



Physics with Neutrons I, WS 2015/2016





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MLZ is a cooperation between:



Helmholtz-Zentrum Geesthacht Zentrum für Material- und Küstenforschung





Organization







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Room E40a









Box Integration



Reminder: Coherent and Incoherent Scattering, Fermis Golden Rule





$$\left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_{\lambda\to\lambda'} = \frac{k'}{k} \left(\frac{m}{2\pi\hbar}\right)^2 \left|\langle k'\lambda' \left|V\right|k\lambda\rangle\right|^2$$





2nd step: Energy conservation

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'}\right)_{\lambda\to\lambda'} = \frac{k'}{k} \left(\frac{m}{2\pi\hbar}\right)^2 \left|\langle k'\lambda' \left|V\right|k\lambda\rangle\right|^2 \underline{\delta(E_\lambda - E_{\lambda'} + E - E')}$$
$$\int \delta(E_\lambda - E_{\lambda'} + E - E') = 1$$

3rd step: Integration with respect to neutron coordinate r

$$\langle k'\lambda' | V | k\lambda \rangle = \sum_{j} V_j(\kappa) \left\langle \lambda' \left| e^{i\kappa R_j} \right| \lambda \right\rangle \qquad V_j(\kappa) = \int V_j(x_j) e^{i\kappa x_j} \mathrm{d}x_j$$
$$\kappa = k - k'$$

Interaction = Fourier transform of the potential function





4th step: Ansatz: Delta function potential for single nucleus

 $V(r) = a\delta(r)$

Fermi pseudopotential: $V(r) = \frac{2\pi\hbar^2}{m}b\delta(r)$

b can be bound or free scattering length!

Fermi pseudopotential does NOT represent physical reality

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'}\right)_{\lambda\to\lambda'} = \frac{k'}{k} \left|\sum_j b_j \left\langle k'\lambda' \left| e^{i\kappa R_j} \right| k\lambda \right\rangle \right|^2 \delta(E_\lambda - E_{\lambda'} + E - E')$$

FRM II Forschungs-Neutronenquelle Integral Representation of Delta Function Heinz Maier-Leibnitz



Reminder: Coherent and Incoherent Scattering, Fermis Golden Rule

5th step: Integral representation of the delta function for energy. Idea: Stick all the time dependence into the matrix element

$$\delta(E_{\lambda} - E_{\lambda'} + E - E') = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} e^{i(E_{\lambda} - E_{\lambda'})t/\hbar} e^{-i\omega t} dt$$

H is the Hamiltonian of the scattering system with Eigenfunctions λ and Eigenvalues E_{λ}

 $H|\lambda\rangle = E_{\lambda}|\lambda\rangle$

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'}\right)_{\lambda\to\lambda'} = \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} b_j b_{j'} \int_{-\infty}^{\infty} \left\langle\lambda \left|e^{-i\kappa R_{j'}}\right|\lambda'\right\rangle \left\langle\lambda' \left|e^{iHt/\hbar}e^{i\kappa R_j}e^{-iHt/\hbar}\right|\lambda\right\rangle e^{-i\omega t} \mathrm{d}t$$

No terms of λ and λ' outside the matrix element anymore!





6th step: Sum over final states, average over initial states

We use:
$$\sum_{\lambda'} \langle \lambda | A | \lambda' \rangle \langle \lambda' | B | \lambda \rangle = \langle \lambda | A B | \lambda \rangle$$
$$p_{\lambda} = \frac{1}{Z} e^{\frac{-E_{\lambda}}{k_b T}}$$

$$\langle A \rangle = \sum_{\lambda} \langle \lambda | A | \lambda \rangle$$

Stick the time evolution into the operator for the $R_j(t) = e^{iHt/\hbar}R_j e^{-iHt/\hbar}$ position R_j

$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'} = \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} b_j b_{j'} \int_{-\infty}^{\infty} \left\langle \frac{e^{-i\kappa R_{j'}(0)} e^{-i\kappa R_j(t)}}{\mathrm{Correlation function}} \right\rangle e^{-i\omega t} \mathrm{d}t$$







No correlations among *b*: $\overline{b_{j'}b_j} = (\overline{b})^2, j' \neq j$

$$\overline{b_{j'}b_j}=(\overline{b^2}), j'=j$$

Double differential crosssection spilts up into two terms:

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'}\right)_{coherent} = \frac{\sigma_{coh.}}{4\pi} \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} \int_{-\infty}^{\infty} \left\langle \frac{e^{-i\kappa R_{j'}(0)}e^{-i\kappa R_{j}(t)}}{e^{-i\kappa R_{j}(t)}} \right\rangle e^{-i\omega t} \mathrm{d}t$$

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'}\right)_{incoherent} = \frac{\sigma_{inc.}}{4\pi} \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} \int_{-\infty}^{\infty} \left\langle \frac{e^{-i\kappa R_{j}(0)}e^{-i\kappa R_{j}(t)}}{e^{-i\kappa R_{j}(t)}} \right\rangle e^{-i\omega t} \mathrm{d}t$$

$$\sigma_{coh.} = 4\pi\overline{b}^2 \qquad \sigma_{inc.} = 4\pi(\overline{b^2} - \overline{b}^2)$$





Coherent



Spatial and temporal correlations between different atoms

Interference effects:

Given by average of b Bragg scattering

Incoherent



Spatial and temporal correlations between the same atom

ightarrow Constant in Q

Given by variations in b due to spin, disorder, random atomic motion....



Diffraction



Reminder: Elastic Scattering, Diffraction on Crystals

Starting point: Coherent elastic cross-section

$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}E'} = \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} b_j b_{j'} \int_{-\infty}^{\infty} \left\langle e^{-i\kappa R_{j'}(0)} e^{-i\kappa R_j(t)} \right\rangle e^{-i\omega t} \mathrm{d}t$$

Sample: Single X-tal in thermal equilibrium, consider static correlations!

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \int_{\infty}^{\infty} \frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega \mathrm{d}E'} \mathrm{d}(\hbar\omega) = \sum_{j,j'} b_j b_{j'} \left\langle e^{-i\kappa R_{j'}} e^{-i\kappa R_j} \right\rangle$$

Drop the operator formalism for R_j as we look at static correlations $\frac{d\sigma}{d\Omega_{coh.el}} = \langle b \rangle^2 \sum_{j,j'} e^{-i\kappa(R_{j'}-R_j)}$ Information on the position of the atoms

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega_{inc.}} = \left(\langle b^2 \rangle - \langle b \rangle^2\right) \sum_{j=j'} e^{-i\kappa(R_{j'}-R_j)} = N(\langle b^2 \rangle - \langle b \rangle^2)$$

$$\implies \text{Isotropic, constant elastic background}$$



Lattice Sums



Reminder: Elastic Scattering, Diffraction on Crystals



FRM II Forschungs-Neutronenquelle Heinz Maier-Leibnitz



Reminder: Elastic Scattering, Diffraction on Crystals





Structure Factor



Reminder: Elastic Scattering, Diffraction on Crystals, Structure Factor







Reminder: Master Formula for Neutron Diffraction

Going from operator to standard vector notation of R_i:

 \square Neglect thermal vibration of atoms around their equilibrium position

$$2W(\vec{\kappa}) = \frac{1}{3}\kappa^2 \langle u^2 \rangle$$

Debye-Waller factor describes mean dispalcement <u²>





Reflections at same



Reminder: Diffraction techniques





- Less intensity
- Rocking curve gives
- $\frac{1}{4}$ intensity of Bragg peak
- Clean data

Inckdent of 2m/h_{rdn}

Time-of-flight

(TOF)

Laue (polychromatic beam)





- _ Ewald construction for
- each wavelength in the beam
- Rocking curve distributed in time and detector
- Waste less neutrons

Essentially white beam More Bragg peaks (not stronger)

- Hard to get intensities
- Large background





Reminder: Ewald construction for single crystal diffraction







Basic idea: Phonons in a linear chain







Properties of Phonons



Collective excitation of atoms "Live" in the first Brillouin zone Description as dilute, non-interacting phonon gas" Quasiparticles (3N phonons for N atoms) Follow quasi-continuous dispersion relation Obey Bose-Einstein statistics (specific heat!) 3P phonon branches (3p-3 optical, 2 transverse acoustic, 1 longitudinal acoustic for P-atomic basis) QM picture (raising and lowering operator)





Inelastic scattering: Phonon dispersion

diamond: fcc, basis: (000), $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$, *T* = 296 K, shell model fits







Reminder: Phonon DOS, specific specific heat, Debye approximation







Inelastic scattering: Cross-section for phonon emission/absportion

Time dependendent position operator
$$R_j(t) = l_j + \hat{u}_j(t)$$

$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}\omega} = \frac{k'}{k} \frac{1}{2\pi\hbar} \sum_{j,j'} b_j b_{j'} e^{\imath Q \cdot (l_j - l_{j'})} \int_{-\infty}^{\infty} \langle e^{-\imath Q \cdot \hat{u}_{j'}(0)} e^{\imath Q \cdot \hat{u}_j(t)} \rangle e^{-\imath \omega t} \mathrm{d}t.$$

Displacement expressed in terms of normal mode (QM harmonic oscillator)

$$\hat{\boldsymbol{u}}_{j}(t) = \sqrt{\frac{\hbar}{2MN}} \sum_{s,\boldsymbol{q}} \frac{\boldsymbol{e}_{s}(\boldsymbol{q})}{\sqrt{\omega_{s}(\boldsymbol{q})}} \left(\hat{a}_{s}(\boldsymbol{q}) e^{i[\boldsymbol{q}\cdot\boldsymbol{l}_{j}-\omega_{s}(\boldsymbol{q})t]} + \hat{a}_{s}^{+}(\boldsymbol{q}) e^{-i[\boldsymbol{q}\cdot\boldsymbol{l}_{j}-\omega_{s}(\boldsymbol{q})t]} \right)$$

Ladder operators of QM oscillator

Bose-Einstein statistics of phonons

$$\hat{a}_{s}^{+}(\boldsymbol{q})|\lambda_{n}\rangle = \sqrt{n+1}|\lambda_{n+1}\rangle,$$
$$\hat{a}_{s}(\boldsymbol{q})|\lambda_{n}\rangle = \sqrt{n}|\lambda_{n-1}\rangle,$$
$$\langle\lambda_{n}|\hat{a}_{s}(\boldsymbol{q})\hat{a}_{s}^{+}(\boldsymbol{q})|\lambda_{n}\rangle = n_{s}(\boldsymbol{q})+1$$
$$\langle\lambda_{n}|\hat{a}_{s}^{+}(\boldsymbol{q})\hat{a}_{s}(\boldsymbol{q})|\lambda_{n}\rangle = n_{s}(\boldsymbol{q}),$$

$$n_s(q) = \left(\exp\left(\frac{\hbar\omega_s(q)}{k_BT}\right) - 1\right)^{-1}$$





Inelastic scattering: Cross-section for phonon emission/absportion

Abbreviaton of the exponents

$$\hat{A} = -\imath \boldsymbol{Q} \cdot \hat{\boldsymbol{u}}_{j'}(0) = -\imath \sum_{s,q} \left(\alpha_s(\boldsymbol{q}) \hat{a}_s(\boldsymbol{q}) + \alpha_s^*(\boldsymbol{q}) \hat{a}_s^+(\boldsymbol{q}) \right) \qquad \alpha_s(\boldsymbol{q})$$
$$\hat{B} = \imath \boldsymbol{Q} \cdot \hat{\boldsymbol{u}}_j(t) = \imath \sum_{s,q} \left(\beta_s(\boldsymbol{q}) \hat{a}_s(\boldsymbol{q}) + \beta_s^*(\boldsymbol{q}) \hat{a}_s^+(\boldsymbol{q}) \right), \qquad \beta_s(\boldsymbol{q})$$

$$egin{aligned} & \mu_s(m{q}) = \sqrt{rac{\hbar}{2MN}} \sum_{s,m{q}} rac{m{Q} \cdot m{e}_s(m{q})}{\sqrt{\omega_s(m{q})}} e^{im{q}\cdotm{l}_{j'}}, \\ & m{\theta}_s(m{q}) = \sqrt{rac{\hbar}{2MN}} \sum_{s,m{q}} rac{m{Q} \cdot m{e}_s(m{q})}{\sqrt{\omega_s(m{q})}} e^{i[m{q}\cdotm{l}_j - \omega_s(m{q})t]}. \end{aligned}$$

s,q

Taylor expansion of the time evolution

$$e^{\langle \hat{A}\hat{B} \rangle} = 1 + \langle \hat{A}\hat{B} \rangle + \frac{1}{2} \langle \hat{A}\hat{B} \rangle^{2} + \dots + \frac{1}{n!} \langle \hat{A}\hat{B} \rangle^{n} + \dots$$

Elastic scattering (+ Debye Single phonon processes Waller factor)

Write linear term in term of sample properties (QM harmonic oscillator!)

$$\langle \lambda_n | \hat{A} \hat{B} | \lambda_n \rangle = \langle \lambda_n | \sum_{s, q} [\alpha_s(q) \beta_s^*(q) \hat{a}_s(q) \hat{a}_s^+(q) + \alpha_s^*(q) \beta_s(q) \hat{a}_s^+(q) \hat{a}_s(q)] | \lambda_n \rangle$$





Inelastic scattering: Debye Waller factor: Aluminium/Lithium







Master formula for coherent inelastic scattering

Consider only coherent scattering
$$(j \neq j')$$

$$\frac{\mathrm{d}^2 \sigma}{\mathrm{d}\Omega \mathrm{d}\omega} = \frac{1}{4\pi M} \cdot \frac{k'}{k} \langle b \rangle^2 e^{-2W(Q)} \sum_{s,q} \frac{(Q \cdot e_s(q))^2}{\omega_s(q)}$$

$$\times \left[(n_s(q) + 1) \sum_l e^{i(Q-q) \cdot l} \int_{-\infty}^{\infty} \mathrm{d}t e^{i(\omega_s(q) - \omega)t} + n_s(q) \sum_l e^{i(Q+q) \cdot l} \int_{-\infty}^{\infty} \mathrm{d}t e^{-i(\omega_s(q) + \omega)t} \right].$$

Convert integrals to delta functions using lattice sums (as for diffraction)

$$\frac{\mathrm{d}^2 \sigma}{\mathrm{d}\Omega \mathrm{d}\omega} = \frac{4\pi^3}{v_0 M} \cdot \frac{k'}{k} \langle b \rangle^2 e^{-2W(Q)} \sum_{s,q} \frac{(Q \cdot e_s(q))^2}{\omega_s(q)} \\ \times \left[(n_s(q) + 1)\delta\left(\omega - \omega_s(q)\right) \sum_{\tau} \delta(Q - q - \tau) \right] \frac{\mathrm{Phonon}}{\mathrm{emission}} \\ + n_s(q)\delta\left(\omega + \omega_s(q)\right) \sum_{\tau} \delta(Q + q - \tau) \right]. \quad \text{Phonon} \\ \text{absorption}$$





5 Inelastic scattering: Scattering "triangle" for inelastic scattering







Inelastic incoherent scattering: Phonon DOS

Now consider incoherent scattering (j=j')

$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}\Omega\mathrm{d}\omega} = \frac{k'}{k} \frac{1}{2\pi\hbar} e^{-2W(\mathbf{Q})} \sum_j b_j^2 \int_{-\infty}^{\infty} e^{\langle\hat{A}\hat{B}\rangle} e^{-\imath\omega t} \mathrm{d}t.$$

Similar to the coherent part, only consider the linear term in the Taylor expansion

$$\frac{\mathrm{d}^2 \sigma}{\mathrm{d}\Omega \mathrm{d}\omega} = \frac{1}{2M} \frac{k'}{k} \left(\langle b^2 \rangle - \langle b \rangle^2 \right) e^{-2W(\mathbf{Q})} \sum_{s,q} \frac{(\mathbf{Q} \cdot \mathbf{e}_s(q))^2}{\omega_s(q)} \\ \times \left[(n_s(q) + 1) \delta \left(\omega - \omega_s(q) \right) + n_s(q) \delta \left(\omega + \omega_s(q) \right) \right]$$
Phonon emission
Phonon absorption





Inelastic incoherent scattering: Phonon DOS

Compare to coherent part:

Energy conservation is fulfilled No momentum conservation is fulfilled

All phonons with energy ω_{s} contribute!

$$\frac{\mathrm{d}^2 \sigma}{\mathrm{d}\Omega \mathrm{d}\omega} = \frac{1}{4M} \frac{k'}{k} \left(\langle b^2 \rangle - \langle b \rangle^2 \right) e^{-W(\mathbf{Q})} \\ \times \left\langle \left(\mathbf{Q} \cdot e_s(\mathbf{q}) \right)^2 \right\rangle \cdot \frac{g(\omega)}{\omega} \cdot \left[\coth \frac{\hbar \omega}{2k_B T} \pm 1 \right]$$

With phonon DOS $g(\omega)$

$$\int_0^\infty g(\omega) \mathrm{d}\omega = 3N$$





Inelastic incoherent scattering: Phonon DOS

