathbf{q}) + \alpha_s^*(\mathbf{q})\hat{a}_s^+(\mathbf{q})) \quad \alpha_s(\mathbf{q}) = \sqrt{\frac{\hbar}{2MN}} \sum_{s,\mathbf{q}} \frac{\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q})}{\sqrt{\omega_s(\mathbf{q})}} e^{i\mathbf{q} \cdot \mathbf{l}_{j'}},$$

$$\hat{B} = i\mathbf{Q} \cdot \hat{\mathbf{u}}_j(t) = i \sum_{s,\mathbf{q}} (\beta_s(\mathbf{q})\hat{a}_s(\mathbf{q}) + \beta_s^*(\mathbf{q})\hat{a}_s^+(\mathbf{q})), \quad \beta_s(\mathbf{q}) = \sqrt{\frac{\hbar}{2MN}} \sum_{s,\mathbf{q}} \frac{\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q})}{\sqrt{\omega_s(\mathbf{q})}} e^{i[\mathbf{q} \cdot \mathbf{l}_j - \omega_s(\mathbf{q})t]}$$

Taylor expansion of the time evolution

$$e^{\langle \hat{A}\hat{B} \rangle} = 1 + \langle \hat{A}\hat{B} \rangle + \frac{1}{2} \langle \hat{A}\hat{B} \rangle^2 + \dots + \frac{1}{n!} \langle \hat{A}\hat{B} \rangle^n + \dots$$

Write linear term in term of sample properties (QM harmonic oscillator!)

$$\langle \lambda_n | \hat{A}\hat{B} | \lambda_n \rangle = \langle \lambda_n | \sum_{s,\mathbf{q}} [\alpha_s(\mathbf{q})\beta_s^*(\mathbf{q})\hat{a}_s(\mathbf{q})\hat{a}_s^+(\mathbf{q}) + \alpha_s^*(\mathbf{q})\beta_s(\mathbf{q})\hat{a}_s^+(\mathbf{q})\hat{a}_s(\mathbf{q})] | \lambda_n \rangle$$

## 5 Inelastic scattering: Cross-section for phonon emission/absorption

Consider only coherent scattering ( $j \neq j'$ )

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{4\pi M} \cdot \frac{k'}{k} \langle b \rangle^2 e^{-2W(Q)} \sum_{s, \mathbf{q}} \frac{(\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q}))^2}{\omega_s(\mathbf{q})} \\ \times \left[ (n_s(\mathbf{q}) + 1) \sum_l e^{i(\mathbf{Q}-\mathbf{q}) \cdot \mathbf{l}} \int_{-\infty}^{\infty} dt e^{i(\omega_s(\mathbf{q}) - \omega)t} \right. \\ \left. + n_s(\mathbf{q}) \sum_l e^{i(\mathbf{Q}+\mathbf{q}) \cdot \mathbf{l}} \int_{-\infty}^{\infty} dt e^{-i(\omega_s(\mathbf{q}) + \omega)t} \right].$$

Convert integrals to delta functions using lattice sums (as for diffraction)

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{4\pi^3}{v_0 M} \cdot \frac{k'}{k} \langle b \rangle^2 e^{-2W(Q)} \sum_{s, \mathbf{q}} \frac{(\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q}))^2}{\omega_s(\mathbf{q})} \\ \times \left[ \underline{(n_s(\mathbf{q}) + 1) \delta(\omega - \omega_s(\mathbf{q})) \sum_{\tau} \delta(\mathbf{Q} - \mathbf{q} - \tau)} \quad \text{Phonon emission} \right. \\ \left. + \underline{n_s(\mathbf{q}) \delta(\omega + \omega_s(\mathbf{q})) \sum_{\tau} \delta(\mathbf{Q} + \mathbf{q} - \tau)} \quad \text{Phonon absorption} \right].$$

## 5 Inelastic scattering: Scattering „triangle“ for inelastic scattering

**Example:**  
phonon creation

$$\omega = \omega_s(\mathbf{q})$$

$$\Rightarrow k_i^2 > k_f^2$$

